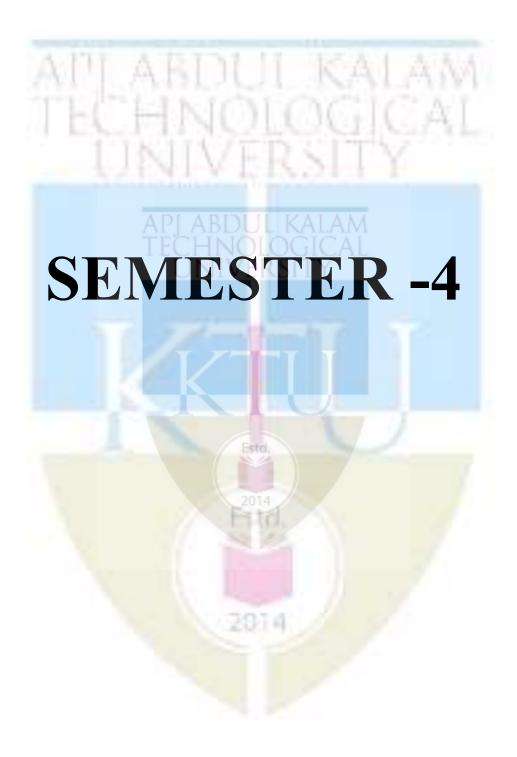
COMPUTER SCIENCE AND ENGINEERING



#### SEMESTER IV

SLOT	COURSE NO.	COURSES	L-T-P	HOURS	CREDIT
А	MAT 206	GRAPH THEORY	3-1-0	4	4
В	CST 202	C O M P U T E R ORGANIS ATION AND ARCHITECTURE	3-1-0	4	4
С	CST 204	DATABASE MANAGEMENT SYSTEMS	3-1-0	4	4
D	CST 206	OPERATING SYSTEMS	3-1-0	4	4
Е	EST 200	DESIGN & ENGINEERING	2-0-0	2	2
(1/2)	HUT 200	PROFESSIONAL ETHICS	2-0-0	2	2
F	MNC 202	CONSTITUTION OF INDIA	2-0-0	2	
S	CSL 202	DIGITAL LAB	0-0-3	3	2
Т	CSL204	OPERATING SYSTEMS LAB	0-0-3	3	2
R/M/ H	VAC	Remedial/Minor/Honors course	3-1-0	4	4
		TOTAL		26*	22/26
* Exclu	din <mark>g Hours t</mark>	o be engaged for Remedial/Minor/I	Honors co	ourse.	

NOTE:

 Design & Engineering and Professional Ethics shall be offered in both S3 and S4. Institutions can advise students belonging to about 50% of the number of branches in the Institution to opt for Design & Engineering in S3 and Professional Ethics in S4 & vice versa.

Estd.

2. \*All Institutions should keep 4 hours exclusively for Remedial class/Minor course (Thursdays from 3 to 5 PM and Fridays from 2 to 4 PM). If a student does not opt for minor programme, he/she can be given remedial class.

CODE	COURSE NAME	CATEGORY	L	Т	Р	CREDIT
MAT 206	GRAPH THEORY	BSC	3	1	0	4

**Preamble:** This course introduces fundamental concepts in Graph Theory, including properties and characterisation of graph/trees and graph theoretic algorithms, which are widely used in Mathematical modelling and has got applications across Computer Science and other branches in Engineering.

**Prerequisite:** The topics covered under the course Discrete Mathematical Structures (MAT 203 )

Course Outcomes: After the completion of the course the student will be able to

	APLABDUU KALAM
CO 1	Explain vertices and their properties, types of paths, classification of graphs and trees & their properties. (Cognitive Knowledge Level: Understand)
CO 2	Demonstrate the fundamental theorems on Eulerian and Hamiltonian graphs. (Cognitive Knowledge Level: Understand)
CO 3	Illustrate the working of Prim's and Kruskal's algorithms for finding minimum cost spanning tree and Dijkstra's and Floyd-Warshall algorithms for finding shortest paths. (Cognitive Knowledge Level: Apply)
<b>CO</b> 4	Explain planar graphs, their properties and an application for planar graphs. (Cognitive Knowledge Level: Apply)
CO 5	Illustrate how one can represent a graph in a computer. (Cognitive Knowledge Level: Apply)
CO 6	Explain the Vertex Color problem in graphs and illustrate an example application for vertex coloring. (Cognitive Knowledge Level: Apply)

Mapping of course outcomes with program outcomes	
--	--

	PO 1	PO 2	PO 3	PO 4	РО 5	PO 6	PO 7	PO 8	PO 9	PO 10	PO 11	PO 12
CO 1	$\checkmark$	$\checkmark$	$\checkmark$							$\checkmark$		$\checkmark$
CO 2	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$								$\checkmark$
CO 3	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$								$\checkmark$
CO 4	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$								
CO 5	$\checkmark$	$\checkmark$	$\checkmark$									
CO 6	$\checkmark$	$\checkmark$	$\checkmark$			$\checkmark$				$\checkmark$		$\checkmark$

Abstract POs defined by National Board of Accreditation							
PO#	Broad PO		CAL Broad PO				
PO1	Engineering Knowledge		Environment and Sustainability				
PO2	Problem Analysis	PO8	Ethics				
PO3	Design/Development of solutions	PO9	Individual and team work				
PO4	Conduct investigations of complex problems	<sup>4</sup> PO10	Communication				
PO5	Modern tool usage	PO11	Project Management and Finance				
PO6	The Engineer and Society	PO12	Life long learning				
Assessment Pattern 2014							

# **Assessment Pattern**

Bloom's Category	Continuous Assess	End Semester Examination (%)	
bioom s category	1 2		
Remember	30	30	30
Understand	30	30	30
Apply	40	40	40
Analyse			
Evaluate			
Create			

# **Mark Distribution**

Total Marks	Total Marks CIE Marks		ESE Duration	
150	50	100	3 hours	

# **Continuous Internal Evaluation Pattern:**

 Attendance
 : 10 marks

 Continuous Assessment Tests
 : 25 marks

 Continuous Assessment Assignment
 : 15 marks

# **Internal Examination Pattern:**

Each of the two internal examinations has to be conducted out of 50 marks

First Internal Examination shall be preferably conducted after completing the first half of the syllabus and the Second Internal Examination shall be preferably conducted after completing remaining part of the syllabus.

There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly covered module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly covered module), each with 7 marks. Out of the 7 questions in Part B, a student should answer any 5.

**End Semester Examination Pattern:** There will be two parts; Part A and Part B. Part A contain 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which student should answer anyone. Each question can have maximum 2 sub-divisions and carries 14 marks.

# **Syllabus**

# Module 1

**Introduction to Graphs** : Introduction- Basic definition – Application of graphs – finite, infinite and bipartite graphs – Incidence and Degree – Isolated vertex, pendant vertex and Null graph. Paths and circuits – Isomorphism, sub graphs, walks, paths and circuits, connected graphs, disconnected graphs and components.

#### Module 2

**Eulerian and Hamiltonian graphs** : Euler graphs, Operations on graphs, Hamiltonian paths and circuits, Travelling salesman problem. Directed graphs – types of digraphs, Digraphs and binary relation, Directed paths, Fleury's algorithm.

# Module 3

**Trees and Graph Algorithms** : Trees – properties, pendant vertex, Distance and centres in a tree - Rooted and binary trees, counting trees, spanning trees, Prim's algorithm and Kruskal's algorithm, Dijkstra's shortest path algorithm, Floyd-Warshall shortest path algorithm.

# Module 4

**Connectivity and Planar Graphs** : Vertex Connectivity, Edge Connectivity, Cut set and Cut Vertices, Fundamental circuits, Planar graphs, Kuratowski's theorem (proof not required), Different representations of planar graphs, Euler's theorem, Geometric dual.

#### Module 5

**Graph Representations and Vertex Colouring** : Matrix representation of graphs-Adjacency matrix, Incidence Matrix, Circuit Matrix, Path Matrix. Coloring- Chromatic number, Chromatic polynomial, Matchings, Coverings, Four color problem and Five color problem. Greedy colouring algorithm.

#### Text book:

1. Narsingh Deo, Graph theory, PHI,1979

#### **Reference Books:**

- 1. R. Diestel, *Graph Theory*, free online edition, 2016: diestel-graph-theory.com/ basic.html.
- 2. Douglas B. West, Introduction to Graph Theory, Prentice Hall India Ltd., 2001
- 3. Robin J. Wilson, Introduction to Graph Theory, Longman Group Ltd., 2010
- 4. J.A. Bondy and U.S.R. Murty. Graph theory with Applications

### Sample Course Level Assessment Questions.

## Course Outcome 1 (CO1):

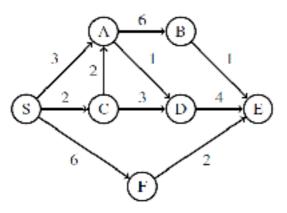
- 1. Differentiate a walk, path and circuit in a graph.
- 2. Is it possible to construct a graph with 12 vertices such that two of the vertices have degree 3 and the remaining vertices have degree 4? Justify
- 3. Prove that a simple graph with n vertices must be connected, if it has more than  $\frac{(n-1)(n-2)}{2}$  edges.
- 4. Prove the statement: If a graph (connected or disconnected) has exactly two odd degree, then there must be a path joining these two vertices.

# Course Outcome 2 (CO2):

- 1. Define Hamiltonian circuit and Euler graph. Give one example for each.
- 2. Define directed graphs. Differentiate between symmetric digraphs and asymmetric digraphs.
- 3. Prove that a connected graph G is an Euler graph if all vertices of G are of even degree.
- 4. Prove that a graph G of n vertices always has a Hamiltonian path if the sum of the degrees of every pair of vertices Vi, Vj in G satisfies the condition d(Vi) + d(Vj) = n 1

# Course Outcome 3 (CO3):

- 1. Discuss the centre of a tree with suitable example.
- 2. Define binary tree. Then prove that number of pendant vertices in a binary tree is  $\frac{(n+1)}{2}$
- 3. Prove that a tree with n vertices has n 1 edges.
- 4. Explain Floyd Warshall algorithm.
- 5. Run Dijkstra's algorithm on the following directed graph, starting at vertex S.



# Course Outcome 4 (CO4):

- 1. Define edge connectivity, vertex connectivity and separable graphs. Give an example for each.
- 2. Prove that a connected graph with *n* vertices and e edges has e n + 2 edges.
- 3. Prove the statement: Every cut set in a connected graph G must also contain at least one branch of every spanning tree of G.
- 4. Draw the geometrical dual (G\*) of the graph given below, also check whether G and G\* are self-duals or not, substantiate your answer clearly.



# Course Outcome 5 (CO5):

- 1. Show that if A(G) is an incidence matrix of a connected graph G with n vertices, then rank of A(G) is n-1.
- 2. Show that if **B** is a cycle matrix of a connected graph **G** with **n** vertices and **m** edges, then rank B = m-n+1.
- 3. Derive the relations between the reduced incidence matrix, the fundamental cycle matrix, and the fundamental cut-set matrix of a graph G.
- 4. Characterize simple, self-dual graphs in terms of their cycle and cut-set matrices.

# Course Outcome 6 (CO6):

- 1. Show that an n vertex graph is a tree iff its chromatic polynomial is  $Pn(\lambda) = \lambda(\lambda 1)^{n-1}$
- 2. Prove the statement: "A covering g of a graph is minimal if g contains no path of length three or more."
- 3. Find the chromatic polynomial of the graph



# **Model Question paper**

QP Code :

Reg No.:\_\_\_\_\_

Total Pages: 4

Name:\_\_\_\_\_

# **APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY** IV SEMESTER B.TECH DEGREE EXAMINATION, MONTH and YEAR

# **Course Code: MAT 206**

# **Course Name: GRAPH THEORY**

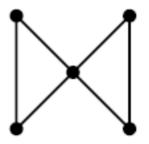
Max. Marks: 100

Duration: 3 Hours

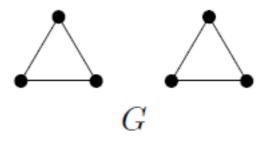
# PART A Answer all questions, each carries3 marks.

Mark s

- 1 Construct a simple graph of 12 vertices with two of them having degree 1, (3) three having degree 3 and the remaining seven having degree 10.
- 2 What is the largest number of vertices in a graph with 35 edges, if all (3) vertices are of degree at least 3?
- 3 Define a Euler graph. Give an example of Eulerian graph which is not (3) Hamiltonian
- 4 Give an example of a strongly connected simple digraph without a directed (3) Hamiltonian path.
- 5 What is the sum of the degrees of any tree of n vertices? (3)
- 6 How many spanning trees are there for the following graph (3)



- 7 Show that in a simple connected planar graph *G* having *V*-vertices, *E*-edges, (3) and no triangles  $E \le 3V 6$ .
- 8 Let G be the following disconnected planar graph. Draw its dual G\*, and the (3) dual of the dual (G\*)\*.



- 9 Consider the circuit matrix **B** and incidence matrix **A** of a simple connected (3) graph whose columns are arranged using the same order of edges. Prove that every row of **B** is orthogonal to every row of **A**?
- 10 A graph is *critical* if the removal of any one of its vertices (and the edges (3) adjacent to that vertex) results in a graph with a lower chromatic number. Show that  $K_n$  is critical for all n > 1.

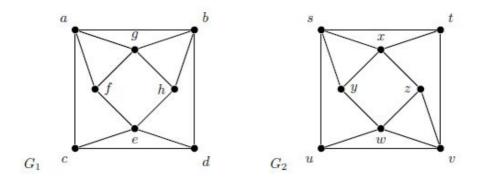
# PART B

# Answer any one Question from each module. Each question carries 14 Marks

- a) Prove that for any simple graph with at least two vertices has two vertices of (6) the same degree.
  - b) Prove that in a complete graph with *n* vertices there are (n-1)/2 edge disjoint (8) Hamiltonian circuits and  $n \ge 3$

#### OR

12 a) Determine whether the following graphs  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  are (6) isomorphic or not. Give justification.



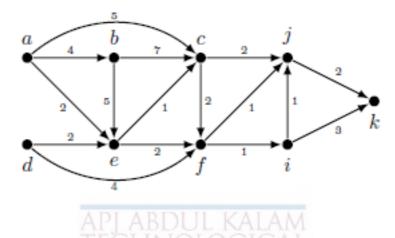
- b) Prove that a simple graph with *n* vertices and *k* components can have at (8) most (*n-k*) (*n-k+1*)/2 edges
- 13 a) Let S be a set of 5 elements. Construct a graph G whose vertices are subsets (8) of S of size 2 and two such subsets are adjacent in G if they are disjoint.
  - i. Draw the graph G.
  - ii. How many edges must be added to **G** in order for **G** to have a Hamiltonian cycle?
  - b) Let G be a graph with exactly two connected components, both being (6)
    Eulerian. What is the minimum number of edges that need to be added to G to obtain an Eulerian graph?

#### OR

- 14 a) Show that a *k*-connected graph with no hamiltonian cycle has an (8) independent set of size k + 1.
  - b) i. Let *G* be a graph that has exactly two connected components, both being Hamiltonian graphs. Find the minimum number of edges that one needs to add to *G* to obtain a Hamiltonian graph. (6)
    - ii. For which values of n the graph  $Q_n$  (hyper-cube on n vertices) is Eulerian.
- 15 a) A tree T has at least one vertex v of degree 4, and at least one vertex w of (5) degree 3. Prove that T has at least 5 leaves.

b) Write Dijkstra's shortest path algorithm.

Consider the following weighted directed graph *G*.

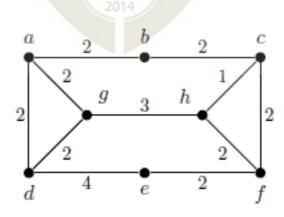


Find the shortest path between a and every other vertices in G using Dijkstra's shortest path algorithm.

OR

16 a) Define pendent vertices in a binary tree? Prove that the number of pendent (5) vertices in a binary tree with n vertices is (n+1)/2.

b) Write Prim's algorithm for finding minimum spanning tree.
 (9) Find a minimum spanning tree in the following weighted graph, using Prim's algorithm.

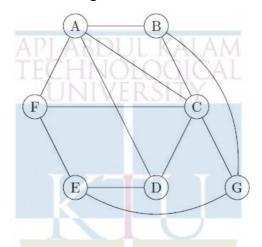


Determine the number of minimum spanning trees for the given graph.

- 17 a) i. State and prove Euler's Theorem relating the number of faces, edges and (9) vertices for a planar graph.
  - ii. If G is a 5-regular simple graph and |V| = 10, prove that G is non-planar.
  - b) Let G be a connected graph and e an edge of G. Show that e is a cut-edge if (5) and only if e belongs to every spanning tree.

# OR

18 a) State Kuratowski's theorem, and use it to show that the graph G below is not (9) planar. Draw G on the plane without edges crossing. Your drawing should use the labelling of the vertices given.

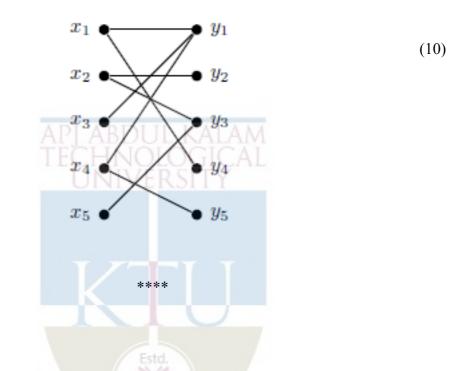


- b) Let G be a connected graph and e an edge of G. Show that e belongs to a (5) loop if and only if e belongs to no spanning tree.
- 19 a) Define the circuit matrix B(G) of a connected graph G with n vertices and e (7) edges with an example. Prove that the rank of B(G) is e-n+1
  - b) Give the definition of the chromatic polynomial  $P_G(k)$ . Directly from the (7) definition, prove that the chromatic polynomials of  $W_n$  and  $C_n$  satisfy the identity  $P_{Wn}(k) = k P_{Cn-1} (k-1)$ .

# OR

20 a) Define the incidence matrix of a graph G with an example. Prove that the (4) rank of an incidence matrix of a connected graph with n vertices is n-1.

- b) i. A graph G has chromatic polynomial  $P_G(k) = k^4 4k^3 + 5k^2 2k$ . How many vertices and edges does G have? Is G bipartite? Justify your answers.
  - ii. Find a maximum matching in the graph below and use Hall's theorem to show that it is indeed maximum.



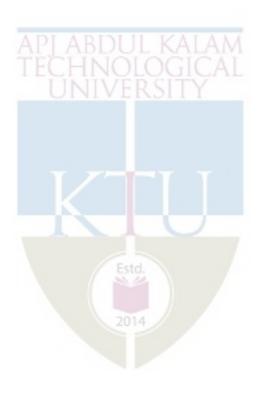
# Assignments

Assignment must include applications of the above theory in Computer Science.

	Teaching Plan					
No	Торіс	No. of Lectures				
1	Module-I (Introduction to Graphs)					
1.	Introduction- Basic definition – Application of graphs – finite and infinite graphs, bipartite graphs,	1				
2.	Incidence and Degree – Isolated vertex, pendent vertex and Null graph	1				
3.	Paths and circuits	1				
4.	Isomorphism	1				
5.	Sub graphs, walks APJ ABDUL KALAM	1				
6.	Paths and circuits	1				
7.	Connected graphs.	1				
8.	Disconnected graphs and components	1				
2	Module-II (Eulerian and Hamiltonian graphs)	(8)				
1.	Euler graphs	1				
2.	Operations on graphs	1				
3.	Hamiltonian paths and circuits	1				
4.	Hamiltonian paths circuits	1				
5.	Travelling salesman problem	1				
6.	Directed graphs – types of digraphs,	1				
7.	Digraphs and binary relation, Directed paths	1				
8.	Fleury's algorithm	1				
3	Module-III (Trees and Graph Algorithms)	(11)				
1.	Trees – properties	1				
2.	Trees – properties	1				
3.	Trees – properties, pendent vertex	1				
4.	Distance and centres in a tree	1				

5.	Rooted and binary tree	1
6.	Counting trees	1
7.	Spanning trees, Fundamental circuits	1
8.	Prim's algorithm	1
9.	Kruskal's algorithm	1
10.	Dijkstra's shortest path algorithm	1
11.	Floyd-Warshall shortest path algorithm	1
4	Module-IV (Connectivity and Planar Graphs)	(9)
1.	Vertex Connectivity, Edge Connectivity	1
2.	Cut set and Cut Vertices	1
3.	Fundamental circuits	1
4.	Fundamental circuits	1
5.	Planar graphs	1
6.	Kuratowski's theorem	1
7.	Different representations of planar graphs	1
8.	Euler's theorem	1
9.	Geometric dual 2014	1
5	Module-V (Graph Representations and Vertex Colouring)	(9)
1.	Matrix representation of graphs- Adjacency matrix, Incidence Matrix	1
2.	Circuit Matrix, Path Matrix	1
3.	Colouring- chromatic number,	1
4.	Chromatic polynomial	1
5.	Matching	1
6.	Covering	1
7.	Four colour problem and five colour problem	1

8.	Four colour problem and five colour problem	1
9.	Greedy colouring algorithm.	1



CST 202	Computer Organization and Architecture	CATEGORY	L	Т	Р	CREDIT	YEAR OF INTRODUCTION
		РСС	3	1	0	4	2019

# **Preamble:**

The course is prepared with the view of enabling the learners capable of understanding the fundamental architecture of a digital computer. Study of Computer Organization and Architecture is essential to understand the hardware behind the code and its execution at physical level by interacting with existing memory and I/O structure. It helps the learners to understand the fundamentals about computer system design so that they can extend the features of computer organization to detect and solve problems occurring in computer architecture.

**Prerequisite :** Topics covered under the course Logic System Design (CST 203)

Course Outcomes: After the completion of the course the student will be able to

CO#	СО				
CO1	Recognize and express the relevance of basic components, I/O organization and				
CO2	pipelining schemes in a digital computer (Cognitive knowledge: Understand) Explain the types of memory systems and mapping functions used in memory systems (Cognitive Knowledge Level: Understand)				
CO3	Demonstrate the control signals required for the execution of a given instruction (Cognitive Knowledge Level: Apply))				
CO4	Illustrate the design of Arithmetic Logic Unit and explain the usage of registers in it (Cognitive Knowledge Level: Apply)				
CO5	Explain the implementation aspects of arithmetic algorithms in a digital computer (Cognitive Knowledge Level:Apply)				
CO6	Develop the control logic for a given arithmetic problem (Cognitive Knowledge				
	Level: Apply)				

PO1	PO2	PO3	PO4	PO5	PO6	PO7	PO8	PO9	PO10	PO11	PO12
			AP	AB	DUI	. KA	lan				
				CH1 UNI	JOL Vef	OGI SIT	CAI Y				
	PO1	PO1       PO2         Image: Constraint of the second s	PO1       PO2       PO3         Image: Constraint of the strength of the strengeh of the strength of the strength of the strengeh o	PO1       PO2       PO3       PO4         Image: Constraint of the stress of the stres	PO1       PO2       PO3       PO4       PO5         Image: Constraint of the stress of the	PO1       PO2       PO3       PO4       PO5       PO6         Image: Constraint of the strength of the strenge strength of the strength of the strength	PO1PO2PO3PO4PO5PO6PO7Image: Second strain strai	PO1PO2PO3PO4PO5PO6PO7PO8Image: Strain	PO1PO2PO3PO4PO5PO6PO7PO8PO9Image: Strain st	PO1PO2PO3PO4PO5PO6PO7PO8PO9PO10<	PO1PO2PO3PO4PO5PO6PO7PO8PO9PO10PO11Image: Strain Stra

# Mapping of course outcomes with program outcomes

	Abstract POs defined by National Board of Accreditation					
PO#	Broad PO	PO#	Broad PO			
PO1	Engineering Knowledge	PO7	Environment and Sustainability			
PO2	Problem Analysis	PO8	Ethics			
PO3	Design/Development of solutions	PO9	Individual and team work			
PO4	Conduct investigations of complex problems	PO10	Communication			
PO5	Modern tool usage	PO11	Project Management and Finance			
PO6	The Engineer and Society	PO12	Life long learning			

# **Assessment Pattern**

Dia am <sup>2</sup> a Catagony	Continuous A	ssessment Tests	End Semester	
Bloom's Category	Test1 (%)	Test2 (%)	Examination Marks (%)	
Remember	20	20	30	
Understand	40	40	30	
Apply	40	40	40	
Analyze				

Evaluate		
Create		

# **Mark Distribution**

Total Marks	CIE Marks	ESE Marks	ESE Duration
150	50	100	3 hours

#### **Continuous Internal Evaluation Pattern:**

Attendance	API ABDUL KALAM	: 10 marks
Continuous Assessment Tests	UNIVERSITY	: 25 marks
Continuous Assessment Assig	nment	: 15 marks
Internal Examination Patter	n:	

Each of the two internal examinations has to be conducted out of 50 marks

First Internal Examination shall be preferably conducted after completing the first half of the syllabus and the Second Internal Examination shall be preferably conducted after completing remaining part of the syllabus.

There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly covered module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly covered module), each with 7 marks. Out of the 7 questions in Part B, a student should answer any 5.

#### **End Semester Examination Pattern:**

There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which a student should answer any one. Each question can have maximum 2 sub-divisions and carries 14 marks.

#### Syllabus

#### Module 1

- **Basic Structure of computers** functional units basic operational concepts bus structures. Memory locations and addresses - memory operations, Instructions and instruction sequencing, addressing modes.
- **Basic processing unit** fundamental concepts instruction cycle execution of a complete instruction single bus and multiple bus organization

#### Module 2

**Register transfer logic:** inter register transfer – arithmetic, logic and shift micro operations.

**Processor logic design:** - processor organization – Arithmetic logic unit - design of arithmetic circuit - design of logic circuit - Design of arithmetic logic unit - status register – design of shifter - processor unit – design of accumulator.

#### Module 3

- **Arithmetic algorithms:** Algorithms for multiplication and division (restoring method) of binary numbers. Array multiplier, Booth's multiplication algorithm.
- **Pipelining:** Basic principles, classification of pipeline processors, instruction and arithmetic pipelines (Design examples not required), hazard detection and resolution.

#### Module 4

**Control Logic Design:** Control organization – Hard\_wired control-microprogram control – control of processor unit - Microprogram sequencer,micro programmed CPU organization - horizontal and vertical micro instructions.

#### Module 5

**I/O organization:** accessing of I/O devices – interrupts, interrupt hardware -Direct memory access.

**Memory system:** basic concepts – semiconductor RAMs. memory system considerations – ROMs, Content addressable memory, cache memories - mapping functions.

# **Text Books**

- 1. Hamacher C., Z. Vranesic and S. Zaky, Computer Organization ,5/e, McGraw Hill, 2011
- 2. Mano M. M., Digital Logic & Computer Design, PHI, 2004
- **3.** KaiHwang, Faye Alye Briggs, Computer architecture and parallel processing McGraw-Hill, 1984

#### **Reference Books**

- 1. Mano M. M., Digital Logic & Computer Design, 3/e, Pearson Education, 2013.
- 2. Patterson D.A. and J. L. Hennessy, Computer Organization and Design, 5/e, Morgan Kaufmann Publishers, 2013.
- 3. William Stallings, Computer Organization and Architecture: Designing for Performance, Pearson, 9/e, 2013.
- 4. Chaudhuri P., Computer Organization and Design, 2/e, Prentice Hall, 2008.
- 5. Rajaraman V. and T. Radhakrishnan, Computer Organization and Architecture, Prentice Hall, 2011

#### Sample Course Level Assessment Questions

**Course Outcome1(CO1):** Which are the registers involved in a memory access operation and how are they involved in it?

**Course Outcome 2(CO2):** Explain the steps taken by the system to handle a write miss condition inside the cache memory.

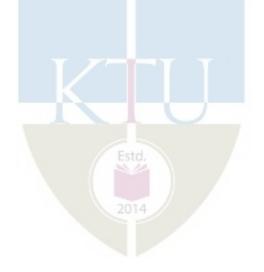
**Course Outcome 3(CO3):** Generate the sequence of control signals required for the execution of the instruction MOV [R1],R2 in a threebus organization.

**Course Outcome 4(CO4):** Design a 4-bit combinational logic shifter with 2 control signals H0 and H1 that perform the following operations :

H1	HO	Operation	
0	0 Transfer 1's to all output line		
0 1		No shift operation	
1 0 Shi		Shift left	
1 1 S		Shift right	

**Course Outcome 5(CO5):** Explain the restoring algorithm for binary division. Also trace the algorithm to divide (1001)<sub>2</sub> by (11)<sub>2</sub>

**Course Outcome 6(CO6):** Design a software control logic based on microprogramed control to perform the addition of 2 signed numbers represented in sign magnitude form.



# **Model Question Paper**

QP CODE:

Reg No:\_\_\_\_\_

Name:\_\_\_\_\_

### APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY

#### THIRD SEMESTER B.TECH DEGREE EXAMINATION, MONTH & YEAR

# Course Code: CST 202

#### **Course Name:** Computer organization and architecture

Max.Marks:100

#### **Duration: 3 Hours**

# PART A

# Answer all Questions. Each question carries 3 Marks

- 1. Give the significance of instruction cycle.
- 2. Distinguish between big endian and little endian notations. Also give the significance of these notations.
- 3. Compare I/O mapped I/O and memory mapped I/O.
- 4. Give the importance of interrupts in I/O interconnection.
- 5. Justify the significance of status register.
- 6. How does the arithmetic circuitry perform logical operations in an ALU.
- 7. Illustrate divide overflow with an example.
- 8. Write notes on arithmetic pipeline.
- 9. Briefly explain the role of micro program sequence.
- **10.** Differentiate between horizontal and vertical micro instructions.

# Part B

# Answer any one Question from each module. Each question carries 14 Marks

PAGES:2

11.

11.(a) What is the significance of addressing modes in computer architecture.

(4)

11.(b) Write the control sequence for the instruction DIV R1,[R2] in a three bus structure. (10)

#### OR

**12.** Explain the concept of a single bus organization with help of a diagram. Write the control sequence for the instruction ADD [R1],[R2].

14.

- 14.(a) Design a 4 bit combinational logic shifter with 2 control signals H1 and H2 that perform the following operations (bit values given in parenthesis are the values of control variable H1 and H2 respectively.) : Transfer of 0's to S (00), shift right (01), shift left (10), no shift (11).
- 14.(b) Design an ALU unit which will perform arithmetic and logic operation with a given binary adder.

15.

15.(a) Give the logic used behind Booth's multiplication algorithm.

(4)

(5)

(9)

15.(b) Identify the appropriate algorithm available inside the system to perform the multiplication between -14 and -9. Also trace the algorithm for the above input.

(10)

#### OR

16.

16.(a) List and explain the different pipeline hazards and their possible solutions

(10)

16.(b) Design a combinational circuit for 3x2 multiplication. (4)17. Design a hardwared control unit used to perform addition/subtraction of 2 numbers represented in sign magnitude form.

(14)

(14)

# OR

18. Give the structure of the micro program sequencer and its role in sequencing the micro instructions.

19.		APJ ABDL	JL KALAM	
	19.(a) Explain the differen	t ways in which i	interrupt priority s	chemes can be implemented (10)
	19.(b) Give the structure o	f SRAM cell.		
			OR	(4)
20.				
	20.(a) Explain the various	mapping functio	ns available in ca	che memory. (9)

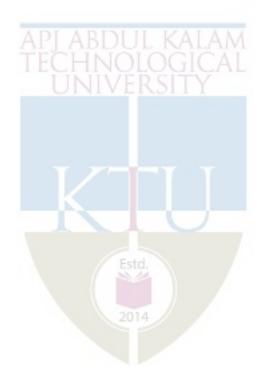
20.(b) Briefly explain content addressable memory.

(5)

	TEACHING PLAN			
No	Contents	No of Lecture Hrs		
	Module 1 : (Basic Structure of computers) (9 hours)			
1.1	Functional units,basic operational concepts,bus structures (introduction)	1		
1.2	Memory locations and addresses, memory operations	1		
1.3	Instructions and instruction sequencing	1		
1.4	Addressing modes	1		
1.5	Fundamental concepts of instruction execution, instruction cycle	1		
1.6	Execution of a complete instruction - single bus organization (Lecture 1)	1		
1.7	Execution of a complete instruction - single bus organization (Lecture 2)	1		
1.8	Execution of a complete instruction - multiple bus organization (Lecture 1)	1		
1.9	Execution of a complete instruction - multiple bus organization (Lecture 2)	1		
	Module 2 :(Register transfer logic and Processor logic design) (10 h	ours)		
2.1	Inter register transfer – arithmetic micro operations	1		
2.2	Inter register transfer – logic and shift micro operations	1		
2.3	Processor organization	1		
2.4	Design of arithmetic circuit	1		
2.5	Design of logic circuit	1		
2.6	Design of arithmetic logic unit	1		
2.7	Design of status register	1		
2.8	Design of shifter - processor unit	1		

2.9	Design of accumulator (Lecture 1)	1				
2.10	Design of accumulator (Lecture 2)	1				
	Module 3 : (Arithmetic algorithms and Pipelining) (9 hours)					
3.1	Algorithm for multiplication of binary numbers	1				
3.2	Algorithm for division (restoring method) of binary numbers	1				
3.3	Array multiplier	1				
3.4	Booth's multiplication algorithm	1				
3.5	Pipelining: Basic principles	1				
3.6	Classification of pipeline processors (Lecture 1)	1				
3.7	Classification of pipeline processors (Lecture 2)	1				
3.8	Instruction and arithmetic pipelines (Design examples not required)	1				
3.9	Hazard detection and resolution	1				
	Module 4 :( Control Logic Design) (9 hours)					
4.1	Control organization –design of hardwired control logic (Lecture 1)	1				
4.2	Control organization -design of hardwired control logic (Lecture 2)	1				
4.3	Control organization –design of hardwired control logic (Lecture 3)	1				
4.4	Design of microprogram control logic-control of processor unit (Lecture1)	1				
4.5	Design of microprogram control logic-control of processor unit (Lecture2)	1				
4.6	Design of microprogram control logic-control of processor unit (Lecture3)	1				
4.7	Microprogram sequencer	1				
4.8	Micro programmed CPU organization	1				
4.9	Microinstructions –horizontal and vertical micro instructions	1				
	Module 5 : (Basic processing units, I/O and memory) (8 hours)					
5.1	Accessing of I/O devices –interrupts	1				
5.2	Interrupt hardware	1				

5.3	Direct memory access	1
5.4	Memory system: basic concepts -semiconductor RAMs	1
5.5	Memory system considerations – ROMs	1
5.6	Content addressable memory	1
5.7	Cache memories -mapping functions (Lecture 1)	1
5.8	Cache memories -mapping functions (Lecture 2)	1



CST 204	Database Management Systems	CATEGORY	L	Т	Р	CREDIT	YEAR OF INTRODUCTION
		РСС	3	1	0	4	2019

**Preamble**: This course provides a clear understanding of fundamental principles of Database Management Systems (DBMS) with special focus on relational databases to the learners. The topics covered in this course are basic concepts of DBMS, Entity Relationship (ER) model, Relational Database principles, Relational Algebra, Structured Query Language (SQL), Physical Data Organization, Normalization and Transaction Processing Concepts. The course also gives a glimpse of the alternative data management model, NoSQL. This course helps the learners to manage data efficiently by identifying suitable structures to maintain data assets of organizations and to develop applications that utilize database technologies.

**Prerequisite**: Topics covered under the course Data Structures (CST 201), Exposure to a High Level Language like C/python.

CO1	Summarize and exemplify fundamental nature and characteristics of database systems (Cognitive Knowledge Level: Understand)				
CO2	Model real word scenarios given as informal descriptions, using Entity Relationship diagrams. (Cognitive Knowledge Level: Apply)				
CO3	Model and design solutions for efficiently representing and querying data using relational model (Cognitive Knowledge Level: Analyze)				
CO4	Demonstrate the features of indexing and hashing in database applications (Cognitive Knowledge Level: Apply)				
C05	Discuss and compare the aspects of Concurrency Control and Recovery in Database systems (Cognitive Knowledge Level: Apply)				
CO6	Explain various types of NoSQL databases (Cognitive Knowledge Level: Understand)				

	PO1	PO2	PO3	PO4	PO5	PO6	PO7	PO8	PO9	PO10	PO11	PO12
CO1												
CO2												
CO3												
CO4												
CO5				AP	AB	DUI	. KA	lam				
CO6				TE(		NOL IVEF	OGI SIT	CAL Y				

# Mapping of course outcomes with program outcomes

	Abstract POs defined by National Board of Accreditation							
PO#	Broad PO	PO#	Broad PO					
PO1	Engineering Knowledge P		Environment and Sustainability					
PO2	02 Problem Analysis		Ethics					
PO3	Design/Development of solutions		Individual and team work					
PO4	O4 Conduct investigations of complex problems		Communication					
PO5	PO5 Modern tool usage		Project Management and Finance					
PO6	D6 The Engineer and Society		Life long learning					

# **Assessment Pattern**

	Continuous As	End Semester			
Bloom's Category	Test1 (%)	Test2 (%)	Examination Marks (%)		
Remember	30	30	30		
Understand	40	40	40		
Apply	30	30	30		

Analyze		
Evaluate		
Create		

# **Mark Distribution**

	Total Marks	<b>CIE Marks</b>	ESE Marks	ESE Duration
	150	50	100	3 hours
Co	ontinuous Internal Eval	luation Pattern:	LOGICAL	
At	tendance	UNIVE		: 10 marks
Сс	ontinuous Assessment Te	sts		: 25 marks
Сс	ontinuous Assessment As	ssignment		: 15 marks
In	ternal Examination Pa	ttern:		

Each of the two internal examinations has to be conducted out of 50 marks

std.

First Internal Examination shall be preferably conducted after completing the first half of the syllabus and the Second Internal Examination shall be preferably conducted after completing remaining part of the syllabus.

There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly covered module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly covered module), each with 7 marks. Out of the 7 questions in Part B, a student should answer any 5.

### **End Semester Examination Pattern:**

There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which a student should answer any one. Each question can have maximum 2 sub-divisions and carries 14 marks.

# Syllabus

# Module 1: Introduction & Entity Relationship (ER) Model

Concept & Overview of Database Management Systems (DBMS) - Characteristics of Database system, Database Users, structured, semi-structured and unstructured data. Data Models and Schema - Three Schema architecture. Database Languages, Database architectures and classification.

ER model - Basic concepts, entity set & attributes, notations, Relationships and constraints, cardinality, participation, notations, weak entities, relationships of degree 3.

# Module 2: Relational Model

Structure of Relational Databases - Integrity Constraints, Synthesizing ER diagram to relational schema

Introduction to Relational Algebra - select, project, cartesian product operations, join - Equi-join, natural join. query examples, introduction to Structured Query Language (SQL), Data Definition Language (DDL), Table definitions and operations – CREATE, DROP, ALTER, INSERT, DELETE, UPDATE.

# Module 3: SQL DML (Data Manipulation Language), Physical Data Organization

SQL DML (Data Manipulation Language) - SQL queries on single and multiple tables, Nested queries (correlated and non-correlated), Aggregation and grouping, Views, assertions, Triggers, SQL data types.

Physical Data Organization - Review of terms: physical and logical records, blocking factor, pinned and unpinned organization. Heap files, Indexing, Singe level indices, numerical examples, Multi-level-indices, numerical examples, B-Trees & B+-Trees (structure only, algorithms not required), Extendible Hashing, Indexing on multiple keys – grid files.

# **Module 4: Normalization**

Different anomalies in designing a database, The idea of normalization, Functional dependency, Armstrong's Axioms (proofs not required), Closures and their computation, Equivalence of Functional Dependencies (FD), Minimal Cover (proofs not required). First Normal Form (1NF), Second Normal Form (2NF), Third Normal Form (3NF), Boyce Codd Normal Form (BCNF), Lossless join and dependency preserving decomposition, Algorithms for checking Lossless Join (LJ) and Dependency Preserving (DP) properties.

# Module 5: Transactions, Concurrency and Recovery, Recent Topics

Transaction Processing Concepts - overview of concurrency control, Transaction Model, Significance of concurrency Control & Recovery, Transaction States, System Log, Desirable Properties of transactions.

Serial schedules, Concurrent and Serializable Schedules, Conflict equivalence and conflict serializability, Recoverable and cascade-less schedules, Locking, Two-phase locking and its variations. Log-based recovery, Deferred database modification, check-pointing.

Introduction to NoSQL Databases, Main characteristics of Key-value DB (examples from: Redis), Document DB (examples from: MongoDB)

Main characteristics of Column - Family DB (examples from: Cassandra) and Graph DB (examples from : ArangoDB)

# **Text Books**

- 1. Elmasri R. and S. Navathe, Database Systems: Models, Languages, Design and Application Programming, Pearson Education, 2013.
- 2. Sliberschatz A., H. F. Korth and S. Sudarshan, Database System Concepts, 6/e, McGraw Hill, 2011.

Reference Books:

- 1. Adam Fowler, NoSQL for Dummies, John Wiley & Sons, 2015
- 2. NoSQL Data Models: Trends and Challenges (Computer Engineering: Databases and Big Data), Wiley, 2018
- 3. Web Resource: <u>https://www.w3resource.com/redis/</u>
- 4. web Resource: <u>https://www.w3schools.in/category/mongodb/</u>
- 5. Web Resource: https://www.tutorialspoint.com/cassandra/cassandra\_introduction.htm
- 6. Web Resource : <u>https://www.tutorialspoint.com/arangodb/index.htm</u>

# Sample Course Level Assessment Questions

# Course Outcome1 (CO1):

- 1. List out any three salient features of database systems, which distinguish it from a file system.
- 2. Give one example each for logical and physical data independence.

# Course Outcome 2(CO2):

1. What facts about the relationships between entities EMPLOYEE and PROJECT are conveyed by the following ER diagram?



1. Design an ER diagram for the following scenario:

There is a set of teams, each team has an ID (unique identifier), name, main stadium, and to which city this team belongs. Each team has many players, and each player belongs to one team. Each player has a number (unique identifier), name, DoB, start year, and shirt number that he uses. Teams play matches, in each match there is a host team and a guest team.

# Course Outcome 3(CO3):

- 1. For the SQL query, SELECT *A*, *B* FROM *R* WHERE *B*='apple' AND *C* = 'orange' on the table R(A, B, C, D), where A is a key, write any three equivalent relational algebra expressions.
- Given the FDs P→Q, P→R, QR→S, Q→T, QR→U, PR→U, write the sequence of *Armstrong's Axioms* needed to arrive at the following FDs: (a) P → T (b) PR → S (c) QR → SU
- 3. Consider a relation PLAYER (PLAYER-NO, PLAYER-NAME, PLAYER-POSN, TEAM, TEAM-COLOR, COACH-NO, COACH-NAME, TEAM-CAPTAIN). Assume that PLAYER-NO is the *only* key of the relation and that the following dependencies hold:

 $\label{eq:team-color, coach-no, team-captain} TEAM \rightarrow \{TEAM-COLOR, COACH-NO, TEAM-CAPTAIN\} COACH-NO \rightarrow COACH-NAME.$ 

- i. Is the relation in 2NF? If not, decompose to 2NF.
- ii. Is the relation in 3NF? If not, decompose to 3NF.

4. In the following tables foreign keys have the same name as primary keys except DIRECTED-BY, which refers to the primary key ARTIST-ID. Consider only *single-director* movies.

MOVIES(<u>MOVIE-ID</u>, MNAME, GENRE, LENGTH, DIRECTED-BY) ARTIST(<u>ARTIST-ID</u>, ANAME)

ACTING(<u>ARTIST-ID</u>, <u>MOVIE-ID</u>)

Write SQL expressions for the following queries:

- (a) Name(s) and director name(s) of movie(s) acted by 'Jenny'.
- (b) Names of actors who have <u>never</u> acted with 'Rony'
- (c) Count of movies genre-wise.
- (d) Name(s) of movies with maximum length.

# **Course Outcome 4(CO4):**

 Consider an EMPLOYEE file with 10000 records where each record is of size 80 bytes. The file is sorted on employee number (15 bytes long), which is the primary key. Assuming un-spanned organization, block size of 512 bytes and block pointer size of 5 bytes. Compute the number of block accesses needed for retrieving an employee record based on employee number if (i) No index is used (ii) Multi-level primary index is used.

# Course Outcome 5(CO5):

- Determine if the following schedule is *recoverable*. Is the schedule *cascade-less*? Justify your answer. *r1(X)*, *r2(Z)*, *r1(Z)*, *r3(X)*, *r3(Y)*, *w1(X)*, *c1*, *w3(Y)*, *c3*, *r2(Y)*, *w2(Z)*, *w2(Y)*, *c2*. (*Note: ri(X)/wi(X)* means transaction *Ti* issues read/write on item X; *ci* means transaction *Ti* commits.)
- 2. Two-phase locking protocol ensures serializability. Justify.

# **Course Outcome 6(CO6):**

1. List out any three salient features of NoSQL databases. Give example of a document in MongoDB.

#### **Model Question paper**

#### **QPCODE**

Reg No:\_\_\_\_\_

Name:\_\_\_\_\_

## APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY

#### FOURTH SEMESTER B.TECH DEGREE EXAMINATION, MONTH & YEAR

## Course Code: CST 204

#### Course Name: Database Management Systems

#### Max.Marks:100

**Duration: 3 Hours** 

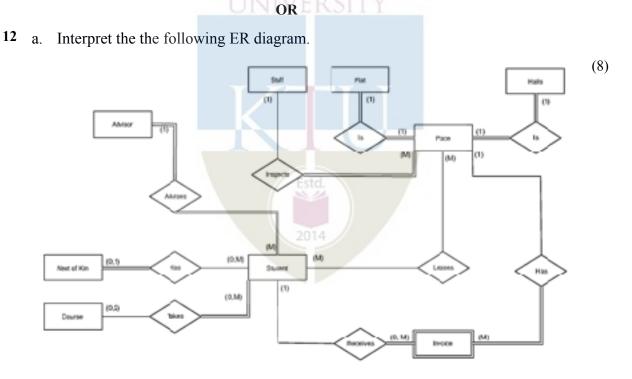
#### PART A

## Answer all Questions. Each question carries 3 Marks

- 1 List out any three salient features of a database systems.
- 2 When is multi-valued composite attribute used in ER modelling?
- <sup>3</sup> For the SQL query, SELECT *A*, *B* FROM *R* WHERE *B*='apple' AND *C* = 'orange' on the table R(A, B, C, D), where A is a key, write any two equivalent relational algebra expressions.
- 4 Outline the concept of *theta*-join.
- 5 How is the purpose of *where* clause is different from that of having clause?
- 6 What is the use of a trigger?
- 7 When do you say that a relation is not in 1NF?
- 8 Given the FDs  $P \rightarrow Q$ ,  $P \rightarrow R$ ,  $QR \rightarrow S$ ,  $Q \rightarrow T$ ,  $QR \rightarrow U$ ,  $PR \rightarrow U$ , write the sequence of Armstrong's Axioms needed to arrive at a.  $P \rightarrow T$  b.  $PR \rightarrow S$
- **9** What is meant by the lost update problem?
- 10 What is meant by check pointing?

#### Answer any one Question from each module. Each question carries 14 Marks

a. Design an ER diagram for the following scenario: There is a set of teams, each (14) team has an ID (unique identifier), name, main stadium, and to which city this team belongs. Each team has many players, and each player belongs to one team. Each player has a number (unique identifier), name, DoB, start year, and shirt number that he uses. Teams play matches, in each match there is a host team and a guest team. The match takes place in the stadium of the host team. For each match we need to keep track of the following: The date on which the game is played The final result of the match. The players participated in the match. For each player, how many goals he scored, whether or not he took yellow card, and whether or not he took red card. During the match, one player may substitute another player. We want to capture this substitution and the time at which it took place. Each match has exactly three referees. For each referee we have an ID (unique identifier), name, DoB, years of experience. One referee is the main referee and the other two are assistant referee.



b. Distinguish between physical data independence and logical data independence (6) with suitable examples.

## 13 EMPLOYEE(ENO, NAME, ADDRESS, DOB, AGE, GENDER, SALARY, (14) DNUM, SUPERENO) DEPARTMENT(DNO, DNAME, DLOCATION, DPHONE, MGRENO) PROJECT(PNO, PNAME, PLOCATION, PCOST, CDNO)

DNUM is a foreign key that identifies the department to which an employee belongs. MGRENO is a foreign key identifying the employee who manages the department. CDNO is a foreign key identifying the department that controls the project. SUPERENO is a foreign key identifying the supervisor of each employee.

Write relational algebra expressions for the following queries:-

- (a) Names of female employees whose salary is more than 20000.
- (b) Salaries of employee from 'Accounts' department
- (c) Names of employees along with his/her superviser's name
- (d) For each employee return name of the employee along with his department name and the names of projects in which he/she works
- (e) Names of employees working in all the departments

#### OR

- 14 a.Write SQL DDL statements for the the following (Assume suitable domain (10) types):
  - i. Create the tables STUDENT(<u>ROLLNO</u>, NAME, CLASS, SEM, ADVISER), FACULTY(<u>FID</u>, NAME, SALARY, DEPT). Assume that ADVISER is a foreign key referring FACUTY table.
  - ii. Delete department with name 'CS' and all employees of the department.
  - iii. Increment salary of every faculty by 10%.

b.Illustrate foreign key constraint with a typical example. (4)

**15** For the relation schema below, give an expression in SQL for each of the queries (14) that follows:

## employee(<u>employee-name</u>, street, city) works(<u>employee-name</u>, <u>company-name</u>, salary) company(<u>company-name</u>, city) manages(<u>employee-name</u>, manager-name)

- a) Find the names, street address, and cities of residence for all employees who work for the Company 'RIL Inc.' and earn more than \$10,000.
- b) Find the names of all employees who live in the same cities as the companies for which they work.
- c) Find the names of all employees who do not work for 'KYS Inc.'. Assume that all people work for exactly one company.
- d) Find the names of all employees who earn more than every employee of 'SB Corporation'. Assume that all people work for at most one company.
- e) List out number of employees company-wise in the decreasing order of number of employees.

#### OR

- a. Consider an EMPLOYEE file with 10000 records where each record is of (9) size 80 bytes. The file is sorted on employee number (15 bytes long), which is the primary key. Assuming un-spanned organization and block size of 512 bytes compute the number of block accesses needed for selecting records based on employee number if,
  - i. No index is used
  - ii. Single level primary index is used
  - iii. Multi-level primary index is used

Assume a block pointer size of 6 bytes.

b. Illustrate correlated and non-correlated nested queries with real examples. (5)

(6)

- a. Illstrate3NF and BCNF with suitable real examples.
  - b. Given a relation R(A1,A2,A3,A4,A5) with functional dependencies <sup>(8)</sup>  $A1 \rightarrow A2A4$  and  $A4 \rightarrow A5$ , check if the decomposition R1(A1,A2,A3), R2(A1,A4), R3(A2,A4,A5) is lossless.

#### OR

**18** a. Consider the un-normalized relation R(A, B, C, D, E, F, G) with the FDs (7)  $A \rightarrow B$ ,  $AC \rightarrow G$ ,  $AD \rightarrow EF$ ,  $EF \rightarrow G$ ,  $CDE \rightarrow AB$ . Trace the normalization process to reach 3NF relations.

- b. Illustrate Lossless Join Decomposition and Dependency Preserving <sup>(7)</sup> Decomposition with typical examples.
- **19** a. Discuss the four ACID properties and their importance. (7)
  - b. Determine if the following schedule is conflict serializable. Is the schedule <sup>(7)</sup> recoverable? Is the schedule cascade-less? Justify your answers.

r1(X), r2(Z), r1(Z), r3(X), r3(Y), w1(X), c1, w3(Y), c3, r2(Y), w2(Z), w2(Y), c2

(Note: ri(X)/wi(X) means transaction Ti issues read/write on item X; ci means transaction Ti commits.)

#### OR

- a. Discuss the main characteristics of Key-value DB and Graph DB. (7)
  - b. Illustrate two-phase locking with a schedule containing three transactions. <sup>(7)</sup> Argue that 2PL ensures serializability. Also argue that 2Pl can lead to deadlock.



## **Teaching Plan**

	Course Name	Hours (48)				
	Module 1: Introduction & ER Model					
1.1	Concept & Overview of DBMS, Characteristics of DB system, Database Users.					
1.2	Structured, semi-structured and unstructured data. Data Models and Schema	1				
1.3	Three-Schema-architecture. Database Languages	1				
1.4	Database architectures and classification	1				
1.5	ER model: basic concepts, entity set & attributes, notations	1				
1.6	Relationships and constraints – cardinality, participation, notations	1				
1.7	Weak entities, relationships of degree 3	1				
1.8	ER diagram – exercises	1				
	Module 2: Relational Model	7				
2.1	Structure of relational Databases, Integrity Constraints	1				
2.2	Synthesizing ER diagram to relational schema, Introduction to relational algebra.	1				
2.3	Relational algebra: select, project, Cartesian product operations	1				
2.4	Relational Algebra: join - Equi-join, Natural join	1				
2.5	Query examples	1				
2.6	Introduction to SQL, important data types	1				
2.7	DDL, Table definitions and operations – CREATE, DROP, ALTER, INSERT, DELETE, UPDATE	1				
	Module 3: SQL DML, Physical Data Organization	11				
3.1	SQL DML, SQL queries on single and multiple tables	1				
3.2	Nested queries (correlated and non-correlated)	1				
3.3	Aggregation and grouping	1				

	Course Name	Hours (48)				
3.4	Views, assertions (with examples)					
3.5	Triggers (with examples), SQL data types	1				
3.6	Review of terms: physical and logical records, blocking factor, pinned and unpinned organization. Heap files, Indexing	1				
3.7	Singe level indices, numerical examples	1				
3.8	Multi-level-indices, numerical examples	1				
3.9	B-Trees and B+Trees (structure only, algorithms not required)	1				
3.10	Extendible Hashing	1				
3.11	Indexing on multiple keys – grid files	1				
	Module 4: Normalization	8				
4.1	Different anomalies in designing a database, The idea of normalization	1				
4.2	Functional dependency, Armstrong's Axioms (proofs not required)	1				
4.3	Closures and their computation, Equivalence of FDs, minimal Cover (proofs not required).	1				
4.4	1NF, 2NF	1				
4.5	3NF, BCNF	1				
4.6	Lossless join and dependency preserving decomposition	1				
4.7	Algorithms for checking Lossless Join and Dependency preserving properties (Lecture 1)	1				
4.8	Algorithms for checking Lossless Join and Dependency preserving properties (Lecture 2)	1				
	Module 5: Transactions, Concurrency and Recovery, Recent Topics	14				
5.1	Transaction Processing Concepts: Transaction Model	1				
5.2	Overview of concurrency control, Significance of concurrency Control & Recovery	1				
5.3	Transaction States, System Log	1				

	Course Name	Hours (48)		
5.4	Desirable Properties of transactions, Serial schedules			
5.5	Concurrent and Serializable Schedules	1		
5.6	Conflict equivalence and conflict serializability	1		
5.7	Recoverable and cascade-less schedules	1		
5.8	Locking, Two-phase locking, strict 2PL.	1		
5.9	Log-based recovery	1		
5.10	Deferred database modification (serial schedule), example			
5.11	Deferred database modification (concurrent schedule) example, check-pointing			
5.12	Introduction to NoSQL Databases	1		
5.13	Main characteristics of Key-value DB (examples from: Redis), Document DB (examples from: MongoDB) [detailed study not expected]	1		
5.14	Main characteristics of Column-Family DB (examples from: Cassandra) and Graph DB (examples from : ArangoDB) [detailed study not expected]	1		

CST	OPERATING SYSTEMS	Category	L	Т	Р	Credit	Year of Introduction
206		РСС	3	1	0	4	2019

**Preamble**: Study of operating system is an essential to understand the overall working of computer system, tradeoffs between performance and functionality and the division of jobs between hardware and software. This course introduces the concepts of memory management, device management, process management, file management and security & protection mechanisms available in an operating system. The course helps the learner to understand the fundamentals about any operating system design so that they can extend the features of operating system to detect and solve many problems occurring in operating system and to manage the computer resources appropriately.

# Prerequisite: Topics covered in the courses are Data Structures (CST 201) and Programming in C (EST 102)

Course Outcomes: After the completion of the course the student will be able to

CO1	Explain the relevance, structure and functions of Operating Systems in computing devices. (Cognitive knowledge: Understand)
CO2	Illustrate the concepts of process management and process scheduling mechanisms employed in Operating Systems. (Cognitive knowledge: Understand)
CO3	Explain process synchronization in Operating Systems and illustrate process synchronization mechanisms using Mutex Locks, Semaphores and Monitors (Cognitive knowledge: Understand)
CO4	Explain any one method for detection, prevention, avoidance and recovery for managing deadlocks in Operating Systems. (Cognitive knowledge: Understand)
CO5	Explain the memory management algorithms in Operating Systems. (Cognitive knowledge: Understand)
CO6	Explain the security aspects and algorithms for file and storage management in Operating Systems. (Cognitive knowledge: Understand)

## Mapping of course outcomes with program outcomes

	PO1	PO2	PO3	PO4	PO5	PO6	PO7	PO8	PO9	PO10	PO11	PO12
CO1												
CO2												
CO3												
CO4												
CO5												
CO6												

Abstract POs defined by National Board of Accreditation						
PO#	Broad PO	PO#	Broad PO			
PO1	Engineering Knowledge	PO7	Environment and Sustainability			
PO2	Problem Analysis	PO8	Ethics			
PO3	Design/Development of solutions	PO9	Individual and team work			
PO4	Conduct investigations of complex problems	PO10	Communication			
PO5	Modern tool usage	PO11	Project Management and Finance			
PO6	The Engineer and Society	PO12	Life long learning			
Assess	Assessment Pattern					

## Assessment Pattern

Bloom's Category	Test 1 (Marks in percentage)	Test 2 (Marks in percentage)	End Semester Examination (Marks in percentage)
Remember	30	30	30
Understand	30	30	30
Apply	40	40	40
Analyse			
Evaluate			
Create			

#### **Mark Distribution**

Total Marks	CIE Marks	ESE Marks	ESE Duration
150	50	100	3

#### **Continuous Internal Evaluation Pattern:**

Attendance	: 10 marks
Continuous Assessment Test	: 25 marks
Continuous Assessment Assignment	: 15 marks

#### **Internal Examination Pattern:**

Each of the two internal examinations has to be conducted out of 50 marks. First series test shall be preferably conducted after completing the first half of the syllabus and the second series test shall be preferably conducted after completing remaining part of the syllabus. There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly completed module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly completed module), each with 7 marks. Out of the 7 questions, a student should answer any 5.

#### **End Semester Examination Pattern:**

There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which a student should answer any one. Each question can have maximum 2 sub-divisions and carries 14 marks.

## Syllabus

#### Module I

**Introduction:** Operating system overview – Operations, Functions, Service – System calls, Types – Operating System structure - Simple structure, Layered approach, Microkernel, Modules – System boot process.

## Module II

**Processes** - Process states, Process control block, threads, scheduling, Operations on processes - process creation and termination – Inter-process communication - shared memory systems, Message passing systems.

**Process Scheduling** – Basic concepts- Scheduling criteria -scheduling algorithms- First come First Served, Shortest Job Firs, Priority scheduling, Round robin scheduling

## **Module III**

**Process synchronization**- Race conditions – Critical section problem – Peterson's solution, Synchronization hardware, Mutex Locks, Semaphores, Monitors – Synchronization problems -Producer Consumer, Dining Philosophers and Readers-Writers.

**Deadlocks:** Necessary conditions, Resource allocation graphs, Deadlock prevention, Deadlock avoidance – Banker's algorithms, Deadlock detection, Recovery from deadlock.

## Module IV

**Memory Management:** Concept of address spaces, Swapping, Contiguous memory allocation, fixed and variable partitions, Segmentation, Paging. Virtual memory, Demand paging, Page replacement algorithms.

## Module V

**File System:** File concept - Attributes, Operations, types, structure – Access methods, Protection. File-system implementation, Directory implementation. Allocation methods.

Storage Management: Magnetic disks, Solid-state disks, Disk Structure, Disk scheduling, Disk formatting.

## **Text Book**

Abraham Silberschatz, Peter Baer Galvin, Greg Gagne, 'Operating System Concepts' 9th Edition, Wiley India 2015.

## **Reference Books:**

- 1. Andrew S Tanenbaum, "Modern Operating Systems", 4th Edition, Prentice Hall, 2015.
- 2. William Stallings, "Operating systems", 6th Edition, Pearson, Global Edition, 2015.
- 3. Garry Nutt, Nabendu Chaki, Sarmistha Neogy, "Operating Systems", 3<sup>rd</sup> Edition, Pearson Education.
- 4. D.M.Dhamdhere, "Operating Systems", 2<sup>nd</sup> Edition, Tata McGraw Hill, 2011.
- 5. Sibsankar Haldar, Alex A Aravind, "Operating Systems", Pearson Education.

#### Sample Course Level Assessment Questions

**Course Outcome1 (CO1):** What is the main advantage of the micro kernel approach to system design? How do user program and system program interact in a microkernel architecture?

**Course Outcome 2 (CO2):** Define process. With the help of a neat diagram explain different states of process.

**Course Outcome 3 (CO3):** What do you mean by binary semaphore and counting semaphore? With C, explain implementation of wait () and signal().

**Course Outcome 4 (CO4):** Describe resource allocation graph for the following. a) with a deadlock b) with a cycle but no deadlock.

**Course Outcome 5 (CO5):** Consider the following page reference string 1, 2, 3, 4, 2, 1, 5, 6, 2, 1, 2, 3, 7, 6, 3, 2, 1, 2, 3, 6. Find out the number of page faults if there are 4 page frames, using the following page replacement algorithms. i) LRU ii) FIFO iii) Optimal

Course Outcome 6 (CO6): Explain the different file allocation methods with advantages and disadvantages.

	<b>Model Question Paper</b>	
QP CODE:		PAGES:
Reg No: Name:		
Name:	Estd.	

## APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY FOURTH SEMESTER B.TECH DEGREE EXAMINATION, MONTH & YEAR

**Course Code: CST 206** 

## **Course name : OPERATING SYSTEMS**

## Max Marks: 100

**Duration: 3 Hours** 

## PART-A

## (Answer All Questions. Each question carries 3 marks)

- 1. How does hardware find the Operating System kernel after system switch-on?
- 2. What is the purpose of system call in operating system?
- 3. Why is context switching considered as an overhead to the system?

- 4. How is inter process communication implement using shared memory?
- 5. Describe resource allocation graph for the following.

a) with a deadlock b) with a cycle but no deadlock.

- 6. What is critical section? What requirement should be satisfied by a solution to the critical section problem?
- 7. Consider the reference string 1, 2, 3, 4, 2, 1, 5, 6, 2, 1, 2, 3, 7, 6, 3, 2, 1, 2, 3, 6. How many page faults occur while using FCFS for the following cases.

a) frame=2 b)frame=3

- 8. Differentiate between internal and external fragmentations.
- 9. Compare sequential access and direct access methods of storage devices.
- 10. Define the terms (i) Disk bandwidth (ii) Seek time.

#### PART-B(Answer any one question from each module)

- 11. a) Explain the following structures of operating system (i) Monolithic systems(ii) Layered Systems (iii) Micro Kernel (iv) Modular approach. (12)
  - b) Under what circumstances would a user be better of using a time sharing system than a PC or a single user workstation? (2)

## 201.OR

- 12. a) What is the main advantage of the micro kernel approach to system design? How do user program and system program interact in a microkernel architecture? (8)
  - b) Describe the differences between symmetric and asymmetric multiprocessing? What are the advantages and disadvantages of multiprocessor systems? (6)
- 13. a) Define process. With the help of a neat diagram explain different states of process. (8)b) Explain how a new process can be created in Unix using fork system call. (6)

#### OR

14 a) Find the average waiting time and average turnaround time for the processes given in the table below using:- i) SRT scheduling algorithm ii) Priority scheduling algorithm (9)

Process	Arrival Time (ms)	CPU Burst Time (ms)	Priority
P1	0	5	3
P2	2	4	1
P3	3	1	2
P4	5	2	4

b) What is a Process Control Block? Explain the fields used in a Process Control Block. (5)

15. Consider a system with five processes P<sub>0</sub> through P<sub>4</sub> and three resources of type A, B, C. Resource type A has 10 instances, B has 5 instances and C has 7 instances. Suppose at time t<sub>0</sub> following snapshot of the system has been taken:

Process	Allocation	UL Max M	Available
	A B CUNIN	ATB C	ABC
Po	010	7 5 3	332
P <sub>1</sub>	200	322	
P <sub>2</sub>	302	902	
Pз	2 1 1	222	
P4	0 0 2	4 3 3	

- i) What will be the content of the Need matrix? Is the system in a safe state? If Yes, then what is the safe sequence?
- iii)What will happen if process P<sub>1</sub> requests one additional instance of resource type A and two instances of resource type C? (6)

## OR

- 16. a) State dining philosopher's problem and give a solution using semaphores. (7)
  - b) What do you mean by binary semaphore and counting semaphore? With C struct, explain implementation of wait () and signal() (7)

- 17. a) Consider the following page reference string 1, 2, 3, 4, 2, 1, 5, 6, 2, 1, 2, 3, 7, 6, 3, 2, 1, 2, 3, 6. Find out the number of page faults if there are 4 page frames, using the following page replacement algorithms i) LRU ii) FIFO iii) Optimal (9)
  - b) Explain the steps involved in handling a page fault. (5)

#### OR

- 18. a) With a diagram, explain how paging is done with TLB. (5)
  - b) Memory partitions of sizes 100 kb, 500 kb, 200 kb, 300 kb, 600 kb are available, how would best ,worst and first fit algorithms place processes of size 212 kb, 417 kb, 112 kb, 426 kb in order. Rank the algorithms in terms of how efficiently they uses memory. (9)
- 19. a) Suppose that a disk drive has 5000 cylinders, numbered 0 to 4999. the drive currently services a request at cylinder 143, and the previous request was at cylinder 125. the queue of pending request in FIFO order is 86, 1470, 913, 1774, 948, 1509, 1022, 1750, 130. Starting from the current position, what is the total distance (in cylinders) that the disk arm moves to satisfy all pending requests for each of the following algorithms

i) FCFS	ii) SSFT	iii) SCAN	iv) LOOK	v) C-SCAN	(10)
---------	----------	-----------	----------	-----------	------

b) What is the use of access matrix in protection mechanism? (4)

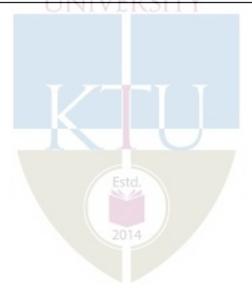
#### OR

	Module 1 - Introduction					
1.1	Introduction to Operating System	1				
1.2	Operating System operations, functions, service	1				
1.3	System calls, Types	1				
1.4	Operating System Structure: Simple, Layered, Microkernel, Modules	1				
1.5	System Boot Process	1				
	Module 2 – Processes and Process Scheduling	9 Hours				
2.1	Processes, Process states	1				
2.2	Process Control Block, Threads	1				

## **Teaching Plan**

2.3	Scheduling	1			
2.4	Operations on processes: process creation and termination	1			
2.5	Inter-process communication: Shared memory systems, Message Passing				
2.6	Process Scheduling – Basic concepts, Scheduling Criteria				
2.7	Scheduling algorithms - Basics	1			
2.8	First come First Served, Shortest Job First	1			
2.9	Priority scheduling, Round Robin Scheduling	1			
	Module 3 - Process synchronization and Dead locks	13 Hours			
3.1	Process synchronization, Race conditions	1			
3.2	Critical Section problem, Peterson's solution	1			
3.3	Synchronization hardware, Mutex Locks KALAM	1			
3.4	Semaphores	1			
3.5	Monitors	1			
3.6	Synchronization problem examples (Lecture 1)	1			
3.7	Synchronization problem examples (Lecture 2)	1			
3.8	Deadlocks: Necessary conditions, Resource Allocation Graphs	1			
3.9	Deadlock prevention	1			
3.10	Deadlock avoidance	1			
3.11	Banker's algorithm	1			
3.12	Deadlock detection 2014	1			
3.13	Deadlock recovery	1			
	<b>Module 4 - Memory Management</b>	9 Hours			
4.1	Memory Management: Concept of Address spaces	1			
4.2	Swapping	1			
4.3	Contiguous memory allocation, fixed and variable partitions	1			
4.4	Segmentation.	1			
4.5	Paging (Lecture 1)	1			
4.6	Paging (Lecture 2)	1			
4.7	Virtual memory, Demand Paging	1			

4.8	Page replacement algorithms (Lecture 1)	1				
4.9	Page replacement algorithms (Lecture 2)					
	Module 5 - File and Disk management					
5.1	5.1 File concept, Attributes, Operations, types, structure					
5.2	2 Access methods					
5.3	3 Protection					
5.4	File-System implementation					
5.5	5 Directory implementation					
5.6	Allocation methods					
5.7	7 Magnetic disks, Solid-state disks, Disk structure					
5.8	Disk scheduling API ABDUL KALAM					
5.9	Disk formatting	1				



CODE	COURSE NAME	CATEGORY	L	Т	Ρ	CREDIT
			2	0	0	2
EST 200	DESIGN AND ENGINEERING					

#### Preamble:

The purpose of this course is to

i) introduce the undergraduate engineering studentsthe fundamental principles of design engineering,

- ii) make them understand the steps involved in the design process and
- iii) familiarize them with the basic tools used and approaches in design.

Students are expected to apply design thinking in learning as well as while practicing engineering, which is very important and relevant for today. Case studies from various practical situations will help the students realize that design is not only concerned about the function but also many other factors like customer requirements, economics, reliability, etc. along with a variety of life cycle issues.

The course will help students to consider aesthetics, ergonomics and sustainability factors in designs and also to practice professional ethics while designing.

#### Prerequisite:

**Nil.**The course will be generic to all engineering disciplines and will not require specialized preparation or prerequisites in any of the individual engineering disciplines.

#### **Course Outcomes:**

After the completion of the course the student will be able to

CO 1	Explain the different concepts and principles involved in design engineering.					
CO 2	Apply design thinking while learning and practicing engineering.					
CO 3	Develop innovative, reliable, sustainable and economically viable designs					
	incorporating knowledge in engineering.					

#### Mapping of course outcomes with program outcomes

	PO 1	PO 2	PO 3	PO 4	PO 5	PO 6	PO 7	PO 8	PO 9	PO 10	PO 11	PO 12
CO 1	2	1					1			1		
CO 2		2				1		1				2
CO 3			2			1	1		2	2		1

## HUMANITIES

#### **Assessment Pattern**

**Continuous Internal Evaluation (CIE) Pattern:** 

Attendance	: 10 marks
Continuous Assessment Test (2 numbers)	: 25 marks
Assignment/Quiz/Course project	: 15 marks

End Semester Examination (ESE) Pattern: There will be two parts; Part A and Part B.

Part A		: 30 marks
part B	TIN	: 70 marks

Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions.

Part B contains 2 case study questions from each module of which student should answer any one. Each question carry 14 marks and can have maximum 2 sub questions.

#### Mark distribution

Total Marks	CIE	ESE	ESE Duration
150	50	100	3 hours

(14)

Bloom's Category	Continuous Asse	Continuous Ass <mark>es</mark> sment Tests		
	1	2	Examination	
Remember	5	5	10	
Understand	10	10	20	
Apply	35	35	70	
Analyse			-	
Evaluate	En En	tot los	-	
Create			-	

#### **Course Level Assessment Questions**

## Course Outcome 1 (CO1): Appreciate the different concepts and principles involved in design engineering.

1. State how engineering design is different from other kinds of design

2. List the different stages in a design process.

3. Describedesign thinking.

4. State the function of prototyping and proofing in engineering design.

5. Write notes on the following concepts in connection with design engineering 1) Modular Design,

2) Life Cycle Design , 3) Value Engineering, 4) Concurrent Engineering, and 5) Reverse Engineering

6. State design rights.

#### Course Outcome 2 (CO2) Apply design thinking while learning and practicing engineering.

1. Construct the iterative process for design thinking in developing simple products like a pen, umbrella, bag, etc.

2. Show with an example how divergent-convergent thinking helps in generating alternative designs and then how to narrow down to the best design.

3. Describe how a problem-based learning helps in creating better design engineering solutions.

4. Discuss as an engineer, how ethics play a decisive role in your designs

## Course Outcome 3(CO3): Develop innovative, reliable, sustainable and economically viable designs incorporating different segments of knowledge in engineering.

1. Illustrate the development of any simple product by passing through the different stages of design process

2. Show the graphical design communication with the help of detailed 2D or 3D drawings for any simple product.

3. Describe how to develop new designs for simple products through bio-mimicry.

#### **Model Question paper**

### Page 1 of 2

Reg No.:\_\_\_\_\_ Name:\_\_\_\_\_

APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY THIRD/FOURTH SEMESTER B.TECH DEGREE EXAMINATION

Course Code: EST 200

**Course Name: DESIGN AND ENGINEERING** 

Max. Marks: 100Duration: 3 Hours

PART A

Answer all questions, each question carries 3 marks Use only hand sketches

(1)Write about the basic design process.

- (2) Describe how to finalize the design objectives.
- (3) State the role of divergent-convergent questioning in design thinking.
- (4) Discuss how to perform design thinking in a team managing the conflicts.
- (5) Show how engineering sketches and drawings convey designs.
- (6)Explain the role of mathematics and physics in design engineering process.
- (7) Distinguish between project-based learning and problem-based learning in design engineering.
- (8) Describe how concepts like value engineering , concurrent engineering and reverse engineering influence engineering designs?
- (9) Show how designs are varied based on the aspects of production methods, life span, reliability and environment?
- (10) Explain how economics influence the engineering designs?

(10x3 marks =30 marks)

## Part B

## Answer any ONE question from each module. Each question carry 14 marks

## Module 1

(11) Show the designing of a wrist watch going through the various stages of the design process. Use hand sketches to illustrate the processes.

or

(12)Find the customer requirements for designing a new car showroom. Show how the design objectives were finalized considering the design constraints?

## Module 2

(13)Illustrate the design thinking approach for designing a bag for college students within a limited budget. Describe each stage of the process and the iterative procedure involved. Use hand sketches to support your arguments.

### or

(14)Construct a number of possible designs and then refine them to narrow down to the best design for a drug trolley used in hospitals. Show how the divergent-convergent thinking helps in the process. Provide your rationale for each step by using hand sketches only.

#### Module 3

(15) Graphically communicate the design of a thermo flask used to keep hot coffee. Draw the detailed 2D drawings of the same with design detailing, material selection, scale drawings, dimensions, tolerances, etc. Use only hand sketches.

#### or

(16)Describe the role of mathematical modelling in design engineering. Show how mathematics and physics play a role in designing a lifting mechanism to raise 100 kg of weight to a floor at a height of 10 meters in a construction site.

#### Module 4

(17) Show the development of a nature inspired design for a solar poweredbus waiting shed beside a highway. Relate between natural and man-made designs. Use hand sketches to support your arguments.

#### or

(18)Show the design of a simple sofa and then depict how the design changes when considering 1) aesthetics and 2) ergonomics into consideration. Give hand sketches and explanations to justify the changes in designs.

## Module 5

(19)Examine the changes in the design of a foot wear with constraints of 1) production methods, 2) life span requirement, 3) reliability issues and 4) environmental factors. Use hand sketches and give proper rationalization for the changes in design.

#### or

- (20)Describe the how to estimate the cost of a particular design using ANY of the following:i) a website, ii) the layout of a plant, iii) the elevation of a building, iv) anelectrical or electronic system or device and v) a car.
- Show how economics will influence the engineering designs. Use hand sketches to support your arguments.

(5x14 marks =70 marks)

#### Syllabus

#### Module 1

<u>Design Process</u>:- Introduction to Design and Engineering Design, Defining a Design Process-:Detailing Customer Requirements, Setting Design Objectives, Identifying Constraints, Establishing Functions, Generating Design Alternatives and Choosing a Design.

#### Module 2

<u>Design Thinking Approach:-</u>Introduction to Design Thinking, Iterative Design Thinking Process Stages: Empathize, Define, Ideate, Prototype and Test. Design Thinking as Divergent-Convergent Questioning. Design Thinking in a Team Environment.

#### Module 3

<u>Design Communication</u> (Languages of Engineering Design):-Communicating Designs Graphically, Communicating Designs Orally and in Writing. Mathematical Modeling In Design, Prototyping and Proofing the Design.

#### Module 4

<u>Design Engineering Concepts:-</u>Project-based Learning and Problem-based Learning in Design.Modular Design and Life Cycle Design Approaches. Application of Biomimicry,Aesthetics and Ergonomics in Design. Value Engineering, Concurrent Engineering, and Reverse Engineering in Design.

#### Module 5

Expediency, Economics and Environment in Design Engineering:-Design for Production, Use, and Sustainability. Engineering Economics in Design. Design Rights. Ethics in Design

Estd

#### Text Books

1) YousefHaik, SangarappillaiSivaloganathan, Tamer M. Shahin, Engineering Design Process, Cengage Learning 2003, Third Edition, ISBN-10: 9781305253285,

2) Voland, G., Engineering by Design, Pearson India 2014, Second Edition, ISBN 9332535051

#### **Reference Books**

1.Philip Kosky, Robert Balmer, William Keat, George Wise, Exploring Engineering, Fourth Edition: An Introduction to Engineering and Design, Academic Press 2015, 4th Edition, ISBN: 9780128012420.

2. Clive L. Dym, Engineering Design: A Project-Based Introduction, John Wiley & Sons, New York 2009, Fourth Edition, ISBN: 978-1-118-32458-5

3. Nigel Cross, Design Thinking: Understanding How Designers Think and Work, Berg Publishers 2011, First Edition, ISBN: 978-1847886361

4. Pahl, G., Beitz, W., Feldhusen, J., Grote, K.-H., Engineering Design: A Systematic Approach, Springer 2007, Third Edition, ISBN 978-1-84628-319-2

## HUMANITIES

#### **Course Contents and Lecture Schedule**

No	Торіс	No. of Lectures
1	Module 1: Design Process	
1.1	Introduction to Design and Engineering Design.	
	What does it mean to design something? How Is engineering design different from other kinds of design? Where and when do engineers design? What are the basic vocabularyin engineering design? How to learn and do engineering design.	1
1.2	Defining a Design Process-: Detailing CustomerRequirements.How to do engineering design? Illustrate the process with	L 1
	an example. How to identify the customer requirements of design?	
1.3	<i>Defining a Design Process</i> -: Setting Design Objectives, Identifying Constraints, Establishing Functions.	
	How to finalize the design objectives? How to identify the design constraints? How to express the functions a design in engineering terms?	1
1.4	<i>Defining a Design Process-</i> : Generating Design Alternatives and Choosing a Design.	1
	How to generate or create feasible design alternatives? How to identify the "best possible design"?	
1.5	Case Studies:- Stages of Design Process. Conduct exercises for designing simple products going through the different stages of design process.	1
2	Module 2: Design Thinking Approach	
2.1	Introduction to Design Thinking         How does the design thinking approach help engineers in creating innovative and efficient designs?	1
2.2	Iterative Design Thinking Process Stages: Empathize,         Define, Ideate, Prototype and Test.	
	How can the engineers arrive at better designs utilizing the iterative design thinking process (in which knowledge acquired in the later stages can be applied back to the earlier stages)?	1
2.3	Design Thinking as Divergent-Convergent Questioning. Describe how to create a number of possible designs and	1
2.6	then how to refine and narrow down to the 'best design'.	
2.4	Design Thinking in a Team Environment. How to perform design thinking as a team managing the conflicts ?	1
2.5	Case Studies: Design Thinking Approach. Conduct exercises using the design thinking approach for	1

	<i>designing any simple products within a limited time and</i> budget	
3	Module 3: Design Communication (Languages of Engineering	Design)
3.1	Communicating Designs Graphically.	1
	How do engineering sketches and drawings convey designs?	1
3.2	Communicating Designs Orally and in Writing.	
	How can a design be communicated through oral	1
	presentation or technical reports efficiently?	A
	First Series Examination	1
3.3	Mathematical Modelling in Design.	
	How do mathematics and physics become a part of the	1
	design process?	
3.4	Prototyping and Proofing the Design.	1
	How to predict whether the design will function well or not?	I
3.5	Case Studies: Communicating Designs Graphically.	
	Conduct exercises for design communication through	
	detailed 2D or 3D drawings of simple products with	1
	design detailing, material selection, scale drawings,	
	dimensions, tolerances, etc.	
4	Module 4: Design Engineering Concepts	
4.1	Project-based Learning and Problem-based Learning in Design.	1
	How engineering students can learn design engineering	
	through projects?	
	How students can take up problems to learn design	
4.2	engineering? Madular Design and Life Coule Design Annaeshag	1
4.2	Modular Design and Life Cycle Design Approaches.	1
	What is modular approach in design engineering? How it	
	helps? How the life cycle design approach influences design	
	decisions?	
4.3	Application of Bio-mimicry, Aesthetics and Ergonomics	1
	in Design.	
	How do aesthetics and ergonomics change engineering	
	designs?	
	<i>How do the intelligence in nature inspire engineering</i>	
	designs? What are the common examples of bio-mimicry	
	in engineering?	
4.4	Value Engineering, Concurrent Engineering, and Reverse Engineering in Design.	1
	How do concepts like value engineering, concurrent	
	engineering and reverse engineering influence	
	engineering designs?	
4.5	Case Studies: Bio-mimicry based Designs.	1
	Conduct exercises to develop new designs for simple	

	products using bio-mimicry and train students to bring out new nature inspired designs.	
5	Module 5: Expediency, Economics and Environment in Design	<u>1</u>
	Engineering	
5.1	Design for Production, Use, and Sustainability.	1
	How designs are finalized based on the aspects of	
	production methods, life span, reliability and	
	environment?	
5.2	Engineering Economics in Design.	1
	How to estimate the cost of a particular design and how	
	will economics influence the engineering designs?	
5.3	Design Rights.	1
	What are design rights and how can an engineer put it	
	into practice?	
5.4	Ethics in Design.	1
	How do ethics play a decisive role in engineering design?	
5.5	Case Studies: Design for Production, Use, and	1
	Sustainability.	
	Conduct exercises using simple products to show how designs	
	change with constraints of production methods, life span	
	requirement, reliability issues and environmental factors.	
	Second Series Examination	

Estd.

2014

Code.	Course Name	L	Т	Р	Hrs	Credit
HUT 200	<b>Professional Ethics</b>	2	0	0	2	2

**Preamble:** To enable students to create awareness on ethics and human values.

## Prerequisite: Nil

<u>Course Outcomes</u>: After the completion of the course the student will be able to

	TECHNIQUOCICAL
CO 1	Understand the core values that shape the ethical behaviour of a professional.
CO 2	Adopt a good character and follow an ethical life.
CO 3	Explain the role and responsibility in technological development by keeping personal ethics and legal ethics.
CO 4	Solve moral and ethical problems through exploration and assessment by established experiments.
CO 5	Apply the knowledge of human values and social values to contemporary ethical values and global issues.

## Mapping of course outcomes with program outcomes

	PO	PO 2	PO 3	<b>PO 4</b>	<b>PO 5</b>	PO 6	<b>PO 7</b>	<b>PO 8</b>	<b>PO 9</b>	PO1	PO1	PO1
	1									0	1	2
CO 1								2			2	
CO 2								2			2	
CO 3				1				3			2	
CO 4								3			2	
CO 5				-		1.1		3			2	

#### **Assessment Pattern**

Bloom's category	Continuous Assessme	Continuous Assessment Tests			
2.com s caregory	1	2	_ End Semester Exam		
Remember	15	15	30		
Understood	20	20	40		
Apply	15	15	30		

Mark distribution

Total Marks	CIE	ESE	ESE Duration
150	50	100	3 hours

### **Continuous Internal Evaluation Pattern:**

Attendance	:	10 marks
Continuous Assessment Tests (2 Nos)	:	25 marks
Assignments/Quiz	:	15 marks

**End Semester Examination Pattern:** There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which student should answer any one. Each question can have maximum 2 sub-divisions and carry 14 marks.

#### **Course Level Assessment Questions**

#### Course Outcome 1 (CO1):

- 1. Define integrity and point out ethical values.
- 2. Describe the qualities required to live a peaceful life.
- 3. Explain the role of engineers in modern society.

#### **Course Outcome 2 (CO2)**

- 1. Derive the codes of ethics.
- 2. Differentiate consensus and controversy.
- 3. Discuss in detail about character and confidence.

## Course Outcome 3(CO3):

- 1. Explain the role of professional's ethics in technological development.
- 2. Distinguish between self interest and conflicts of interest.
- 3. Review on industrial standards and legal ethics.

## Course Outcome 4 (CO4):

- 1. Illustrate the role of engineers as experimenters.
- 2. Interpret the terms safety and risk.
- 3. Show how the occupational crimes are resolved by keeping the rights of employees.

## Course Outcome 5 (CO5):

- 1. Exemplify the engineers as managers.
- 2. Investigate the causes and effects of acid rain with a case study.
- 3. Explorate the need of environmental ethics in technological development.

## **Model Question paper**

#### **QP CODE:**

PAGES:3

Reg No:

Name :\_\_\_\_\_

## APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY THIRD/FOURTH SEMESTER B.TECH DEGREE EXAMINATION, MONTH & YEAR

Course Code: HUT 200 Course Name: PROFESSIONAL ETHICS

Max. Marks: 100

**Duration: 3 Hours** 

(2019-Scheme)

## PART A

## (Answer all questions, each question carries 3 marks)

- 1. Define empathy and honesty.
- 2. Briefly explain about morals, values and ethics.
- **3.** Interpret the two forms of self-respect.
- 4. List out the models of professional roles.
- 5. Indicate the advantages of using standards.
- 6. Point out the conditions required to define a valid consent?
- 7. Identify the conflicts of interests with an example?
- 8. Recall confidentiality.
- 9. Conclude the features of biometric ethics.
- 10. Name any three professional societies and their role relevant to engineers.

(10x3 = 30 marks)

## PART B

#### (Answer one full question from each module, each question carries 14 marks)

## **MODULE I**

- 11. a) Classify the relationship between ethical values and law?
  - **b)** Compare between caring and sharing.

(10+4 = 14 marks)

#### Or

12. a) Exemplify a comprehensive review about integrity and respect for others.

MODULE II

**13.a)** Explain the three main levels of moral developments, deviced by Kohlberg.

**b**) Differentiate moral codes and optimal codes. (10+4 = 14 marks)

#### Or

14. a) Extrapolate the duty ethics and right ethics.

**b)** Discuss in detail the three types of inquiries in engineering ethics (8+6=14 marks)

#### **MODULE III**

**15.a)** Summarize the following features of morally responsible engineers.

(i) Moral autonomy

(ii) Accountability

**b**)Explain the rights of employees

#### Or

16. a) Explain the reasons for Chernobyl mishap?

**b**) Describe the methods to improve collegiality and loyalty.

#### **MODULE IV**

17.a) Execute collegiality with respect to commitment, respect and connectedness.

b) Identify conflicts of interests with an example.

#### Or

18. a) Explain in detail about professional rights and employee rights.

**b)** Exemplify engineers as managers.

#### **MODULE V**

Or

19.a) Evaluate the technology transfer and appropriate technology.

**b**) Explain about computer and internet ethics.

**20.** a) Investigate the causes and effects of acid rain with a case study.

**b)** Conclude the features of ecocentric and biocentric ethics. (8+6 = 14 marks)

#### HUMANITIES

(8+6 = 14 marks)

## <u>Syllabus</u>

#### Module 1 – Human Values.

Morals, values and Ethics – Integrity- Academic integrity-Work Ethics- Service Learning- Civic Virtue-Respect for others- Living peacefully- Caring and Sharing- Honestly- courage-Cooperation commitment-Empathy-Self Confidence -Social Expectations.

#### Module 2 - Engineering Ethics & Professionalism.

Senses of Engineering Ethics - Variety of moral issues- Types of inquiry- Moral dilemmas –Moral Autonomy – Kohlberg's theory- Gilligan's theory- Consensus and Controversy-Profession and Professionalism- Models of professional roles-Theories about right action –Self interest-Customs and Religion- Uses of Ethical Theories.

#### Module 3- Engineering as social Experimentation.

Engineering as Experimentation – Engineers as responsible Experimenters- Codes of Ethics- Plagiarism-A balanced outlook on law - Challenges case study- Bhopal gas tragedy.

#### Module 4- Responsibilities and Rights.

Collegiality and loyalty – Managing conflict- Respect for authority- Collective bargaining- Confidentiality-Role of confidentiality in moral integrity-Conflicts of interest- Occupational crime- Professional rights-Employee right- IPR Discrimination.

#### Module 5- Global Ethical Issues.

Multinational Corporations- Environmental Ethics- Business Ethics- Computer Ethics -Role in Technological Development-Engineers as Managers- Consulting Engineers- Engineers as Expert witnesses and advisors-Moral leadership.

#### **Text Book**

- 1. M Govindarajan, S Natarajan and V S Senthil Kumar, Engineering Ethics, PHI Learning Private Ltd, New Delhi,2012.
- 2. R S Naagarazan, A text book on professional ethics and human values, New age international (P) limited ,New Delhi,2006.

2014

#### **Reference Books**

- 1. Mike W Martin and Roland Schinzinger, Ethics in Engineering,4<sup>th</sup> edition, Tata McGraw Hill Publishing Company Pvt Ltd, New Delhi,2014.
- 2. Charles D Fleddermann, Engineering Ethics, Pearson Education/ Prentice Hall of India, New Jersey,2004.
- 3. Charles E Harris, Michael S Protchard and Michael J Rabins, Engineering Ethics- Concepts and cases, Wadsworth Thompson Learning, United states, 2005.
- 4. http://www.slideword.org/slidestag.aspx/human-values-and-Professional-ethics.

## **Course Contents and Lecture Schedule**

SL.N	Торіс	No. of Lectures
0		25
1	Module 1 – Human Values.	
1.1	Morals, values and Ethics, Integrity, Academic Integrity, Work Ethics	1
1.2	Service Learning, Civic Virtue, Respect for others, Living peacefully	1
1.3	Caring and Sharing, Honesty, Courage, Co-operation commitment	2
1.4	Empathy, Self Confidence, Social Expectations	1
2	Module 2- Engineering Ethics & Professionalism.	
2.1	Senses of Engineering Ethics, Variety of moral issues, Types of inquiry	1
2.2	Moral dilemmas, Moral Autonomy, Kohlberg's theory	1
2.3	Gilligan's theory, Consensus and Controversy, Profession& Professionalism, Models of professional roles, Theories about right action	2
2.4	Self interest-Customs and Religion, Uses of Ethical Theories	1
3	Module 3- Engineering as social Experimentation.	
3.1	Engineering as Experimentation, Engineers as responsible Experimenters	1
3.2	Codes of Ethics, Plagiarism, A balanced outlook on law	2
3.3	Challenger case study, Bhopal gas tragedy	2
4	Module 4- Responsibilities and Rights.	
4.1	Collegiality and loyalty, Managing conflict, Respect for authority	1
4.2	Collective bargaining, Confidentiality, Role of confidentiality in moral integrity, Conflicts of interest	2
4.3	Occupational crime, Professional rights, Employee right, IPR Discrimination	2
5	Module 5- Global Ethical Issues.	
5.1	Multinational Corporations, Environmental Ethics, Business Ethics, Computer Ethics	2
5.2	Role in Technological Development, Moral leadership	1
5.3	Engineers as Managers, Consulting Engineers, Engineers as Expert witnesses and advisors	2

CODE	COURSE NAME	CATEGORY	L	Т	Ρ	CREDIT
MCN202	CONSTITUTION OF INDIA		2	0	0	NIL

#### Preamble:

The study of their own country constitution and studying the importance environment as well as understanding their own human rights help the students to concentrate on their day to day discipline. It also gives the knowledge and strength to face the society and people.

#### Prerequisite: Nil

**Course Outcomes:** After the completion of the course the student will be able to

CO 1	Explain the background of the present constitution of India and features.
CO 2	Utilize the fundamental rights and duties.
CO 3	Understand the working of the union executive, parliament and judiciary.
CO 4	Understand the working of the state executive, legislature and judiciary.
CO 5	Utilize the special provisions and statutory institutions.
CO 6	Show national and patriotic spirit as responsible citizens of the country

## Mapping of course outcomes with program outcomes

	PO 1	PO 2	PO 3	PO 4	PO 5	PO 6	PO 7	PO 8	PO 9	РО	РО	PO
										10	11	12
CO 1						2	2	2		2		
CO 2						3	3	3		3		
CO 3						3	2	3		3		
CO 4						3	2	3		3		
CO 5						3	2	3		3		
CO 6						3	3	3	/	2		

#### **Assessment Pattern**

Bloom's Category	Continuous Tests	Assessment	End Semester Examination
	1	2	
Remember	20	20	40
Understand	20	20	40
Apply	10	10	20
Analyse			

Evaluate		
Create		

#### Mark distribution

Total	CIE	ESE	ESE Duration
Marks	Λ.1	01 /	DINI
150	50	100	3 hours
	T	ECH	INOL
Continuo	us Interna	l Evaluatio	n Pattern:

Attendance		: 10 marks
Continuous Asse	essment Test (2 numbers)	: 25 marks
Assignment/Qui	z/Course project	: 15 marks

**End Semester Examination Pattern:** There will be two parts; Part A and Part B. Part A contain 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which student should answer any one. Each question can have maximum 2 sub-divisions and carry 14 marks.

#### **Course Level Assessment Questions**

## Course Outcome 1 (CO1):

- 1 Discuss the historical background of the Indian constitution.
- 2 Explain the salient features of the Indian constitution.
- 3 Discuss the importance of preamble in the implementation of constitution.

## Course Outcome 2 (CO2)

- 1 What are fundamental rights ? Examine each of them.
- 2 Examine the scope of freedom of speech and expression underlying the constitution.
- 3 The thumb impression of an accused is taken by the police against his will. He contends

that this is a violation of his rights under Art 20(3) of the constitution. Decide.

## Course Outcome 3(CO3):

1 Explain the powers of the President to suspend the fundamental rights during emergency.

- 2 Explain the salient features of appeal by special leave.
- 3. List the constitutional powers of President.

### Course Outcome 4 (CO4):

- 1 Discuss the constitutional powers of Governor.
- 2 Examine the writ jurisdiction of High court.
- 3 Discuss the qualification and disqualification of membership of state legislature.

#### Course Outcome 5 (CO5):

- 1 Discuss the duties and powers of comptroller of auditor general.
- 2 Discuss the proclamation of emergency.
- 3 A state levies tax on motor vehicles used in the state, for the purpose of maintaining roads
  - in the state. X challenges the levy of the tax on the ground that it violates the freedom of

interstate commerce guaranteed under Art 301. Decide.

#### **Course Outcome 6 (CO6):**

- 1 Explain the advantages of citizenship.
- 2 List the important principles contained in the directive principles of state policy.
- 3 Discuss the various aspects contained in the preamble of the constitution

#### **Model Question paper**

#### PART A

## (Answer all questions. Each question carries 3 marks)

- 1 Define and explain the term constitution.
- 2 Explain the need and importance of Preamble.
- 3 What is directive principle of state policy?
- 4 Define the State.
- 5 List the functions of Attorney general of India.

(10X3=30marks)

- 6 Explain the review power of Supreme court.
- 7 List the qualifications of Governor.
- 8 Explain the term and removal of Judges in High court.
- 9 Explain the powers of public service commission.
- 10 List three types of emergency under Indian constitution.

# PART B

(Answer on question from each module. Each question carries 14 marks)

### Module 1

11 Discuss the various methods of acquiring Indian citizenship.

12 Examine the salient features of the Indian constitution.

### Module 2

13 A high court passes a judgement against X. X desires to file a writ petition in the supreme

court under Art32, on the ground that the judgement violates his fundamental rights.

Advise him whether he can do so.

14 What is meant by directive principles of State policy? List the directives.

### Module3

- 15 Describe the procedure of election and removal of the President of India.
- 16 Supreme court may in its discretion grant special leave to appeal. Examine the situation.

### Module 4

17 Discuss the powers of Governor.

18 X filed a writ petition under Art 226 which was dismissed. Subsequently, he filed a writ petition under Art 32 of the constitution, seeking the same remedy. The Government argued that the writ petition should be dismissed, on the ground of res judicata. Decide.

### Module 5

19 Examine the scope of the financial relations between the union and the states.

20 Discuss the effects of proclamation of emergency.

(14X5=70marks)

Syllabus

**Module 1** Definition, historical back ground, features, preamble, territory, citizenship.

Module 2 State, fundamental rights, directive principles, duties.

Module 3 The machinery of the union government.

Module 4 Government machinery in the states

Module 5 The federal system, Statutory Institutions, miscellaneous provisions.

### Text Books

1 D D Basu, Introduction to the constitution of India, Lexis Nexis, New Delhi, 24e, 2019

2 PM Bhakshi, The constitution of India, Universal Law, 14e, 2017

### **Reference Books**

1 Ministry of law and justice, The constitution of India, Govt of India, New Delhi, 2019.

2 JN Pandey, The constitutional law of India, Central Law agency, Allahabad, 51e, 2019

3 MV Pylee, India's Constitution, S Chand and company, New Delhi, 16e, 2016

### **Course Contents and Lecture Schedule**

No	Topic	No. of Lectures
1	Module 1	
1.1	Definition of constitution, historical back ground, salient features	1
	of the constitution.	
1.2	Preamble of the constitution, union and its territory.	1
1.3	Meaning of citizenship, types, termination of citizenship.	2
2	Module 2	
2.1	Definition of state, fundamental rights, general nature,	2
	classification, right to equality ,right to freedom , right against	
	exploitation	

# HUMANITIES

2.2	Right to freedom of religion, cultural and educational rights, right	2
	to constitutional remedies. Protection in respect of conviction for	
	offences.	
2.3	Directive principles of state policy, classification of directives,	2
	fundamental duties.	
3	Module 3	
3.1	The Union executive, the President, the vice President, the	2
	council of ministers, the Prime minister, Attorney-General,	A
	functions.	Y L
3.2	The parliament, composition, Rajya sabha, Lok sabha,	2
	qualification and disqualification of membership, functions of	-
	parliament.	
3.3	Union judiciary, the supreme court, jurisdiction, appeal by special	1
5.5	leave.	1
4	Module 4	
4		
11	The State executive, the Coverner, the council of ministers, the	2
4.1	The State executive, the Governor, the council of ministers, the	2
	Chief minister, advocate general, union Territories.	
4.1 4.2	Chief minister, advocate general, union Territories. The State Legislature, composition, qualification and	2 2
4.2	Chief minister, advocate general, union Territories. The State Legislature, composition, qualification and disqualification of membership, functions.	2
4.2 4.3	Chief minister, advocate general, union Territories. The State Legislature, composition, qualification and disqualification of membership, functions. The state judiciary, the high court, jurisdiction, writs jurisdiction.	
4.2 4.3 5	Chief minister, advocate general, union Territories. The State Legislature, composition, qualification and disqualification of membership, functions. The state judiciary, the high court, jurisdiction, writs jurisdiction. Module 5	2
4.2 4.3	Chief minister, advocate general, union Territories. The State Legislature, composition, qualification and disqualification of membership, functions. The state judiciary, the high court, jurisdiction, writs jurisdiction. Module 5 Relations between the Union and the States, legislative relation,	2
4.2 4.3 5	Chief minister, advocate general, union Territories. The State Legislature, composition, qualification and disqualification of membership, functions. The state judiciary, the high court, jurisdiction, writs jurisdiction. <b>Module 5</b> Relations between the Union and the States, legislative relation, administrative relation, financial Relations, Inter State council,	2
4.2 4.3 5 5.1	Chief minister, advocate general, union Territories. The State Legislature, composition, qualification and disqualification of membership, functions. The state judiciary, the high court, jurisdiction, writs jurisdiction. Module 5 Relations between the Union and the States, legislative relation, administrative relation, financial Relations, Inter State council, finance commission.	2 1 1
4.2 4.3 5	Chief minister, advocate general, union Territories. The State Legislature, composition, qualification and disqualification of membership, functions. The state judiciary, the high court, jurisdiction, writs jurisdiction. <b>Module 5</b> Relations between the Union and the States, legislative relation, administrative relation, financial Relations, Inter State council, finance commission. Emergency provision, freedom of trade commerce and inter	2
4.2 4.3 5 5.1	Chief minister, advocate general, union Territories. The State Legislature, composition, qualification and disqualification of membership, functions. The state judiciary, the high court, jurisdiction, writs jurisdiction. <b>Module 5</b> Relations between the Union and the States, legislative relation, administrative relation, financial Relations, Inter State council, finance commission. Emergency provision, freedom of trade commerce and inter course, comptroller and auditor general of India, public Services,	2 1 1
4.2 4.3 5 5.1	Chief minister, advocate general, union Territories. The State Legislature, composition, qualification and disqualification of membership, functions. The state judiciary, the high court, jurisdiction, writs jurisdiction. <b>Module 5</b> Relations between the Union and the States, legislative relation, administrative relation, financial Relations, Inter State council, finance commission. Emergency provision, freedom of trade commerce and inter	2 1 1
4.2 4.3 5 5.1	Chief minister, advocate general, union Territories. The State Legislature, composition, qualification and disqualification of membership, functions. The state judiciary, the high court, jurisdiction, writs jurisdiction. <b>Module 5</b> Relations between the Union and the States, legislative relation, administrative relation, financial Relations, Inter State council, finance commission. Emergency provision, freedom of trade commerce and inter course, comptroller and auditor general of India, public Services,	2 1 1

2014

CEL 202	CSL 202 DIGITAL LAB	CATEGORY	L	Т	Р	CREDIT
CSL 202		PCC	0	0	3	2

**Preamble:** This course helps the learners to get familiarized with (i) Digital Logic Design through the implementation of Logic Circuits using ICs of basic logic gates & flip-flops and (ii) Hardware Description Language based Digital Design. This course helps the learners to design and implement hardware systems in areas such as games, music, digital filters, wireless communications and graphical displays.

Prerequisite: Topics covered under the course Logic System Design (CST 203)

Course Outcomes: After the completion of the course the student will be able to

CO 1	Design and implement combinational logic circuits using Logic Gates (Cognitive Knowledge Level: Apply)
CO 2	Design and implement sequential logic circuits using Integrated Circuits (Cognitive Knowledge Level: Apply)
CO 3	Simulate functioning of digital circuits using programs written in a Hardware Description Language (Cognitive Knowledge Level: Apply)
CO 4	Function effectively as an individual and in a team to accomplish a given task of designing and implementing digital circuits (Cognitive Knowledge Level: <b>Apply</b> )

### Mapping of course outcomes with program outcomes

						2011						
	PO 1	PO 2	PO 3	PO 4	PO 5	PO 6	<b>PO</b> 7	PO 8	PO 9	PO10	PO11	PO12
CO 1												
CO 2												
CO 3												
CO 4												

### **Assessment Pattern**

Bloom's Category	Continuous Assessment Test (Internal Exam) (Percentage)	End Semester Examination (Percentage)
Remember	20	20
Understand	20	20
Apply	60	60
Analyse		
Evaluate		
Create		



### **Mark Distribution**

Total Marks	CIE Marks	ESE Marks	ESE Duration		
150	75	75	3 hours		

Continuous Internal Evaluation Pattern: Estd							
Attendance	: 15 marks						
Continuous Evaluation in Lab	: 30 marks						
Continuous Assessment Test	: 15 marks						
Viva-voce	: 15 marks						

**Internal Examination Pattern:** The marks will be distributed as Design/Algorithm 30 marks, Implementation/Program 20 marks, Output 20 marks and Viva 30 marks. Total 100 marks which will be converted out of 15 while calculating Internal Evaluation marks.

**End Semester Examination Pattern:** The marks will be distributed as Design/Algorithm 30 marks, Implementation/Program 20 marks, Output 20 marks and Viva 30 marks. Total 100 marks will be converted out of 75 for End Semester Examination.

### Fair Lab Record:

All Students attending the Digital Lab should have a Fair Record. The fair record should be produced in the University Lab Examination. Every experiment conducted in the lab should be noted in the fair record. For every experiment in the fair record, the right hand page should contain Experiment Heading, Experiment Number, Date of Experiment, and Aim of Experiment. The left hand page should contain components used, circuit design or a print out of the code used for the experiment and sample output obtained.

### **SYLLABUS**

Conduct a minimum of 8 experiments from **Part A** and a minimum of 4 experiments from **Part B**. The starred experiments in Part A are mandatory. The lab work should be conducted in groups (maximum group size being 4). The performance of a student in the group should be assessed based on teamwork, integrity and cooperation.

# Part A (Any 8 Experiments)

- A 2 hour session should be spent to make the students comfortable with the use of trainer kit/breadboard and ICs.
- The following experiments can be conducted on breadboard or trainer kits.
- Out of the 15 experiments listed below, a minimum of 8 experiments should be completed by a student, including the mandatory experiments (5).
- 1. Realization of functions using basic and universal gates (SOP and POS forms).
- Design and realization of half adder, full adder, half subtractor and full subtractor using:

   a) basic gates (b) universal gates. \*
   Estd.
- 3. Code converters: Design and implement BCD to Excess 3 and Binary to Gray code converters.
- 4. Design and implement 4 bit adder/subtractor circuit and BCD adder using IC7483.
- 5. Implementation of Flip Flops: SR, D, T, JK and Master Slave JK Flip Flops using basic gates.\*
- 6. Asynchronous Counter: Design and implement 3 bit up/down counter.
- 7. Asynchronous Counter: Realization of Mod N counters (At least one up counter and one down counter to be implemented). \*
- 8. Synchronous Counter: Realization of 4-bit up/down counter.
- 9. Synchronous Counter: Realization of Mod-N counters and sequence generators. (At least one mod N counter and one sequence generator to be implemented) \*
- 10. Realization of Shift Register (Serial input left/right shift register), Ring counter and Johnson Counter using flipflops. \*
- 11. Realization of counters using IC's (7490, 7492, 7493).
- 12. Design and implement BCD to Seven Segment Decoder.
- 13. Realization of Multiplexers and De-multiplexers using gates.
- 14. Realization of combinational circuits using MUX & DEMUX ICs (74150, 74154).
- 15. To design and set up a 2-bit magnitude comparator using basic gates.

### PART B (Any 4 Experiments)

- The following experiments aim at training the students in digital circuit design with *Verilog*. The experiments will lay a foundation for digital design with Hardware Description Languages.
- A 3 hour introductory session shall be spent to make the students aware of the fundamentals of development using Verilog
- Out of the 8 experiments listed below, a minimum of 4 experiments should be completed by a student

### **Experiment 1. Realization of Logic Gates and Familiarization of Verilog**

- (a) Familiarization of the basic syntax of Verilog
- (b) Development of Verilog modules for basic gates and to verify truth tables.
- (c) Design and simulate the HDL code to realize three and four variable Boolean functions

### Experiment 2: Half adder and full adder

- (a) Development of Verilog modules for half adder in 3 modeling styles (dataflow/ structural/behavioural).
- (b) Development of Verilog modules for full adder in structural modeling using half adder.

### **Experiment 3: Design of code converters**

Design and simulate the HDL code for

- (a) 4- bit binary to gray code converter
- (b) 4- bit gray to binary code converter

# **Experiment 4: Mux and Demux in Verilog**

- (a) Development of Verilog modules for a 4x1 MUX.
- (b) Development of Verilog modules for a 1x4 DEMUX.

### **Experiment 5: Adder/Subtractor**

- (a) Write the Verilog modules for a 4-bit adder/subtractor
- (b) Development of Verilog modules for a BCD adder

### **Experiment 6: Magnitude Comparator**

Development of Verilog modules for a 4 bit magnitude comparator

### **Experiment 7: Flipflops and shiftregisters**

- (a) Development of Verilog modules for SR, JK, T and D flip flops.
- (b) Development of Verilog modules for a Johnson/Ring counter

### **Experiment 8: Counters**

- (a) Development of Verilog modules for an asynchronous decade counter.
- (b) Development of Verilog modules for a 3 bit synchronous up-down counter.

### **Practice Questions**

### PART A

- 1. Design a two bit parallel adder using gates and implement it using ICs of basic gates
- 2. A combinatorial circuit has 4 inputs and one output. The output is equal to 1 when (a) all inputs are 1, (b) none of the inputs are 1, (c) an odd number of inputs are equal to 1. Obtain the truth table and output function for this circuit and implement the same.
- 3. Design and implement a parallel subtractor.
- 4. Design and implement a digital circuit that converts Gray code to Binary.
- 5. Design a combinational logic circuit that will output the 1's compliment of a 4-bit input number.
- 6. Implement and test the logic function  $f(A, B, C) = \sum m(0,1,3,6)$  using an 8:1 MUX IC
- 7. Design a circuit that will work as a ring counter or a Johnson counter based on a mode bit, M.
- 8. Design a 4-bit synchronous down counter.
- 9. Design a Counter to generate the binary sequence 0,1,3,7,6,4
- 10. Design an asynchronous mod 10 down counter
- 11. Design and implement a synchronous counter using JK flip flop ICs to generate the sequence: 0 1 -3 5 7 0.

# PART B

- 1. Develop Verilog modules for a full subtractor in structural modeling using half subtractors.
- 2. Design a 4 bit parallel adder using Verilog.
- 3. Develop Verilog modules for a 4 bit synchronous down counter.
- 4. Write Verilog code for implementing a 8:1 multiplexer.
- 5. Develop Verilog modules for a circuit that converts Excess 3 code to binary.
- 6. Write the Verilog code for a JK Flip flop, and its test-bench. Use all possible combinations of inputs to test its working
- 7. Write the hardware description in Verilog of a 8-bit register with shift left and shift right modes of operations and test its functioning.
- 8. Write the hardware description in Verilog of a mod-N (N > 9) counter and test it.

		CATECODY		т	D	CDEDIT	YEAR OF	
CST 206	OPERATING SYSTEMS LAB	CATEGORY	L	1	ľ	CREDIT	INTRODUCTION	
		РСС	0	0	3	2	2019	

**Preamble**: The course aims to offer students a hands-on experience on Operating System concepts using a constructivist approach and problem-oriented learning. Operating systems are the fundamental part of every computing device to run any type of software.

**Prerequisite**: Topics covered in the courses are **Data Structures (CST 201)** and **Programming** in C (EST 102)

## **Course Outcomes**:

At the end of the course, the student should be able to

CO1	Illustrate the use of systems calls in Operating Systems. (Cognitive knowledge: Understand)					
CO2	Implement Process Creation and Inter Process Communication in Operating Systems. (Cognitive knowledge: Apply)					
CO3	Implement Fist Come First Served, Shortest Job First, Round Robin and Priority- based CPU Scheduling Algorithms. (Cognitive knowledge: Apply)					
CO4	Illustrate the performance of First In First Out, Least Recently Used and Least Frequently Used Page Replacement Algorithms. (Cognitive knowledge: Apply)					
CO5	Implement modules for Deadlock Detection and Deadlock Avoidance in Operating Systems. (Cognitive knowledge: Apply)					
CO6	Implement modules for Storage Management and Disk Scheduling in Operating Systems. (Cognitive knowledge: Apply)					

	PO1	PO2	PO3	PO4	PO5	PO6	PO7	PO8	PO9	PO10	PO11	PO12
CO1												
CO2												
CO3	Ø			9								
<b>CO4</b>												
CO5	Ø	9										
CO6					ARI	DI II	ΚΔI					

# Mapping of course outcomes with program outcomes

	Abstract POs defined by National Board of Accreditation									
PO#	Broad PO	PO#	Broad PO							
PO1	Engineering Knowledge	PO7	Environment and Sustainability							
PO2	Problem Analysis	PO8	Ethics							
PO3	Design/Development of solutions	PO9	Individual and team work							
PO4	Conduct investigations of complex problems	PO10	Communication							
PO5	Modern tool usage	PO11	Project Management and Finance							
PO6	The Engineer and Society	PO12	Life long learning							

# Assessment Pattern:

Bloom's Category	Continuous Assessment Test (Internal Exam) Marks in percentage	End Semester Examination Marks in percentage
Remember	20	20
Understand	20	20
Apply	60	60
Analyse		
Evaluate		
Create		

#### **Mark Distribution**

Total Marks	CIE Marks	ESE Marks	ESE Duration
150	75	75	3 hours

### **Continuous Internal Evaluation Pattern:**

Attendance	:	15 marks
Continuous Evaluation	n Lab ABL	30 marks
Continuous Assessment	Test :	15 marks
Viva Voce	:	15 marks

**Internal Examination Pattern:** The marks will be distributed as Algorithm 30 marks, Program 20 marks, Output 20 marks and Viva 30 marks. Total 100 marks which will be converted out of 15 while calculating Internal Evaluation marks.

**End Semester Examination Pattern:** The percentage of marks will be distributed as Algorithm 30 marks, Program 20 marks, Output 20 marks and Viva 30 marks. Total 75 marks.

Operating System to Use in Lab	: Linux
Compiler/Software to Use in Lab	: gcc
Progamming Language to Use in Lab	: Ansi C

### Fair Lab Record:

All Students attending the Operating System Lab should have a Fair Record. The fair record should be produced in the University Lab Examination. Every experiment conducted in the lab should be noted in the fair record. For every experiment in the fair record, the right hand page should contain Experiment Heading, Experiment Number, Date of experiment, Aim of the Experiment and the operations performed on them, Details of experiment including algorithm and result of Experiment. The left hand page should contain a print out of the code used for experiment and sample output obtained for a set of input.

### **SYLLABUS**

### **OPERATING SYSTEMS LAB**

### \* mandatory

- 1. Basic Linux commands
- 2. Shell programming

-Command syntax

-Write simple functions with basic tests, loops, patterns

3. System calls of Linux operating system:\*

fork, exec, getpid, exit, wait, close, stat, opendir, readdir

- 4. Write programs using the I/O system calls of Linux operating system (open, read, write)
- 5. Implement programs for Inter Process Communication using Shared Memory \*
- 6. Implement Semaphores\*
- Implementation of CPU scheduling algorithms. a) Round Robin b) SJF c) FCFS d) Priority \*
- 8. Implementation of the Memory Allocation Methods for fixed partition\*

a) First Fit b) Worst Fit c) Best Fit

- 9. Implement l page replacement algorithms a) FIFO b) LRU c) LFU\*
- 10. Implement the banker's algorithm for deadlock avoidance. \*
- 11. Implementation of Deadlock detection algorithm
- 12. Simulate file allocation strategies.
  - b) Sequential b) Indexed c) Linked
- 13. Simulate disk scheduling algorithms. \*
  - c) FCFS b)SCAN c) C-SCAN

### **OPERATING SYSTEMS LAB - PRACTICE QUESTIONS**

- 1. Write a program to create a process in linux.
- Write programs using the following system calls of Linux operating system: fork, exec, getpid, exit, wait, close, stat, opendir, readdir
- 3. Write programs using the I/O system calls of Linux operating system (open, read, write)

- 4. Given the list of processes, their CPU burst times and arrival times, display/print the Gantt chart for FCFS and SJF. For each of the scheduling policies, compute and print the average waiting time and average turnaround time
- 5. Write a C program to simulate following non-preemptive CPU scheduling algorithms to find turnaround time and waiting time.

a)FCFS b) SJF c) Round Robin (pre-emptive) d) Priority

6. Write a C program to simulate following contiguous memory allocation techniques

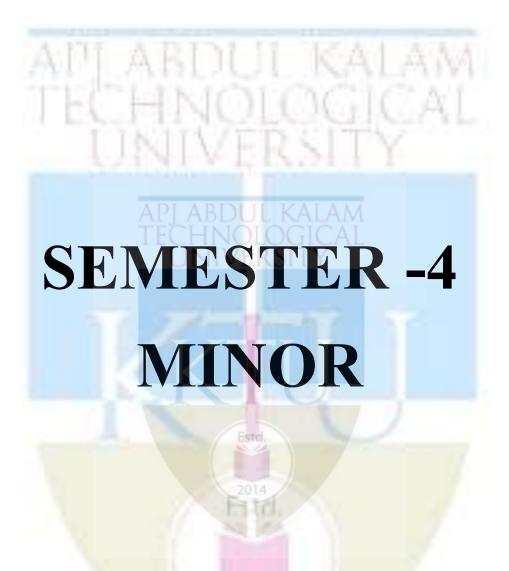
a) Worst-fit b) Best-fit c) First-fit

- 7. Write a C program to simulate paging technique of memory management.
- 8. Write a C program to simulate Bankers algorithm for the purpose of deadlock avoidance.
- 9. Write a C program to simulate disk scheduling algorithms a) FCFS b) SCAN c) C-SCAN
- 10. Write a C program to simulate page replacement algorithms a) FIFO b) LRU c) LFU
- 11. Write a C program to simulate producer-consumer problem using semaphores.
- 12. Write a program for file manipulation for display a file and directory in memory.
- 13. Write a program to simulate algorithm for deadlock prevention.
- 14. Write a C program to simulate following file allocation strategies.

a)Sequential b) Indexed c) Linked

Estd.

COMPUTER SCIENCE AND ENGINEERING



2014

CST	Programming	Category	L	T	Р	CREDIT	YEAR OF INTRODUCTION
282	Methodologies	MINOR	3	1	0	4	2019

**Preamble**: This is the second course for awarding B.Tech Minor in Computer Science and Engineering with specialization in *Software Engineering*. The course provides the learners a clear understanding of the main constructs of contemporary programming languages and the various systems of ideas that have been used to guide the design of programming languages. This course covers the concepts of Names, Bindings & Scope, Statement-Level Control Structures, Sub Programs, support for Object Oriented Programming, Exception Handling, Event Handling, Concurrency Control, Functional Programming and Logic Programming. This course helps the learners to equip with the knowledge necessary for the critical evaluation of existing and upcoming programming languages. It also enables the learner to choose the most appropriate language for a given programming task, apply that language's approach to structure or organize the code and classify programming languages based on their features.

### Prerequisite:

- 1. Topics covered under the course Programming in C (EST 102)
- 2. Object Oriented Programming (CST 251)

Course Outcomes: After the completion of the course the student will be able to

CO1	Explain the criteria for evaluating programming languages and compare Imperative, Functional and Logic programming languages (Cognitive Knowledge Level: Understand)					
CO2	Explain the characteristics of data types and variables (Cognitive Knowledge Level <b>Understand</b> )					
СО3	Illustrate how control flow structures and subprograms help in developing the structure of a program to solve a computational problem (Cognitive Knowledge Level: <b>Apply</b> )					
CO4	Explain the characteristics of Object Oriented Programming Languages (Cognitive Knowledge Level: <b>Understand</b> )					
CO5	Compare concurrency constructs in different programming languages (Cognitive Knowledge Level: <b>Understand</b> )					

# Mapping of course outcomes with program outcomes

	PO1	PO2	PO3	PO4	PO5	PO6	PO7	PO8	PO9	PO10	PO11	PO12
CO1												
CO2												
СОЗ												
CO4												
CO5				API	ARE	DETE	KAI	AM				
				TEC	LIN	OIC	CIC	AI				

	Abstract POs defined by National Board of Accreditation							
PO#	Broad PO	PO#	Broad PO					
PO1	Engineering Knowledge	PO7	Environment and Sustainability					
PO2	Problem Analysis	PO8	Ethics					
PO3	Design/Development of solutions	PO9	Individual and team work					
PO4	Conduct investigations of complex Est	PO10	Communication					
PO5	Modern tool usage	PO11	Project Management and Finance					
PO6	The Engineer and Society	PO12	Life long learning					

### Assessment Pattern

Bloom's Category	Continuous A	ssessment Tests	End Semester Examination
bloom's Category	Test 1 (Marks%) Test 2 (Marks%)		(Marks%)
Remember	30	30	30
Understand	50	50	50
Apply	20	20	20
Analyze			
Evaluate			
Create			

#### **Mark Distribution**

Total Marks	CIE Marks	ESE Marks	ESE Duration
150	50	100	3 hours

#### **Continuous Internal Evaluation Pattern:**

Attendance: 10 marksContinuous Assessment Test: 25 marksContinuous Assessment Assignment: 15 marks

#### **Internal Examination Pattern:**

Each of the two internal examinations has to be conducted out of 50 marks. First series test shall be preferably conducted after completing the first half of the syllabus and the second series test shall be preferably conducted after completing remaining part of the syllabus. There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly completed module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly completed module), having a student should answer any 5.

#### **End Semester Examination Pattern:**

There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which a student should answer any one. Each question can have maximum 2 sub-divisions and carries 14 marks.

#### **SYLLABUS**

#### Module 1

**Introduction** – Role of Programming Languages, Programming Domains, Language Evaluation Criteria, Influence on Language Design, Language Design Trade-offs, Implementation Methods. **Names, Bindings & Scope** – Names, Variables, Concept of Binding, Scope and Lifetime, Referencing Environments.

#### Module 2

**Data Types** – Primitive Data Types, Character String Types, User-Defined Ordinal Types, Array Types, Record Types, List Types, Pointer & Reference Types, Type Checking, Strong Typing, Type Equivalence. Expressions – Arithmetic Expressions, Overloaded Operators, Type Conversions, Relational and Boolean Expressions, Short-Circuit Evaluation. Assignment - Assignment Statements, Mixed-mode Assignment.

#### Module 3

**Statement-Level Control Structures** – Selection Statements, Iterative Statements, Unconditional Branching, Guarded Commands. Subprograms – Design Issues of Subprograms, Local Referencing Environments, Parameter Passing Methods, Subprograms as Parameters, Overloaded Subprograms, Closures, Co-routines.

#### Module 4

**Support for Object Oriented Programming** – Inheritance, Dynamic Binding, Design Issues for Object Oriented Languages, Support for Object Oriented Programming in C++, Implementation of Object-Oriented Constructs. Exception Handling – Basic Concepts, Design Issues. Event Handling - Introduction to Event Handling.

#### Module 5

**Concurrency** – Subprogram Level Concurrency, Semaphores, Monitors, Message Passing. **Functional Programming Languages** – Introduction to LISP and Scheme, Comparison of Functional and Imperative Languages. Logic Programming Languages – Basic Elements of Prolog, Applications of Logic Programming.

#### **Text Books**

- 1. Robert W. Sebesta, Concepts of Programming Languages, 10th Edition, Pearson.
- 2. Scott M. L., Programming Language Pragmatics, 3rd Edn., Morgan Kaufmann Publishers.

#### **Reference Books:**

- Kenneth C. Louden, Programming Languages: Principles and Practice, 2<sup>nd</sup> Edn., Cengage Learning.
- Tucker A. B. and R. E. Noonan, Programming Languages: Principles and Paradigms, 2<sup>nd</sup> Edn. –TMH.
- 3. Ravi Sethi, Programming Languages: Concepts & Constructs, 2<sup>nd</sup> Edn., Pearson Education.
- 4. David A. Watt, Programming Language Design Concepts, Wiley Dreamtech

### Sample Course Level Assessment Questions

**Course Outcome 1 (CO1):** Compare any three programming languages based on the language evaluation criteria. Prepare a list of characteristics that affect the language evaluation criteria. Identify the advantages and disadvantages of imperative, functional and logic programming languages.

**Course Outcome 2 (CO2):** Two most important design issues that are specific to character string types are (1) whether a string is simply a special kind of character array or a primitive type (2) whether strings have static or dynamic length. Justify your answer.

### Course Outcome 3 (CO3):

- 1. Describe three situations where a combined counting and logical looping statement is needed.
- 2. Describe the ways that aliases can occur with pass-by-reference parameters.
- 3. Identify the two fundamental design considerations for parameter-passing methods.

### **Course Outcome 4 (CO4):**

- 1. Describe the role of a virtual method table in implementing dynamic method binding.
- 2. Identify one disadvantage of inheritance.

**Course Outcome 5 (CO5):** Evaluate the use of semaphores and monitors for providing competition synchronization and cooperation synchronization.

**Model Question paper** 

**QP CODE:** 

Reg No:\_\_\_\_\_

Name :\_\_\_\_\_

PAGES:3

### APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY

#### FOURTH SEMESTER B.TECH (MINOR) DEGREE EXAMINATION, MONTH &

#### YEAR Course Code: CST 282

#### **Course Name: Programming Methodologies**

#### Max.Marks:100

**Duration: 3 Hours** 

### PART A

### Answer all Questions. Each question carries 3 Marks

- 1. Differentiate between readability and writability.
- 2. Define binding and binding time
- 3. What are the advantages of user-defined enumeration types?
- 4. Define narrowing and widening conversions.
- 5. Why for statement in C language is more flexible than that of older languages?
- 6. What are the advantages and disadvantages of dynamic local variables in subprograms?
- 7. Explain the concept of dynamic method binding with an example.
- 8. Is it mandatory to use constructors in object oriented languages? Justify your answer.
- 9. What are the applications of logical programming languages?
- 10. Explain the working of *let* and *let-rec* constructs in Scheme.

# Part B

### Answer any one Question from each module. Each question carries 14 Marks

- 11.
- (a) Explain different criteria used for evaluating languages.

(7 marks)

(b) Explain the major methods of implementing programming languages.

(7 marks)

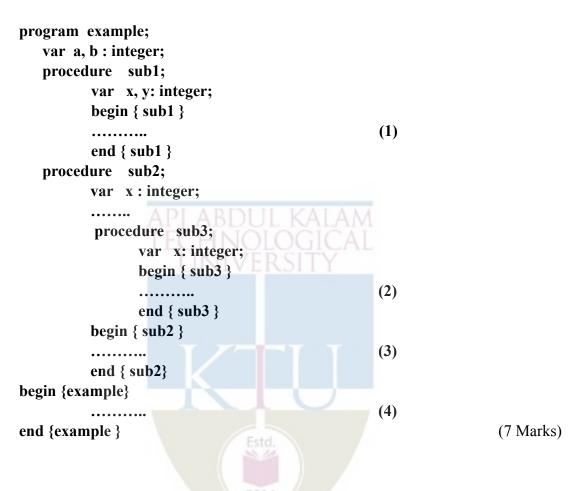
### OR

12.

(a) Explain the meanings, purposes, advantages and disadvantages of four categories of scalar variables according to their storage bindings.

(7 marks)

(b) What is referencing environment of a statement? Show the referencing environment at the indicated program points (1), (2), (3) & (4) for the following program segment. Assume that the programming language used is statically scoped.



13.

(a) Explain any two problems associated with the pointer data types and also indicate how dangling pointer problem can be solved.

(7 marks)

(b) Describe the lazy and eager approaches for reclaiming garbage.

(7 marks)

### OR

- 14.
- (a) What is meant by *side effect* and illustrate the advantages of referential transparency?

(8 marks)

(b) Explain the terms: compound assignment operator, coercion and short circuit evaluation. (6 marks)

15.

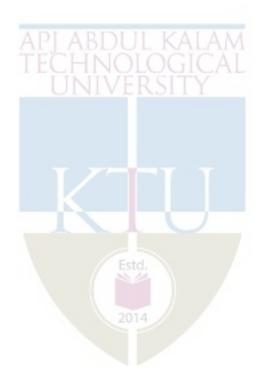
	(a) Explain different categories of iteration control statements.		
	(b) Explain techniques used for identifying correct referencing enviro	(8 ma onment fo	
	subprogram that was sent as a parameter.	(6	1 \
	OR	(6 ma	rks)
16.			
10.	(a) Describe the implementation models of Parameter passing.	(10 Ma	rks)
	(b) Differentiate coroutines from conventional subprograms.	(	~)
17		(4 mai	rks)
17.	(a) What is an exception handler? Explain how exceptions are handled	ed in oh	viect
	oriented language?		jeet
	TECHNOLOGICAL	(7 Ma	rks)
	(b) What are the design issues in object oriented languages?	(7 Ma	rks)
	OR		
18.	<ul> <li>Explain the following object oriented features:</li> <li>(i) Encapsulation</li> <li>(ii) Inheritance</li> <li>(iii) Constructors and Destructors</li> </ul>		
	(iv) Operator Overloading		
	(v) Polymorphism		
		(14 Ma	rks)
19.	2014		
	(a) Compare functional and imperative programming languages.		1 )
	(b) Explain the role of monitors in consummercy	(7 Ma	rks)
	(b) Explain the role of monitors in concurrency.	(7 Ma	rks)
	OR	(7 1010	1K3)
20.			
	(a) Explain the searching strategies used in Prolog. Why backward chainin over forward chaining in Prolog?	g is prefe	rred
		(10 Ma	rks)
	(b) How doog a hinamy company differ from an ordinamy companyar?		
	(b) How does a binary semaphore differ from an ordinary semaphore?		
	(b) How does a binary semaphore driver from an ordinary semaphore?	(4 Mai	rks)

# **Teaching Plan**

	Module 1 (Introduction)	9 Hours				
1.1	Introduction : Reasons for studying Concepts of programming languages, Programming Domains	1 Hour				
1.2	Language Evaluation Criteria (Lecture 1)	1 Hour				
1.3	Language Evaluation Criteria (Lecture 2)	1 Hour				
1.4	Influence on Language Design, Language Design Trade-offs	1 Hour				
1.5	Implementation Methods	1 Hour				
1.6	Names, Variables	1 Hour				
1.7	Concept of Binding	1 Hour				
1.8	Scope and Lifetime	1 Hour				
1.9	Referencing Environments	1 Hour				
Ν	Module 2 (Data Types, Expressions and Assignment Statements)					
2.1	Primitive Data Types, Character String Types	1 Hour				
2.2	User-Defined Ordinal Types, Array Types	1 Hour				
2.3	Record Types, List Types, Pointer and Reference Types	1 Hour				
2.4	Implementation of pointer and reference types, Type Checking, Strong Typing, Type Equivalence	1 Hour				
2.5	Expressions and Assignment Statements, Arithmetic Expressions	1 Hour				
2.6	Overloaded Operators, Type Conversions	1 Hour				
2.7	Relational and Boolean Expressions, Short-Circuit Evaluation	1 Hour				
2.8	Assignment Statements, Mixed-mode Assignment	1 Hour				
	Module 3 (Statement Level Control Structures, Subprograms)	8 Hours				
3.1	Selection Statements, Iterative Statements	1 Hour				
3.2	Unconditional Branching	1 Hour				

3.3	Guarded Commands	1 Hour					
3.4	Subprograms: Design Issues of Subprograms	1 Hour					
3.5	Local Referencing Environments	1 Hour					
3.6	Parameter Passing Methods	1 Hour					
3.7	Subprograms as Parameters, Overloaded Subprograms	1 Hour					
3.8	Closures, Co-routines	1 Hour					
M	lodule 4 (Support for Object Oriented Programming, Exception Handling, Event handling)	10 Hours					
4.1	Inheritance	1 Hour					
4.2	Dynamic Binding ABDUL KALAM	1 Hour					
4.3	Design Issues for Object Oriented Languages	1 Hour					
4.4	Support for Object Oriented Programming in C++	1 Hour					
4.5	Implementation of Object-Oriented Constructs (Lecture 1)	1 Hour					
4.6	Implementation of Object-Oriented Constructs (Lecture 2)	1 Hour					
4.7	Implementation of Object-Oriented Constructs (Lecture 3)	1 Hour					
4.8	Basic Concepts	1 Hour					
4.9	Exception Handling - Design Issues	1 Hour					
4.10	Introduction to Event Handling	1 Hour					
Mo	Module 5 (Concurrency, Functional Programming Languages, Logic Programming languages)						
5.1	Subprogram Level Concurrency	1 Hour					
5.2	Semaphores	1 Hour					
5.3	Monitors	1 Hour					
5.4	Message Passing	1 Hour					
5.5	Introduction to LISP and Scheme (Lecture 1)	1 Hour					
5.6	Introduction to LISP and Scheme (Lecture 2)	1 Hour					
5.7	Comparison of Functional and Imperative Languages	1 Hour					
5.8	Basic Elements of Prolog (Lecture 1)	1 Hour					

5.9	Basic Elements of Prolog (Lecture 2)	1 Hour
5.10	Applications of Logic Programming	1 Hour



CODE	Mathematics for Machine	CATEGORY	L	Т	Р	CREDIT
CST 284	Learning	MINOR	3	1	0	4

**Preamble:** This is a foundational course for awarding B. Tech. Minor in Computer Science and Engineering with specialization in *Machine Learning*. The purpose of this course is to introduce mathematical foundations of basic Machine Learning concepts among learners, on which Machine Learning systems are built. This course covers Linear Algebra, Vector Calculus, Probability and Distributions, Optimization and Machine Learning problems. Concepts in this course help the learners to understand the mathematical principles in Machine Learning and aid in the creation of new Machine Learning solutions, understand & debug existing ones, and learn about the inherent assumptions & limitations of the current methodologies.

### **Prerequisite:**

- 1. A sound background in higher secondary school Mathematics.
- **2.** Python for Machine Learning (CST 253)

Course Outcomes: After the completion of the course the student will be able to

<b>CO</b> 1	Make use of the concepts, rules and results about linear equations, matrix algebra, vector spaces, eigenvalues & eigenvectors and orthogonality & diagonalization to solve computational problems (Cognitive Knowledge Level: Apply)						
CO 2	Perform calculus operations on functions of several variables and matrices, including partial derivatives and gradients (Cognitive Knowledge Level: Apply)						
CO 3	Utilize the concepts, rules and results about probability, random variables, additive & multiplicative rules, conditional probability, probability distributions and Bayes' theorem to find solutions of computational problems (Cognitive Knowledge Level: <b>Apply</b> )						
CO 4	Train Machine Learning Models using unconstrained and constrained optimization methods (Cognitive Knowledge Level: Apply)						
CO 5	Illustrate how the mathematical objects - linear algebra, probability, and calculus can be used to design machine learning algorithms (Cognitive Knowledge Level: <b>Understand</b> )						

### Mapping of course outcomes with program outcomes

	PO 1	PO 2	PO 3	PO 4	<b>PO 5</b>	PO 6	<b>PO 7</b>	<b>PO 8</b>	PO 9	PO 10	PO 11	PO 12
CO 1	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$								$\checkmark$
CO 2	$\checkmark$	$\checkmark$	$\checkmark$									$\checkmark$
CO 3		$\checkmark$	$\checkmark$	$\checkmark$								$\checkmark$
CO 4	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$						$\checkmark$
CO 5	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$				$\checkmark$		$\checkmark$

Abstract POs defined by National Board of Accreditation							
PO#	Broad PO	PO# Broad PO					
PO1	Engineering Knowledge	PO7	Environment and Sustainability				
PO2	Problem Analysis	PO8 Ethics					
PO3	Design/Development of solutions	PO9	Individual and team work				
PO4	Conduct investigations of complex problems	PO10	Communication				
PO5	Modern tool usage	PO11 Project Management and Financ					
PO6	The Engineer and Society	PO12	Life long learning				

### **Assessment Pattern**

Assessment Pattern	API ARDI II K		
	Continuous Assess	End Semester	
<b>Bloom's Category</b>	UNIVERSI	1Y 2	Examination
Remember	20%	20%	20%
Understand	40%	40%	40%
Apply	40%	40%	40%
Analyse			
Evaluate	Eetd		
Create			

# **Mark Distribution**

Total Marks	CIE Marks	ESE Marks	ESE Duration
150	50	100	3 hours

# **Continuous Internal Evaluation Pattern:**

Attendance : 10 marks

Continuous Assessment Tests : 25 marks

Continuous Assessment Assignment : 15 marks

### **Internal Examination Pattern:**

Each of the two internal examinations has to be conducted out of 50 marks

First Internal Examination shall be preferably conducted after completing the first half of the syllabus and the Second Internal Examination shall be preferably conducted after completing remaining part of the syllabus.

There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly covered module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly covered module), each with 7 marks. Out of the 7 questions in Part B, a student should answer any 5.

**End Semester Examination Pattern:** There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which student should answer anyone. Each question can have maximum 2 sub-divisions and carries 14 marks.



# **Syllabus**

### Module 1

**LINEAR ALGEBRA** : Systems of Linear Equations – Matrices, Solving Systems of Linear Equations. Vector Spaces - Linear Independence, Basis and Rank, Linear Mappings, Norms, - Inner Products - Lengths and Distances - Angles and Orthogonality - Orthonormal Basis - Orthogonal Complement - Orthogonal Projections. Matrix Decompositions - Determinant and Trace, Eigenvalues and Eigenvectors, Cholesky Decomposition, Eigen decomposition and Diagonalization, Singular Value Decomposition, Matrix Approximation.

### Module 2

**VECTOR CALCULUS** : Differentiation of Univariate Functions - Partial Differentiation and Gradients, Gradients of Vector Valued Functions, Gradients of Matrices, Useful Identities for Computing Gradients. Back propagation and Automatic Differentiation - Higher Order Derivatives- Linearization and Multivariate Taylor Series.

#### Module 3

**Probability and Distributions** : Construction of a Probability Space - Discrete and Continuous Probabilities, Sum Rule, Product Rule, and Bayes' Theorem. Summary Statistics and Independence – Important Probability distributions - Conjugacy and the Exponential Family - Change of Variables/Inverse Transform.

### Module 4

**Optimization** : Optimization Using Gradient Descent - Gradient Descent With Momentum, Stochastic Gradient Descent. Constrained Optimization and Lagrange Multipliers - Convex Optimization - Linear Programming - Quadratic Programming.

#### Module 5

**CENTRAL MACHINE LEARNING PROBLEMS** : Data and Learning Model-Empirical Risk Minimization - Parameter Estimation - Directed Graphical Models.

Linear Regression - Bayesian Linear Regression - Maximum Likelihood as Orthogonal Projection.

Dimensionality Reduction with Principal Component Analysis - Maximum Variance Perspective, Projection Perspective. Eigenvector Computation and Low Rank Approximations. Density Estimation with Gaussian Mixture Models - Gaussian Mixture Model, Parameter Learning via Maximum Likelihood, EM Algorithm.

Classification with Support Vector Machines - Separating Hyperplanes, Primal Support Vector Machine, Dual Support Vector Machine, Kernels.

### Text book:

1.Mathematics for Machine Learning by Marc Peter Deisenroth, A. Aldo Faisal, and Cheng Soon Ong published by Cambridge University Press (freely available at https:// mml - book.github.io)

### **Reference books:**

- 1. Linear Algebra and Its Applications, 4th Edition by Gilbert Strang
- 2. Linear Algebra Done Right by Axler, Sheldon, 2015 published by Springer
- 3. Introduction to Applied Linear Algebra by Stephen Boyd and Lieven Vandenberghe, 2018 published by Cambridge University Press
- 4. Convex Optimization by Stephen Boyd and Lieven Vandenberghe, 2004 published by Cambridge University Press
- 5. Pattern Recognition and Machine Learning by Christopher M Bishop, 2006, published by Springer
- Learning with Kernels Support Vector Machines, Regularization, Optimization, and Beyond by Bernhard Scholkopf and Smola, Alexander J Smola, 2002, bublished by MIT Press
- 7. Information Theory, Inference, and Learning Algorithms by David J. C MacKay, 2003 published by Cambridge University Press
- 8. Machine Learning: A Probabilistic Perspective by Kevin P Murphy, 2012 published by MIT Press.
- 9. The Nature of Statistical Learning Theory by Vladimir N Vapnik, 2000, published by Springer

### Sample Course Level Assessment Questions.

### Course Outcome 1 (CO1):

Find the set S of all solutions in x of the following inhomogeneous linear systems Ax
 = b, where A and b are defined as follows:

$$A \quad A = \begin{bmatrix} 1 & -1 & 0 & 0 & 1 \\ 1 & 1 & 0 & -3 & 0 \\ 2 & -1 & 0 & 1 & -1 \\ -1 & 2 & 0 & -2 & -1 \end{bmatrix}, \quad b = \begin{bmatrix} 3 \\ 6 \\ 5 \\ -1 \end{bmatrix}$$

2. Determine the inverses of the following matrix if possible  $\begin{bmatrix} 1 & 0 & 1 & 0 \end{bmatrix}$ 

APT ABI TE <b>ACA</b> E UNI	[1	0	1	0	A . A
APLABI	0	1	1	0	
AAE	1	1	0	1	.А.
	1	1	31	0	

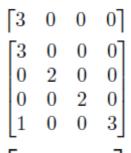
3. Are the following sets of vectors linearly independent?

$$x_1 \ x_1 = \begin{bmatrix} 2\\ -1\\ 3 \end{bmatrix}, \quad x_2 = \begin{bmatrix} 1\\ 1\\ -2 \end{bmatrix}, \quad x_3 = \begin{bmatrix} 3\\ -3\\ 8 \end{bmatrix}$$

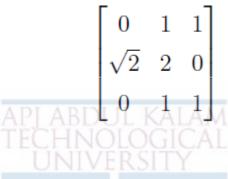
- 4. A set of *n* linearly independent vectors in *R<sup>n</sup>* forms a basis. Does the set of vectors (2, 4,-3), (0, 1, 1), (0, 1,-1) form a basis for *R<sup>3</sup>*? Explain your reasons.
- 5. Consider the transformation T(x, y) = (x + y, x + 2y, 2x + 3y). Obtain ker T and use this to calculate the nullity. Also find the transformation matrix for T.
- 6. Find the characteristic equation, eigenvalues, and eigenspaces corresponding to each eigenvalue of the following matrix

$$\begin{bmatrix} 2 & 0 & 4 \\ 2 & 2 & 0 & 4 \\ 0 & 0 & 3 & 0 \\ 0 & 0 & 1 & 2 \end{bmatrix}$$

7. Diagonalize the following matrix, if possible



8. Find the singular value decomposition (SMD) of the following matrix



### Course Outcome 2 (CO2):

- 1. For a scalar function  $f(x, y, z) = x^2 + 3y^2 + 2z^2$ , find the gradient and its magnitude at the point (1, 2, -1).
- 2. Find the maximum and minimum values of the function  $f(x, y) = 4x + 4y x^2 y^2$ subject to the condition  $x^2 + y^2 \le 2$ .
- 3. Suppose you were trying to minimize  $f(x, y) = x^2 + 2y + 2y^2$ . Along what vector should you travel from (5, 12)?
- 4. Find the second order Taylor series expansion for  $f(x, y) = (x + y)^2$  about (0, 0).
- 5. Find the critical points of  $f(x, y) = x^2 3xy + 5x 2y + 6y^2 + 8$ .
- 6. Compute the gradient of the Rectified Linear Unit (ReLU) function ReLU(z) = max(0, z).
- 7. Let  $L = ||Ax b||^2_2$ , where A is a matrix and x and b are vectors. Derive dL in terms of dx.

# Course Outcome 3 (CO3):

- 1. Let J and T be independent events, where P(J)=0.4 and P(T)=0.7.
  - *i*. Find  $P(J \cap T)$
  - *ii.* Find *P*(*J*∪*T*)
  - *iii*. Find *P*(*J*∩*T'*)
- 2. Let A and B be events such that P(A)=0.45, P(B)=0.35 and  $P(A\cup B)=0.5$ . Find P(A|B).
- 3. A random variable  $\mathbf{R}$  has the probability distribution as shown in the following table:

I	1	2	3	4	5
P(R=r)	0.2		A bA	0.25	0.15

- i. Given that E(R)=2.85, find a and b.
- ii. Find *P(R>2)*.
- <sup>4.</sup> A biased coin (with probability of obtaining a head equal to p > 0) is tossed repeatedly and independently until the first head is observed. Compute the probability that the first head appears at an even numbered toss.
- 5. Two players A and B are competing at a trivia quiz game involving a series of questions. On any individual question, the probabilities that A and B give the correct answer are p and q respectively, for all questions, with outcomes for different questions being independent. The game finishes when a player wins by answering a question correctly. Compute the probability that A wins if
  - i. A answers the first question,
  - ii. B answers the first question.
- 6. A coin for which P(heads) = p is tossed until two successive tails are obtained. Find the probability that the experiment is completed on the n<sup>th</sup> toss.
- 7. You roll a fair dice twice. Let the random variable *X* be the product of the outcomes of the two rolls. What is the probability mass function of *X*? What are the expected value and the standard deviation of *X*?

- 8. While watching a game of Cricket, you observe someone who is clearly supporting Mumbai Indians. What is the probability that they were actually born within 25KM of Mumbai? Assume that:
  - the probability that a randomly selected person is born within 25KM of Mumbai is 1/20;
  - the chance that a person born within 25KMs of Mumbai actually supports MI is 7/10 ;
  - the probability that a person not born within 25KM of Mumbai supports MI with probability 1/10.
- 9. What is an exponential family? Why are exponential families useful?
- 10. Let  $Z_1$  and  $Z_2$  be independent random variables each having the standard normal distribution. Define the random variables X and Y by  $X = Z_1 + 3Z_2$  and  $Y = Z_1 + Z_2$ . Argue that the joint distribution of (X, Y) is a bivariate normal distribution. What are the parameters of this distribution?
- 11. Given a continuous random variable x, with cumulative distribution function  $F_x(x)$ , show that the random variable  $y = F_x(x)$  is uniformly distributed.
- <sup>12.</sup> Explain Normal distribution, Binomial distribution and Poisson distribution in the exponential family form.

# **Course Outcome 4(CO4):**

- 1. Find the extrema of f(x, y) = x subject to  $g(x, y) = x^2 + 2y^2 = 3$ .
- 2. Maximize the function f(x, y, z) = xy + yz + xz on the unit sphere  $g(x, y, z) = x^2 + y^2 + z^2 = 1$ .
- 3. Provide necessary and sufficient conditions under which a quadratic optimization problem be written as a linear least squares problem.
- 4. Consider the univariate function  $f(x) = x^3 + 6x^2 3x 5$ . Find its stationary points and indicate whether they are maximum, minimum, or saddle points.
- 5. Consider the update equation for stochastic gradient descent. Write down the update when we use a mini-batch size of one.

6. Consider the function

$$f(x) = (x_1 - x_2)^2 + \frac{1}{1 + x_1^2 + x_2^2}.$$

- i. Is f(x) a convex function? Justify your answer.
- ii. Is (1, -1) a local/global minimum? Justify your answer.
- 7. Is the function  $f(x, y) = 2x^2 + y^2 + 6xy x + 3y 7$  convex, concave, or neither? Justify your answer.
- 8. Consider the following convex optimization problem

$$\begin{array}{c} \text{minimize} \quad \frac{x^2}{2} + x + 4y^2 - 2y \\ \text{TECHNOLOGICAL} \end{array}$$

Subject to the constraint  $x + y \ge 4$ ,  $x, y \ge 1$ .

Derive an explicit form of the Lagrangian dual problem.

9. Solve the following LP problem with the simplex method.

$$max \ 5x_1 + 6x_2 + 9x_3 + 8x_4$$

subject to the constraints

### Course Outcome 5 (CO5):

- 1. What is a loss function? Give examples.
- 2. What are training/validation/test sets? What is cross-validation? Name one or two examples of cross-validation methods.
- 3. Explain generalization, overfitting, model selection, kernel trick, Bayesian learning

- 4. Distinguish between Maximum Likelihood Estimation (MLE) and Maximum A Posteriori Estimation (MAP)?
- 5. What is the link between structural risk minimization and regularization?
- 6. What is a kernel? What is a dot product? Give examples of kernels that are valid dot products.
- 7. What is ridge regression? How can one train a ridge regression linear model?
- 8. What is Principal Component Analysis (PCA)? Which eigen value indicates the direction of largest variance? In what sense is the representation obtained from a projection onto the eigen directions corresponding the the largest eigen values optimal for data reconstruction?
- 9. Suppose that you have a linear support vector machine (SVM) binary classifier. Consider a point that is currently classified correctly, and is far away from the decision boundary. If you remove the point from the training set, and re-train the classifier, will the decision boundary change or stay the same? Explain your answer in one sentence.
- 10. Suppose you have *n* independent and identically distributed (i.i.d) sample data points  $x_{1}, ..., x_{n}$ . These data points come from a distribution where the probability of a given datapoint *x* is

$$P(x) = \frac{1}{\theta}e^{-\frac{1}{\theta}x}.$$

Prove that the MLE estimate of parameter is the sample mean.

- 11. Suppose the data set  $y_1, ..., y_n$  is a drawn from a random sample consisting of i.i.d. discrete uniform distributions with range 1 to N. Find the maximum likelihood estimate of N.
- 12. Ram has two coins: one fair coin and one biased coin which lands heads with probability 3/4. He picks one coin at random (50-50) and flips it repeatedly until he gets a tails. Given that he observes 3 heads before the first tails, find the posterior probability that he picked each coin.
  - i. What are the prior and posterior odds for the fair coin?
  - ii. What are the prior and posterior predictive probabilities of heads on the next flip? Here prior predictive means prior to considering the data of the first four flips.

#### **Model Question paper**

QP Code :

Reg No.:\_\_\_\_\_

Name:

**Total Pages: 4** 

### APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY

IV SEMESTER B.TECH (MINOR) DEGREE EXAMINATION, MONTH and YEAR

#### **Course Code: CST 284**

#### **Course Name: MATHEMATICS FOR MACHINE LEARNING**

Max. Marks: 100

1

#### PART A

Answer all questions, each carries 3 marks.

Marks

**Duration: 3 Hours** 

- Show that with the usual operation of scalar multiplication but with addition on reals given by x # y = 2(x + y) is not a vector space.
- 2 Find the eigenvalues of the following matrix in terms of *k*. Can you find an eigenvector corresponding to each of the eigenvalues?

$$\begin{bmatrix} 1 & k \\ 2 & 1 \end{bmatrix}$$

- 3 Let  $f(x, y, z) = xye^r$ , where  $r = x^2+z^2-5$ . Calculate the gradient of f at the point (1, 3, -2).
- 4 Compute the Taylor polynomials  $T_n$ , n = 0, ..., 5 of f(x) = sin(x) + cos(x)at  $x_0 = 0$ .
- 5 Let X be a continuous random variable with probability density function on  $\theta \le x \le 1$  defined by  $f(x) = 3x^2$ . Find the pdf of  $Y = X^2$ .
- 6 Show that if two events *A* and *B* are independent, then *A* and *B'* are independent.
- 7 Explain the principle of the gradient descent algorithm.

8 Briey explain the difference between (batch) gradient descent and stochastic gradient descent. Give an example of when you might prefer one over the other.

9 What is the empirical risk? What is "empirical risk minimization"?

10 Explain the concept of a Kernel function in Support Vector Machines. Why are kernels so useful? What properties a kernel should posses to be used in an SVM?

#### PART B

#### Answer any one Question from each module. Each question carries 14 Marks

11 a) i. Find all solutions  

$$-4x + 5z = -2$$

$$-3x - 3y + 5z = 3$$

$$-3a - x + 2y + 2z = -1$$

$$-x + 2y + 2z = -1$$

ii. Prove that all vectors orthogonal to [2, −3, 1]<sup>T</sup> forms a subspace W of R<sup>3</sup>. What is *dim (W)* and why?

b) Use the Gramm-Schmidt process to find an orthogonal basis for the (8) column space of the following matrix

$$\begin{bmatrix} 2 & 1 & 0 \\ 1 & -1 & 1 \\ 1 & 0 & 3 & 1 \\ 0 & 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$
  
UK

$$\begin{bmatrix} 3 & 2 & 2 \\ 2 & 3 & -2 \end{bmatrix}$$

$$\begin{bmatrix} 3 & 2 \\ 2 & 3 & -2 \end{bmatrix}$$

12 a)

b)

i.

1 1 1 n  $R^2$  that is parallel to the Let *L* be the line thr (6)vector

(8)

[3, 4]<sup>T</sup>. Find the standard matrix of the orthogonal projection onto L. Also find the point on L which is closest to the point (7, 1) and find the point on L which is closest to the point (-3, 5).

ii. Find the rank-1 approximation of

$$\begin{bmatrix} 3 & 2 & 2 \\ 2 & 3 & -2 \end{bmatrix}$$

i. followin

ii. Find a 
$$3 \times 3$$
 orthogonal matrix **S** and a  $3 \times 3$  diagonal matrix **D** such that  $A = SDS^{T}$ .

- A skier is on a mountain with equation  $z = 100 0.4x^2 0.3y^2$ , where z 13 a) (8) denotes height.
  - The skier is located at the point with xy-coordinates (1, 1), and i. wants to ski downhill along the steepest possible path. In which direction (indicated by a vector (a, b) in the xy-plane) should the skier begin skiing.
  - ii. The skier begins skiing in the direction given by the xy-vector (a, **b**) you found in part (i), so the skier heads in a direction in space given by the vector (a, b, c). Find the value of c.
  - b) Find the linear approximation to the function f(x,y) = 2 - sin(-x - y)(6)3y) at the point (0,  $\pi$ ), and then use your answer to estimate f(0.001, π).

$$g(x,y) = \begin{cases} \frac{x^2y}{x^2 + y^2} & \text{if } (x,y) \neq (0,0); \\ 0 & \text{if } (x,y) = (0,0). \end{cases}$$

g matrix  
TEC 
$$\begin{bmatrix} 1 & 0 & -2 \\ 0 & 5 & 0 \\ -2 & 0 & 4 \end{bmatrix}$$

Find an orthonormal basis of 
$$\mathbb{R}^3$$
 consisting of eigenvectors for the following matrix  

$$\begin{bmatrix} 1 & 0 & -2 \\ 0 & 5 & 0 \\ -2 & 0 & 4 \end{bmatrix}$$

14 a) Let g be the function given by

$$g(x,y) = \begin{cases} \frac{x^2y}{x^2 + y^2} & \text{if } (x,y) \neq (0,0); \\ 0 & \text{if } (x,y) = (0,0). \end{cases}$$

- i. Calculate the partial derivatives of g at (0, 0).
- ii. Show that g is not differentiable at (0, 0).
- b) Find the second order Taylor series expansion for  $f(x,y) = e^{-(x^2+y^2)} \cos(xy)$  (6) about (0, 0).
- 15 a) There are two bags. The first bag contains four mangos and two apples; (6) the second bag contains four mangos and four apples. We also have a biased coin, which shows "heads" with probability 0.6 and "tails" with probability 0.4. If the coin shows "heads". we pick a fruit at random from bag 1; otherwise we pick a fruit at random from bag 2. Your friend flips the coin (you cannot see the result), picks a fruit at random from the corresponding bag, and presents you a mango. What is the probability that the mango was picked from bag 2?
  - b) Suppose that one has written a computer program that sometimes (8) compiles and sometimes not (code does not change). You decide to model the apparent stochasticity (success vs. no success) x of the compiler using a Bernoulli distribution with parameter μ:

$$p(x \mid \mu) = \mu^{x} (1 - \mu)^{1 - x}, \quad x \in \{0, 1\}$$

Choose a conjugate prior for the Bernoulli likelihood and compute the posterior distribution  $p(\mu | x_1, ..., x_N)$ .

OR

 $0.4\mathcal{N}\left(\begin{bmatrix}10\\2\end{bmatrix},\begin{bmatrix}1&0\\0&1\end{bmatrix}\right)+0.6\mathcal{N}\left(\begin{bmatrix}0\\0\end{bmatrix},\begin{bmatrix}8.4&2.0\\2.0&1.7\end{bmatrix}\right)$ 

$$p(x \mid \mu) = \mu^{x} (1 - \mu)^{1 - x}, \quad x \in \{0, 1\}$$

#### 16 a) Consider a mixture of two Gaussian distributions

$$0.4\mathcal{N}\left(\begin{bmatrix}10\\2\end{bmatrix},\begin{bmatrix}1&0\\0&1\end{bmatrix}\right)+0.6\mathcal{N}\left(\begin{bmatrix}0\\0\end{bmatrix},\begin{bmatrix}8.4&2.0\\2.0&1.7\end{bmatrix}\right)$$
  
i. 
$$0.4\mathcal{N}\left(\begin{bmatrix}10\\2\end{bmatrix},\begin{bmatrix}1&0\\0&1\end{bmatrix}\right)+0.6\mathcal{N}\left(\begin{bmatrix}0\\0\end{bmatrix},\begin{bmatrix}8.4&2.0\\2.0&1.7\end{bmatrix}\right)_{1}^{i} \text{ marginal distribution.}$$

iii. Compute the mean and mode for the two-dimensional distribution.

b) Express the Binomial distribution as an exponential family distribution. (6)
Also express the Beta distribution is an exponential family distribution.
Show that the product of the Beta and the Binomial distribution is also a member of the exponential family.

17 a) Fin (8)  
2. Let 
$$P = \begin{bmatrix} 13 & 12 & -2 \\ 12 & 17 & 6 \\ -2 & 6 & 12 \end{bmatrix}$$
,  $q = \begin{bmatrix} -22.0 \\ -14.5 \\ -2.0 \end{bmatrix}$ , and  $r = 1$ .  
 $P = \begin{bmatrix} 13 & 12 & -2 \\ 12 & 17 & 6 \\ -2 & 6 & 12 \end{bmatrix}$ ,  $q = \begin{bmatrix} -22.0 \\ -14.5 \\ 13.0 \end{bmatrix}$ , and  $r = 1$ .

Show that  $x^* = (1, 1/2, -1)$  is optimal for the optimization problem

min 
$$\frac{1}{2}x^{\mathsf{T}}Px + q^{\mathsf{T}}x + r$$
  
s.t.  $-1 \le x_i \le 1, \ i = 1, 2, 3.$  (6)  
OR

# 18 a) Derive the gradient descent training rule assuming that the target function (8) is represented as $o_d = w_0 + w_1 x_1 + ... + w_n x_n$ . Define explicitly the cost/ error function *E*, assuming that a set of training examples *D* is provided, where each training example $d \in D$ is associated with the target output $t_d$ .

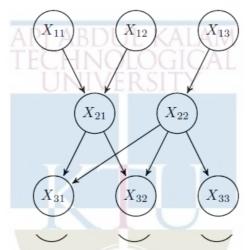
$$P_{\theta}(x) = 2\theta x e^{-\theta x^2}$$

(8)

- b) Find the maximum value of f(x,y,z) = xyz given that g(x,y,z) = x + y + z = (6)3 and  $x,y,z \ge 0$ .
- 19 a) Consider the following  $P_{\theta}(x) = 2\theta x e^{-\theta x^2}$  (7)

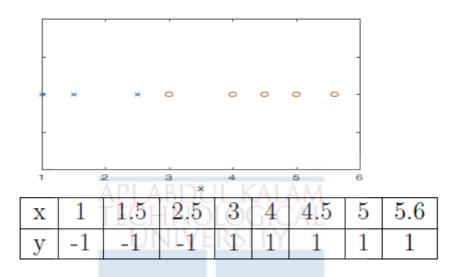
where  $\theta$  is a parameter and x is a positive real number. Suppose you get m i.i.d. samples  $x_i$  drawn from this distribution. Compute the maximum likelihood estimator for  $\theta$  based on these samples.

b) Consider the following Bayesian network with boolean variables. (7)



- *i*. List variable(s) conditionally independent of  $X_{33}$  given  $X_{11}$  and  $X_{12}$
- *ii.* List variable(s) conditionally independent of  $X_{33}$  and  $X_{22}$
- iii. Write the joint probability P(X<sub>11</sub>, X<sub>12</sub>, X<sub>13</sub>, X<sub>21</sub>, X<sub>22</sub>, X<sub>31</sub>, X<sub>32</sub>, X<sub>33</sub>) factored according to the Bayes net. How many parameters are necessary to define the conditional probability distributions for this Bayesian network?
- iv. Write an expression for  $P(X_{13} = 0, X_{22} = 1, X_{33} = 0)$  in terms of the conditional probability distributions given in your answer to part (iii). Justify your answer.

20 a) Consider the following one dimensional training data set, 'x' denotes (6) negative examples and 'o' positive examples. The exact data points and their labels are given in the table below. Suppose a SVM is used to classify this data.



- i. Indicate which are the support vectors and mark the decision boundary.
- ii. Give the value of the cost function and the model parameter after training.



Suppose that we are fitting a Gaussian mixture model for data (8) items consisting of a single real value, x, using K = 2 components. We have N = 5 training cases, in which the values of x are as 5, 15, 25, 30, 40. Using the EM algorithm to find the maximum likely destimates for the model parameters, what are the mixing proportions for the two components,  $\pi_1$  and  $\pi_2$ , and the means for the two components,  $\mu_1$  and  $\mu_2$ . The standard deviations for the two components are fixed at 10.

Suppose that at some point in the EM algorithm, the E step found that the responsibilities of the two components for the five data items were as follows:

TECHNO	$r_{i1}$	$r_{i2}$
	0.2	0.8
	0.2	0.8
	0.8	0.2
	0.9	0.1
	0.9	0.1

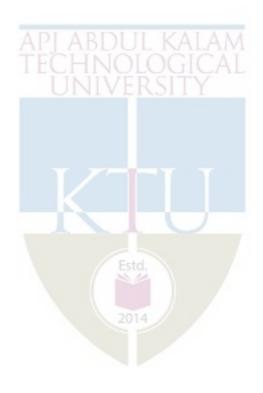
What values for the parameters  $\pi_1$ ,  $\pi_2$ ,  $\mu_1$ , and  $\mu_2$  will be found in the next **M** step of the algorithm?

\*\*\*\*

	Teaching Plan	
No	Торіс	
1	Module-I (LINEAR ALGEBRA)	8
1.	Systems of Linear Equations – Matrices, Solving Systems of Linear Equations. Vector Spaces - Linear Independence.	1
2.	Vector Spaces - Basis and Rank	1
3.	Linear Mappings	1
4.	Norms, Inner Products, Lengths and Distances, Angles and Orthogonality, Orthonormal Basis, Orthogonal Complement	1
5.	Orthogonal Projections, Matrix Decompositions, Determinant and Trace.	1
6.	Eigenvalues and Eigenvectors	1
7.	Cholesky Decomposition, Eigen decomposition and Diagonalization	1
8.	Singular Value Decomposition - Matrix Approximation	1
	Module-II (VECTOR CALCULUS)	6
1	Differentiation of Univariate Functions, Partial Differentiation and Gradients	1
2	Gradients of Vector Valued Functions, Gradients of Matrices	1
3	Useful Identities for Computing Gradients	1
4	Backpropagation and Automatic Differentiation	1
5	Higher Order Derivatives	1
6	Linearization and Multivariate Taylor Series	1
3	Module-III (Probability and Distributions)	10
1	Construction of a Probability Space - Discrete and Continuous Probabilities (Lecture 1)	1

2	Construction of a Probability Space - Discrete and Continuous Probabilities (Lecture 2)	1
3	Sum Rule, Product Rule	1
4	Bayes' Theorem	1
5	Summary Statistics and Independence	1
6	Important probability Distributions (Lecture 1)	1
7	Important probability Distributions (Lecture 2)	1
8	Conjugacy and the Exponential Family (Lecture 1)	1
9	Conjugacy and the Exponential Family (Lecture 2)	1
10	Change of Variables/Inverse Transform	1
4	Module-IV (Optimization)	7
1	Optimization Using Gradient Descent.	1
2	Gradient Descent With Momentum, Stochastic Gradient Descent	1
3	Constrained Optimization and Lagrange Multipliers (Lecture 1)	1
4	Constrained Optimization and Lagrange Multipliers (Lecture 2)	1
5	Convex Optimization	1
6.	Linear Programming	1
7.	Quadratic Programming	1
5	Module-V (CENTRAL MACHINE LEARNING PROBLEMS)	14
1.	Data and Learning models - Empirical Risk Minimization,	1
2.	Parameter Estimation	1
3.	Directed Graphical Models	1
4.	Linear Regression	1
5.	Bayesian Linear Regression	1
6.	Maximum Likelihood as Orthogonal Projection	1
7.	Dimensionality Reduction with Principal Component Analysis - Maximum Variance Perspective, Projection Perspective.	1
8.	Eigenvector Computation and Low Rank Approximations	1
9.	Density Estimation with Gaussian Mixture Models	1

10.	Parameter Learning via Maximum Likelihood	1
11.	EM Algorithm	1
12.	Classification with Support Vector Machines - Separating Hyperplanes	1
13.	Primal Support Vector Machines, Dual Support Vector Machines	1
14.	Kernels	1
*Assi progra	to module V,	



CST	INTRODUCTION TO COMPUTER	Category	L	Т	Р	Credit	Year of Introduction
286	NETWORKS	MINOR	3	1	0	4	2019

**Preamble:** This is the second course for awarding B. Tech. Minor in Computer Science and Engineering with specialization in *Networking*. Study of this course provides the learners a clear understanding of how computer networks from local area networks to the massive and global Internet are built and how they allow the usage of computers to share information and communicate with one another. This course covers the layers of OSI Reference models and inter-networking. This course helps the learners to compare and analyze the existing network technologies and to choose a suitable network design for a given system.

#### Prerequisite: Data Communication (CST 255)

Course Outcomes: After the completion of the course the student will be able to

CO 1	Explain the features of computer networks, protocols and network design models (Cognitive Knowledge : Understand)
CO 2	Discuss the design issues of data link layer, data link layer protocols, bridges and switches (Cognitive Knowledge : Understand)
CO 3	Illustrate wired LAN protocols (IEEE 802.3/4/5) and wireless LAN protocols (IEEE 802.11a/b/g/n, 802.15) (Cognitive Knowledge : Understand)
CO 4	Select appropriate routing algorithms, congestion control techniques and Quality of Service requirements for a network (Cognitive Knowledge : Apply)
CO 5	Illustrate the functions and protocols of network layer, transport layer and application layer in inter-networking (Cognitive Knowledge : Understand)

	PO1	PO2	PO3	PO4	PO5	PO6	PO7	PO8	PO9	PO10	PO11	PO12
CO1												
CO2												
CO3												
<b>CO4</b>												
CO5												

#### Mapping of course outcomes with program outcomes

	Abstract POs defined by National Board of Accreditation							
PO#	Broad PO	PO#	Broad PO					
PO1	Engineering Knowledge	PO7	Environment and Sustainability					
PO2	Problem Analysis	PO8	Ethics					
PO3	Design/Development of solutions	PO9	Individual and team work					
PO4	Conduct investigations of complex problems	PO10	Communication					
PO5	Modern tool usage	PO11	Project Management and Finance					
PO6	The Engineer and Society	PO12	Life long learning					

#### **Assessment Pattern**

Bloom's Category	Test 1 (Marks in percentage)	Test 2 (Marks in percentage)	End Semester Examination (Marks in percentage)		
Remember	40	30	30		
Understand	60	50	50		
Apply		20	20		
Analyse					
Evaluate					
Create					

#### Mark Distribution

Total Marks	CIE Marks	ESE Marks	ESE Duration
150	50	100	3

#### **Continuous Internal Evaluation Pattern:**

Attendance	: 10 marks
Continuous Assessment Test	: 25 marks
Continuous Assessment Assignment	: 15 marks

#### **Internal Examination Pattern:**

Each of the two internal examinations has to be conducted out of 50 marks. First series test shall be preferably conducted after completing the first half of the syllabus and the second series test shall be preferably conducted after completing remaining part of the syllabus. There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly completed module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly completed module), each with 7 marks. Out of the 7 questions, a student should answer any 5.

#### End Semester Examination Pattern:

There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which a student should answer any one. Each question can have maximum 2 sub-divisions and carries 14 marks.

#### Syllabus

#### Module 1

Introduction – Uses of Computer Networks, Network Hardware, Network Software, Reference Models – The OSI Reference Model, The TCP/IP Reference Model, Comparison of OSI and TCP/IP Reference models.

#### Module 2

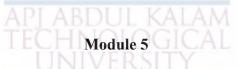
The Data Link Layer - Data Link layer Design Issues, Error Detection and Correction, Elementary Data Link Protocols, Sliding Window Protocols, HDLC (High-Level Data Link Control) Protocol. The Medium Access Control (MAC) Sub layer – The Channel Allocation Problem, Multiple Access Protocols, Ethernet, Wireless LANs - 802.11 a/b/g/n, Bridges & Switches.

#### Module 3

Network Layer Design Issues. Routing Algorithms - The Optimality Principle, Shortest path routing, Flooding, Distance Vector Routing, Link State Routing, Multicast Routing, Routing for Mobile Hosts. Congestion Control Algorithms, Quality of Service (QoS) - Requirements, Techniques for Achieving Good QoS.

#### Module 4

Network Layer in Internet – The IP Protocol, IP Addresses, Internet Control Message Protocol (ICMP), Address Resolution Protocol (ARP), Reverse Address Resolution Protocol (**RARP**), Bootstrap Protocol (**BOOTP**), Dynamic Host Configuration Protocol (DHCP). Open Shortest Path First (**OSPF**) Protocol, Border Gateway Protocol (**BGP**), Internet Multicasting, IPv6, ICMPv6.



Transport Layer – The Transport Service – Services Provided to the Upper Layers, Transport Service Primitives. The User Datagram Protocol (UDP), Transmission Control Protocol (TCP) – Overview of TCP, TCP Segment Header, Connection Establishment & Release, Connection Management Modeling, TCP Retransmission Policy, TCP Congestion Control.

Application Layer – File Transfer Protocol (FTP), Domain Name System (DNS), Electronic mail, MIME, Simple Network Management Protocol (SNMP), World Wide Web – Architectural Overview.

#### **Text Book**

Andrew S. Tanenbaum, Computer Networks, 4/e, PHI (Prentice Hall India).

#### **Reference Books**

- 1. Behrouz A Forouzan, Data Communication and Networking, 4/e, Tata McGraw Hill
- 2. Larry L Peterson and Bruce S Dave, Computer Networks A Systems Approach, 5/e, Morgan Kaufmann.
- 3. Fred Halsall, Computer Networking and the Internet, 5/e.
- 4. James F. Kurose, Keith W. Ross, Computer Networking: A Top-Down Approach, 6/e.
- 5. Keshav, An Engineering Approach to Computer Networks, Addison Wesley, 1998.
- 6. W. Richard Stevens. TCP/IP Illustrated volume 1, Addison-Wesley, 2005.
- 7. William Stallings, Computer Networking with Internet Protocols, Prentice-Hall, 2004.
- 8. Request for Comments (RFC) Pages IETF -https://www.ietf.org/rfc.html

#### Sample Course Level Assessment Questions

CourseOutcome1 (CO1): Compare TCP/IP Reference model and OSI Reference model.

CourseOutcome2 (CO2): Distinguish between switches and bridges.

CourseOutcome3 (CO3): Draw and explain the frame format for Ethernet.

CourseOutcome5 (CO4): Discuss remedies for count to infinity problem in routing.

**CourseOutcome4 (CO5):** Subnet the Class C IP Address 206.16.2.0 so that you have 30 subnets. What is the subnet mask for the maximum number of hosts? How many hosts can each subnet have?

#### **Model Question Paper**

QP CODE:

Reg No:\_\_\_\_\_ Name:\_\_\_\_\_ PAGES: \_\_\_\_

#### APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY FOURTH SEMESTER B.TECH DEGREE (MINOR) EXAMINATION, MONTH & YEAR

#### Course Code: CST 286

#### **Course name : INTRODUCTION TO COMPUTER NETWORKS**

Max Marks: 100

**Duration: 3 Hours** 

#### PART-A

#### (Answer All Questions. Each question carries 3 marks)

- 1. Why Layered Architecture is used in Computer Networks? Define the terms protocol and interface?
- 2. What are the different service primitives in Computer Networks?
- 3. Draw and explain Ethernet frame format.
- 4. What is the output string when the bit string 0111101111101111110 is subjected to bit stuffing?
- 5. Discuss the count to infinity problem in routing.
- 6. What is flooding? Describe any two situations where flooding is advantageous.
- 7. What is IP (Internet Protocol) subnetting? Illustrate with example.
- 8. How many octets does the smallest possible IPv6 (IP version 6) datagram contain?
- 9. Can TCP (Transmission Control Protocol) be used directly over a network (e.g. an Ethernet) without using IP? Justify your answer
- **10**. What is the role of SNMP (Simple Network Management Protocol)?

(10x3=30)

#### (Answer any one Question from each module. Each question carries 14 Marks)

#### Module I

11.	(a)	With a neat diagram, explain the OSI (Open Systems Interconnection) reference	
		Model.	(8)
	(b)	Compare OSI Reference model and the TCP/IP model	(6)

#### OR

12. (a) Consider two networks providing reliable connection-oriented service. One of them offers a reliable byte stream and the other offers a reliable message stream. Are they identical? Justify your answer.
(b) Compare LAN (Local Area Networks), MAN (Metropolitan Area Networks) and WAN (Wide Area Networks).

#### **Module II**

13.	(a) Discuss the different	strategies used to avoid collisions in CSMA/CA (Carr	ier Sense
	Multiple Access/Colli	ision Avoidance).	(8)
	(b) Briefly explain the	working of HDLC (High-Level Data Link Control).	(6)

#### OR

14.	(a) Explain the working of IEEE 802.11.	(10)
	(b) Distinguish between Bridges and Switches.	(4)

#### **Module III**

15.	(a) Illustrate Distance Vector Routing Algorithm with an example.			
	(b) Explain the characteristics of RIP (Routing Information Protocol).	(6)		

#### OR

16.	(a) Explain an Interior Gateway protocol that uses a link state algorithm to propagate	
	routing information.	(6)
	(b) Explain how routing is performed in a Mobile network.	(8)

#### Module IV

(a) Explain address resolution problem and RARP (Reverse Address Resolution Protocol) with an example network. (10)
 (b) How IGMP (Internet Group Management Protocol) supports internet multicasting?

Explain.

(4)

#### OR

18. (a) Subnet the class C IP address 195.1.1.0 so that you have 10 subnets with a maximum of 12 hosts in each subnet. (6)
(b) Draw IPv6 Datagram format and explain its features (8)

APLA Module V KALAM TECHNOGICAL

(a) Distinguish between TCP and UDP (User Datagram Protocol) header formats. (8)
(b) Explain the principal DNS (Domain Name System) resource record types for IPv4. (6)

#### OR

20. (a) What is the role of SMTP (Simple Mail Transfer Protocol) in E-mail? (6)
(b) With the help of a basic model explain the working of WWW (World Wide Web). (8)

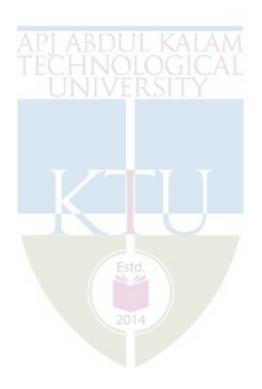
#### **Teaching Plan**

	Module 1	(8 Hours)
1.1	Introduction – Uses of Computer Networks.	1
1.2	Network Hardware – Local Area Networks (LAN), Metropolitan Area Networks (MAN), Wide Area Networks (WAN).	1
1.3	Network Hardware – Wireless Networks, Home Networks, Internetworks	1
1.4	Network Software — Protocol Hierarchies.	1
1.5	Network Software — Design issues for the layers.	1
1.6	Network Software – Connection Oriented and Connectionless Services, Service Primitives, Relationship of Services to Protocols.	1
1.7	Reference Models – The OSI Reference Model	1

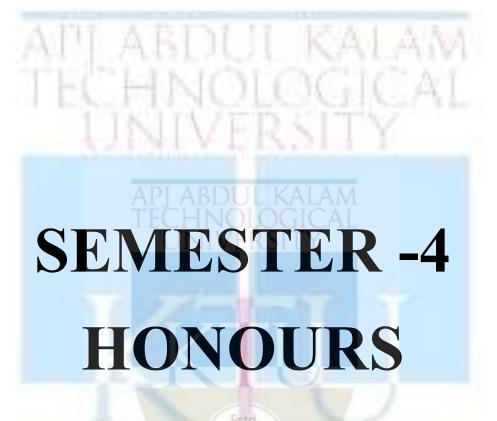
1.8	Reference Models – The TCP/IP Reference Model, Comparison of OSI and TCP/IP Reference models	1				
	Module 2					
2.1	Data Link layer Design Issues.	1				
2.2	Error Detection and Correction - Error Correcting Codes	1				
2.3	Error Detection and Correction - Error Detecting Codes	1				
2.4	Elementary Data link Protocols.	1				
2.5	Sliding Window Protocols.	1				
2.6	HDLC (High-Level Data Link Control) Protocol	1				
2.7	The Medium Access Control (MAC) Sub layer – The Channel Allocation Problem, Multiple Access Protocols.	1				
2.8	Ethernet - Ethernet Cabling, Manchester Encoding, The Ethernet MAC Sub layer Protocol, The Binary Exponential Backoff Algorithm.	1				
2.9	Ethernet - Ethernet Performance, Switched Ethernet, Fast Ethernet, Gigabit Ethernet, IEEE 802.2: Logical Link Control.	1				
2.10	Wireless LANs - 802.11 a/b/g/n.	1				
2.11	Bridges & Switches.	1				
	Module 3	(9 Hours)				
3.1	Network Layer Design Issues.	1				
3.2	Routing Algorithms - The Optimality Principle, Shortest path routing, Flooding.	1				
3.3	Distance Vector Routing, Link State Routing.	1				
3.4	Link State Routing.	1				
3.5	Multicast Routing, Routing for Mobile Hosts	1				
3.6	Distance Vector Routing, Link State Routing	1				

Congestion control algorithms - General Principles of Congestion Control, Congestion Prevention Policies, Congestion Control in Virtual-Circuit Subnets	1
Congestion control algorithms - Congestion Control in Datagram Subnets, Load Shedding, Jitter Control	1
Quality of Service – Requirements, Techniques for Achieving Good Quality of Service.	1
Module 4	(9 Hours)
Network layer in internet, IP Protocol	1
IP Addresses – Subnets, Classless Inter Domain Routing (CIDR)	1
IP Addresses - Network Address Translation (NAT)	1
Internet Control Message Protocol (ICMP), Address Resolution Protocol (ARP), Reverse Address Resolution Protocol (RARP),	1
Bootstrap Protocol (BOOTP), Dynamic Host Configuration Protocol (DHCP)	1
Open Shortest Path First (OSPF) Protocol	1
Border Gateway Protocol (BGP)	1
Internet Multicasing.	1
IPv6, Internet Control Message Protocol version 6 (ICMPv6).	1
Module 5	(8 Hours)
The Transport Service – Services Provided to the Upper Layers, Transport Service Primitives. The User Datagram Protocol (UDP)	1
Transmission Control Protocol (TCP) – Overview of TCP, TCP Segment Header, Connection Establishment & Release, Connection Management Modeling.	1
TCP Retransmission Policy, TCP Congestion Control.	1
Application Layer – File Transfer Protocol (FTP).	1
	Congestion Control, Congestion Prevention Policies, Congestion Control in Virtual-Circuit Subnets Congestion control algorithms - Congestion Control in Datagram Subnets, Load Shedding, Jitter Control Quality of Service – Requirements, Techniques for Achieving Good Quality of Service. Module 4 Network layer in internet, IP Protocol IP Addresses – Subnets, Classless Inter Domain Routing (CIDR) IP Addresses - Subnets, Classless Inter Domain Routing (CIDR) IP Addresses - Network Address Translation (NAT) Internet Control Message Protocol (ICMP), Address Resolution Protocol (ARP), Reverse Address Resolution Protocol (RARP), Bootstrap Protocol (BOOTP), Dynamic Host Configuration Protocol (DHCP) Open Shortest Path First (OSPF) Protocol Border Gateway Protocol (BGP) Internet Multicasing. IPv6, Internet Control Message Protocol version 6 (ICMPv6). Module 5 The Transport Service – Services Provided to the Upper Layers, Transport Service Primitives. The User Datagram Protocol (UDP) Transmission Control Protocol (TCP) – Overview of TCP, TCP Segment Header, Connection Establishment & Release, Connection Management Modeling. TCP Retransmission Policy, TCP Congestion Control.

5.6	Electronic Mail.	1
5.7	Simple Network Management Protocol (SNMP)	1
5.8	World Wide Web – Architectural Overview	1



COMPUTER SCIENCE AND ENGINEERING



2014

CODE	COURSE NAME	CATEGORY	L	Т	Р	CREDIT	Year of Introduction
CST 292	Number Theory	Honours	4	0	0	4	2019

Preamble: This is the foundational course for awarding B. Tech. Honours in Computer Science and

Engineering with specialization in *Security in Computing*. The purpose of this course is to create awareness among learners about the important areas of number theory used in computer science. This course covers Divisibility & Modular Arithmetic, Primes & Congruences, Euler's Function, Quadratic Residues and Arithmetic Functions, Sum of Squares and Continued fractions. Concepts in Number Theory help the learner to apply them eventually in practical applications in Computer organization & Security, Coding & Cryptography, Random number generation, Hash functions and Graphics.

Prerequisite: A sound background in Higher Secondary School Mathematics

#### Course Outcomes: After the completion of the course the student will be able to

CO1	Illustrate modular arithmetic operations, methods and techniques (Cognitive Knowledge Level:Understand)				
CO2	Use the methods - Induction, Contraposition or Contradiction to verify the correctness of mathematical assertions (Cognitive Knowledge Level: Apply)				
CO3	Utilize theorems and results about prime numbers, congruences, quadratic residues and integer factorization for ensuring security in computing systems (Cognitive Knowledge Level: Analyse)				
CO4	Illustrate uses of Chinese Remainder Theorem & Euclidean algorithm in Cryptography and Security (Cognitive Knowledge Level: Apply)				
CO5	Explain applications of arithmetic functions in Computer Science (Cognitive Knowledge Level:Understand)				
CO6	Implement Number Theoretic Algorithms using a programming language (Cognitive Knowledge Level: Apply)				

	PO1	PO2	PO3	PO4	PO5	PO6	PO7	PO8	PO9	PO10	PO11	PO12
CO1	$\diamond$									$\diamond$		
CO2	$\diamond$	$\diamond$		$\diamond$								
CO3			$\bigcirc$	$\diamond$								
CO4					<b>B</b> DI		ALA	Ŵ				
CO5	$\diamond$				HNC VIVI	ERSI	TY TY	1L				
CO6												

#### Mapping of course outcomes with program outcomes

Abstract POs defined by National Board of Accreditation									
PO#	Broad PO Estd.	PO#	Broad PO						
PO1	Engineering Knowledge 2014	PO7	Environment and Sustainability						
PO2	Problem Analysis	PO8	Ethics						
PO3	Design/Development of solutions	PO9	Individual and team work						
PO4	Conduct investigations of complex problems	PO10	Communication						
PO5	Modern tool usage	PO11	Project Management and Finance						
PO6	The Engineer and Society	PO12	Life long learning						

#### **Assessment Pattern**

	Continuous Assess	End Semester	
Bloom's Category	Test1 (Percentage)	Test2 (Percentage)	Examination Marks (Percentage)
Remember	30	30	30
Understand	30	30	30
Apply	40	40	40
Analyse	APJ ABDUL H TECHNOLO	kalam GICAL	
Evaluate	UNIVERS	ITY	
Create			

#### **Mark Distribution**

Total Marks	CIE Marks	ESE Marks	ESE Duration
150	50 2014	100	3 hours

#### **Continuous Internal Evaluation Pattern:**

Attendance :10 marks

Continuous Assessment Tests : 25 marks

Continuous Assessment Assignment : 15 marks

#### **Internal Examination Pattern:**

Each of the two internal examinations has to be conducted out of 50 marks

First Internal Examination shall be preferably conducted after completing the first half of the syllabus and the Second Internal Examination shall be preferably conducted after completing remaining part of the syllabus.

There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly covered module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly covered module), each with 7 marks. Out of the 7 questions in Part B, a student should answer any 5.

#### End Semester Examination Pattern:

There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which a student should answer any one. Each question can have maximum 2 sub-divisions and carries 14 marks.

#### SYLLABUS Module 1

#### **Divisibility and Modular Arithmetic**:

Finite Fields – Groups, Rings and Fields.

Divisibility - Divisibility and Division Algorithms, Well ordering Principle, Bezout's Identity.

Modular Arithmetic- Properties, Euclid's algorithm for the greatest common divisor, Extended Euclid's Algorithm, Least Common multiple, Solving Linear Diophantine Equations, Modular Division.

#### Module 2

#### **Primes and Congruences:**

Prime Numbers-Prime Numbers and prime-powerfactorization, Fermat and Mersenne primes., Primality testing and factorization.

Congruences-Linear congruences, Simultaneous linear congruences, Chinese Remainder Theorem, Fermat's little theorem, Wilson's theorem.

#### Module 3

#### Congruences with a Prime-Power Modulus&Euler's Function:

Congruences with a Prime-Power Modulus-Arithmetic modulo p, Pseudoprimes and Carmichael numbers, Solving congruences modulo prime powers.

Euler's Function-Euler's Totient function, Applications of Euler's Totient function, Traditional Cryptosystem, Limitations.

The Group of units- The group  $U_n$ , Primitive roots, Existence of primitive roots, Applications of primitive roots.

#### Module 4

#### **Quadratic Residues & Arithmetic Functions :**

Quadratic Residues- Quadratic Congruences, The group of Quadratic residues, Legendre symbol, Jacobi Symbol, Quadratic reciprocity.

Arithmetic Functions- Definition and examples, Perfect numbers, Mobius function and its properties, Mobius inversion formula, The Dirichlet Products.

#### Module 5

#### Sum of Squares and Continued Fractions:

Sum of Squares- Sum of two squares, The Gaussian Integers, Sum of three squares, Sum of four squares.

Continued Fractions -Finite continued fractions, Infinite continued fractions, Pell's Equation, Solution of Pell's equation by continued fractions.

#### **Text Books**

- 1. G.A. Jones & J.M. Jones, Elementary Number Theory, Springer UTM, 2007.
- 2. Joseph Silverman, A Friendly introduction to Number Theory, Pearson Ed. 2009.

#### **Reference Books**

1. William Stallings, Cryptography and Network Security Principles and Practice, Pearson Ed.

2. Tom M.Apostol, 'Introduction to Analytic Number Theory', Narosa Publishing House Pvt. Ltd, New Delhi, (1996).

3. Neal Koblitz, A course in Number Theory and Cryptography, 2<sup>nd</sup> Edition, Springer ,2004.

#### Sample Course Level Assessment Questions

Course Outcome 1 (CO1): Describe the properties of modular arithmetic and modulo operator.

**Course Outcome 2 (CO2):** Prove that the equation  $y^2 = x^3 - 2$  has only the integer solution  $(3, \pm 5)$ .

**Course Outcome 3 (CO3):** State the law of reciprocity for Jacobi symbols and use it to determine whether 888 is a quadratic residue or non residue of the prime 1999.

**Course Outcome 4 (CO4):** Using Chinese remainder theorem, solve the system of congruence x  $\equiv 2 \pmod{5}$ , x  $\equiv 3 \pmod{5}$ , x  $\equiv 2 \pmod{7}$ 

Course Outcome 5(CO5): State and prove Dirichlet product.

**Course Outcome 6 (CO6):**Use extended Euclid's algorithm to solve Diophantine equations efficiently. Given three numbers a>0, b>0, and c, the algorithm should return some x and y such that a x + b y = c.

#### **Model Question Paper**

**QP CODE:** 

PAGES: 03

RegNo : ....

Name :....

#### APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY FOURTH SEMESTER BTECH (HONOURS) DEGREE EXAMINATION, MONTH & YEAR

Course Code:CST 292 Course Name: Number Theory

Max.Marks:100

#### **Duration: 3 Hours**

## API ABI**PARTA**KALAM

Answer all Questions. Each question carries 3 Marks

(10x3=30)

- 1. State and prove well ordering principle.
- 2. Find gcd d of x=525 and y=231 and express d as ax + by where a and b are integers.
- 3. Solve the congruence equation  $103 \text{ x} \equiv 57 \pmod{211}$ .
- 4. Use Fermat's Little theorem to show that 91 is not a prime.
- 5. If m is relatively prime to n, show that  $\Phi(mn) = \Phi(m) \Phi(n)$ .
- 6. Explain how public key cryptography can be used for digital signatures.
- 7. Define Mobius function and prove Mobius function is a multiplicative.
- 8. State and prove Dirichlet product.
- 9. Show that every prime of the form 4k+1 canbe represented uniquely as the sum of two squares.
- 10. Find the continued fraction representation of the rational number 55/89.

#### Part B

#### Answer any one Question from each module. Each question carries 14 Marks

- 11. (a) State the Euclidean algorithm and its extension with an example. (7)
  - (b) Find all the solutions of 24x + 34y = 6. (7)

#### OR

- 12. (a) Describe the properties of modular arithmetic and modulo operator. (7)
  - (b) Explain Extended Euclidean algorithm. Using the algorithm find the

	multiplicative inverse of 135 mod 61	(7)
13.	<ul><li>(a) State and prove Wilson's theorem</li><li>(b) Explain Fermat's factorization method and use it to factor 809009</li></ul>	(7) (7)
14.	<ul> <li>OR</li> <li>(a) Using Chinese remainder theorem, solve the system of congruences, x ≡2(mod 3), x ≡3(mod 5), x ≡2(mod 7) (7)</li> <li>(b) Define Fermat primes. Show that any two distinct Fermat numbers are Relatively prime.</li> </ul>	(7)
15.	(a) Distinguish between public key and private key encryption techniques. Also point out the merits and demerits of both.	(7)
	(b) Define Carmichael number and show that a Carmichael number must be the product of at least three distinct primes.	(7)
16.	OR (a)Define a pseudo prime to a base and find all non trivial bases for which 15 is a pseudo prime. (b) Find an element of	(6)
	i) order 5 modulo 11 iii) order 8 modulo 17 iv) order 6 modulo 19	(8)
17.	(a) Determine the quadratic residues and non residues modulo 17. Also determine whether 219 is a quadratic residue or non residue of the prime 3	883. (8)
	(b) State the law of quadratic reciprocity. Determine those odd primes p for which 3 is a quadratic residue and those for which it is a non residue. OR	(6)
18.	<ul> <li>(a) State and prove properties of Legendre's symbol.</li> <li>(b) State the law of reciprocity for Jacobi symbols and using it determine whether 888 is a quadratic residue or non residue of the prime 1999.</li> </ul>	(7) (7)
19.	(a) Prove that the equation $y^2 = x^3 - 2$ has only the integer solution (3, ±5).	(7)

(b) Define a Gaussian integer. Factorize the Gaussian integer 440 – 55i. (7)

#### OR

20. (a) If *m*, and *n* can be expressed as sum of four squares, then show that *mn* can also be expressed the sum of four squares. (7)

(b) Find all the solutions of the Diophantine equation  $x^2 - 6y^2 = 1$ . (7)

#### **Teaching Plan**

Module	9 hours				
1.1	Finite Fields – Groups and Rings.	1 hour			
1.2	Finite Fields – Fields.	1 hour			
1.3	Divisibility and Division Algorithms, Well ordering Principle.	1 hour			
1.4	Decimal Expansion of a positive Integer, Greatest Common Divisor, Bezout's Theorem.	1 hour			
1.5	Modular Arithmetic- Properties of congruences, Modular Arithmetic Operations, Properties of Modular Arithmetic.	1 hour			
1.6	Euclid's algorithm for the greatest common divisor, Extended Euclid's Algorithm.	1 hour			
1.7	Solving Linear Diophantine Equations.	1 hour			
1.8	Least Common multiple and Modular Division.	1 hour			
1.9	Implementation of Euclid's algorithm, Extended Euclid's Algorithm and solution of Linear Diophantine Equations.				
Module 2: Primes and Congruences					
2.1	Prime Numbersand prime-powerFactorization.	1 hour			
2.2	Fermat and Mersenne primes.	1 hour			
2.3	Primality testing and factorization, Miller -Rabin Test for Primality.	1 hour			
2.4	Pollard's Rho Method for Factorization, Fermat's Factorization.	1 hour			

2.5	Linear congruences, Simultaneous linear congruences.	1 hour			
2.6	Chinese Remainder Theorem.	1 hour			
2.7	Implementation of Chinese Remainder Theorem.	1 hour			
2.8	Fermat's little theorem.	1 hour			
2.9	Wilson's theorem.	1 hour			
Module 3: Congruences with a Prime-Power Modulus & Euler's Function					
3.1	Congruences with a Prime-Power Modulus, Arithmetic modulo p.	1 hour			
3.2	Pseudo-primes and Carmichael numbers.	1 hour			
3.3	Solving congruences modulo prime powers.	1 hour			
3.4	Definition of Euler Totient function, Examples and properties.	1 hour			
3.5	Multiplicativity of Euler's Totient function.	1 hour			
3.6	Applications of Euler's function, Euler's Theorem.	1 hour			
3.7	Traditional Cryptosystem, Limitations, Public Key Cryptography.	1 hour			
3.8	The Group of Units, Primitive Roots.	1 hour			
3.9	Existence of primitive roots for Primes, Applications of primitive roots.	1 hour			
Module	Module 4: Quadratic Residues and Arithmetic Functions				
4.1	Quadratic congruences, The group of Quadratic Residues.	1 hour			
4.2	Legendre symbol, Jacobi Symbol.	1 hour			
4.3	Quadratic reciprocity.	1 hour			
4.4	Quadratic residues for prime-power moduli.	1 hour			
4.5	Arithmetic Functions: Definition and examples.	1 hour			

4.6	Perfect numbers, Definition and proposition.	1 hour		
4.7	Mobius inversion formula., application of the Mobius inversion formula.	1 hour		
4.8	Mobius function and its properties.	1 hour		
4.9	The Dirichlet Product, Definition and proof.	1 hour		
Module 5: Sum of Squares and Continued Fractions				
5.1	Sum of Squares, Sum of two squares.	1 hour		
5.2	The Gaussian Integers.	1 hour		
5.3	Sum of three squares.	1 hour		
5.4	Sum of four squares.	1 hour		
5.5	Continued Fractions, Finite continued fractions.	1 hour		
5.6	Continued Fractions, Finite continued fractions.	1 hour		
5.7	Infinite continued fractions.	1 hour		
5.8	Pell's Equation, Definition.	1 hour		
5.9	Solution of Pell's equation by continued fractions.	1 hour		

CODE	<b>Computational Fundamentals</b>	CATEGORY	L	Т	Р	CREDIT
CST 294	for Machine Learning	HONOURS	3	1	0	4

**Preamble:** This is the foundational course for awarding B. Tech. Honours in Computer Science and Engineering with specialization in *Machine Learning*. The purpose of this course is to introduce mathematical foundations of basic Machine Learning concepts among learners, on which Machine Learning systems are built. This course covers Linear Algebra, Vector Calculus, Probability and Distributions, Optimization and Machine Learning problems. Concepts in this course help the learners to understand the mathematical principles in Machine Learning and aid in the creation of new Machine Learning solutions, understand & debug existing ones, and learn about the inherent assumptions & limitations of the current methodologies.

Prerequisite: A sound background in higher secondary school Mathematics.

**Course Outcomes:** After the completion of the course the student will be able to

	AFLADDUL NALAM
CO 1	Make use of the concepts, rules and results about linear equations, matrix algebra, vector spaces, eigenvalues & eigenvectors and orthogonality & diagonalization to solve computational problems (Cognitive Knowledge Level: Apply)
CO 2	Perform calculus operations on functions of several variables and matrices, including partial derivatives and gradients (Cognitive Knowledge Level: <b>Apply</b> )
CO 3	Utilize the concepts, rules and results about probability, random variables, additive & multiplicative rules, conditional probability, probability distributions and Bayes' theorem to find solutions of computational problems (Cognitive Knowledge Level: <b>Apply</b> )
CO 4	Train Machine Learning Models using unconstrained and constrained optimization methods (Cognitive Knowledge Level: <b>Apply</b> )
CO 5	Illustrate how the mathematical objects - linear algebra, probability, and calculus can be used to design machine learning algorithms (Cognitive Knowledge Level: <b>Understand</b> )

#### Mapping of course outcomes with program outcomes

	PO 1	PO 2	<b>PO 3</b>	PO 4	PO 5	PO 6	<b>PO 7</b>	PO 8	PO 9	PO 10	PO 11	PO 12
CO 1	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$								$\checkmark$
CO 2	$\checkmark$	$\checkmark$	$\checkmark$									$\checkmark$
CO 3	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$								$\checkmark$
<b>CO 4</b>	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$						$\checkmark$
CO 5	$\checkmark$	$\checkmark$		$\checkmark$	$\checkmark$					$\checkmark$		

Abstract POs defined by National Board of Accreditation							
PO#	O# Broad PO PO# Broad PO						
PO1	Engineering Knowledge	PO7	Environment and Sustainability				
PO2	Problem Analysis	PO8	Ethics				
PO3	Design/Development of solutions	PO9	Individual and team work				
PO4	Conduct investigations of complex problems	PO10	Communication				
PO5	Modern tool usage	PO11	Project Management and Finance				
PO6	The Engineer and Society	PO12	Life long learning				
Assessment Pattern							

#### **Assessment Pattern**

Dia amba Catagona	Continuous Ass	End Semester	
Bloom's Category	1	2	Examination
Remember	20%	20%	20%
Understand	40%	40%	40%
Apply	40%	40%	40%
Analyse	Estd.		
Evaluate			
Create	2014		

#### **Mark Distribution**

Total Marks	CIE Marks	ESE Marks	ESE Duration		
150	50	100	3 hours		

#### **Continuous Internal Evaluation Pattern:**

Attendance : 10 marks

Continuous Assessment Tests : 25 marks Continuous Assessment Assignment : 15 marks

#### **Internal Examination Pattern:**

Each of the two internal examinations has to be conducted out of 50 marks

First Internal Examination shall be preferably conducted after completing the first half of the syllabus and the Second Internal Examination shall be preferably conducted after completing remaining part of the syllabus.

There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly covered module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly covered module), each with 7 marks. Out of the 7 questions in Part B, a student should answer any 5.

**End Semester Examination Pattern:** There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions. Part B contains 2 questions from each module of which student should answer anyone. Each question can have maximum 2 sub-divisions and carries 14 marks.



# **Syllabus**

#### Module 1

**LINEAR ALGEBRA** : Systems of Linear Equations – Matrices, Solving Systems of Linear Equations. Vector Spaces - Linear Independence, Basis and Rank, Linear Mappings, Norms, - Inner Products - Lengths and Distances - Angles and Orthogonality - Orthonormal Basis - Orthogonal Complement - Orthogonal Projections. Matrix Decompositions - Determinant and Trace, Eigenvalues and Eigenvectors, Cholesky Decomposition, Eigen decomposition and Diagonalization, Singular Value Decomposition, Matrix Approximation.

#### Module 2

**VECTOR CALCULUS** : Differentiation of Univariate Functions - Partial Differentiation and Gradients, Gradients of Vector Valued Functions, Gradients of Matrices, Useful Identities for Computing Gradients. Back propagation and Automatic Differentiation - Higher Order Derivatives- Linearization and Multivariate Taylor Series.

#### Module 3

**Probability and Distributions** : Construction of a Probability Space - Discrete and Continuous Probabilities, Sum Rule, Product Rule, and Bayes' Theorem. Summary Statistics and Independence – Important Probability distributions - Conjugacy and the Exponential Family - Change of Variables/Inverse Transform.

#### Module 4

**Optimization** : Optimization Using Gradient Descent - Gradient Descent With Momentum, Stochastic Gradient Descent. Constrained Optimization and Lagrange Multipliers - Convex Optimization - Linear Programming - Quadratic Programming.

#### Module 5

**CENTRAL MACHINE LEARNING PROBLEMS** : Data and Learning Model-Empirical Risk Minimization - Parameter Estimation - Directed Graphical Models.

Linear Regression - Bayesian Linear Regression - Maximum Likelihood as Orthogonal Projection.

Dimensionality Reduction with Principal Component Analysis - Maximum Variance Perspective, Projection Perspective. Eigenvector Computation and Low Rank Approximations. Density Estimation with Gaussian Mixture Models - Gaussian Mixture Model, Parameter Learning via Maximum Likelihood, EM Algorithm.

Classification with Support Vector Machines - Separating Hyperplanes, Primal Support Vector Machine, Dual Support Vector Machine, Kernels.

#### Text book:

1.Mathematics for Machine Learning by Marc Peter Deisenroth, A. Aldo Faisal, and Cheng Soon Ong published by Cambridge University Press (freely available at https:// mml - book.github.io)

# **Reference books:**

- 1. Linear Algebra and Its Applications, 4th Edition by Gilbert Strang
- 2. Linear Algebra Done Right by Axler, Sheldon, 2015 published by Springer
- 3. Introduction to Applied Linear Algebra by Stephen Boyd and Lieven Vandenberghe, 2018 published by Cambridge University Press
- 4. Convex Optimization by Stephen Boyd and Lieven Vandenberghe, 2004 published by Cambridge University Press
- 5. Pattern Recognition and Machine Learning by Christopher M Bishop, 2006, published by Springer
- Learning with Kernels Support Vector Machines, Regularization, Optimization, and Beyond by Bernhard Scholkopf and Smola, Alexander J Smola, 2002, bublished by MIT Press
- 7. Information Theory, Inference, and Learning Algorithms by David J. C MacKay, 2003 published by Cambridge University Press
- 8. Machine Learning: A Probabilistic Perspective by Kevin P Murphy, 2012 published by MIT Press.
- 9. The Nature of Statistical Learning Theory by Vladimir N Vapnik, 2000, published by Springer

#### Sample Course Level Assessment Questions.

#### Course Outcome 1 (CO1):

Find the set S of all solutions in x of the following inhomogeneous linear systems Ax
 = b, where A and b are defined as follows:

$$A \quad A = \begin{bmatrix} 1 & -1 & 0 & 0 & 1 \\ 1 & 1 & 0 & -3 & 0 \\ 2 & -1 & 0 & 1 & -1 \\ -1 & 2 & 0 & -2 & -1 \end{bmatrix}, \quad b = \begin{bmatrix} 3 \\ 6 \\ 5 \\ -1 \end{bmatrix}$$

2. Determine the inverses of the following matrix if possible  $\begin{bmatrix} 1 & 0 & 1 & 0 \end{bmatrix}$ 

APT ABI TE <b>ACA</b> E UNI	[1	0	1	0	A . A
APLABI	0	1	1	0	
AAE	1	1	0	1	.А.
	1	1	31	0	

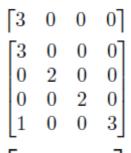
3. Are the following sets of vectors linearly independent?

$$x_1 \ x_1 = \begin{bmatrix} 2\\ -1\\ 3 \end{bmatrix}, \quad x_2 = \begin{bmatrix} 1\\ 1\\ -2 \end{bmatrix}, \quad x_3 = \begin{bmatrix} 3\\ -3\\ 8 \end{bmatrix}$$

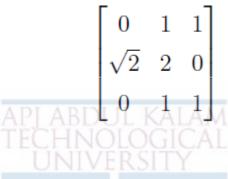
- 4. A set of *n* linearly independent vectors in *R<sup>n</sup>* forms a basis. Does the set of vectors (2, 4,-3), (0, 1, 1), (0, 1,-1) form a basis for *R<sup>3</sup>*? Explain your reasons.
- 5. Consider the transformation T(x, y) = (x + y, x + 2y, 2x + 3y). Obtain ker T and use this to calculate the nullity. Also find the transformation matrix for T.
- 6. Find the characteristic equation, eigenvalues, and eigenspaces corresponding to each eigenvalue of the following matrix

$$\begin{bmatrix} 2 & 0 & 4 \\ 2 & 2 & 0 & 4 \\ 0 & 0 & 3 & 0 \\ 0 & 0 & 1 & 2 \end{bmatrix}$$

7. Diagonalize the following matrix, if possible



8. Find the singular value decomposition (SMD) of the following matrix



# Course Outcome 2 (CO2):

- 1. For a scalar function  $f(x, y, z) = x^2 + 3y^2 + 2z^2$ , find the gradient and its magnitude at the point (1, 2, -1).
- 2. Find the maximum and minimum values of the function  $f(x, y) = 4x + 4y x^2 y^2$ subject to the condition  $x^2 + y^2 \le 2$ .
- 3. Suppose you were trying to minimize  $f(x, y) = x^2 + 2y + 2y^2$ . Along what vector should you travel from (5, 12)?
- 4. Find the second order Taylor series expansion for  $f(x, y) = (x + y)^2$  about (0, 0).
- 5. Find the critical points of  $f(x, y) = x^2 3xy + 5x 2y + 6y^2 + 8$ .
- 6. Compute the gradient of the Rectified Linear Unit (ReLU) function ReLU(z) = max(0, z).
- 7. Let  $L = ||Ax b||^2_2$ , where A is a matrix and x and b are vectors. Derive dL in terms of dx.

# Course Outcome 3 (CO3):

- 1. Let J and T be independent events, where P(J)=0.4 and P(T)=0.7.
  - *i*. Find  $P(J \cap T)$
  - *ii.* Find *P*(*J*∪*T*)
  - *iii*. Find *P*(*J*∩*T'*)
- 2. Let A and B be events such that P(A)=0.45, P(B)=0.35 and  $P(A\cup B)=0.5$ . Find P(A|B).
- 3. A random variable  $\mathbf{R}$  has the probability distribution as shown in the following table:

I	1	2	3	4	5
P(R=r)	0.2		A bA	0.25	0.15

- i. Given that E(R)=2.85, find a and b.
- ii. Find *P(R>2)*.
- <sup>4.</sup> A biased coin (with probability of obtaining a head equal to p > 0) is tossed repeatedly and independently until the first head is observed. Compute the probability that the first head appears at an even numbered toss.
- 5. Two players A and B are competing at a trivia quiz game involving a series of questions. On any individual question, the probabilities that A and B give the correct answer are p and q respectively, for all questions, with outcomes for different questions being independent. The game finishes when a player wins by answering a question correctly. Compute the probability that A wins if
  - i. A answers the first question,
  - ii. B answers the first question.
- 6. A coin for which P(heads) = p is tossed until two successive tails are obtained. Find the probability that the experiment is completed on the n<sup>th</sup> toss.
- 7. You roll a fair dice twice. Let the random variable *X* be the product of the outcomes of the two rolls. What is the probability mass function of *X*? What are the expected value and the standard deviation of *X*?

- 8. While watching a game of Cricket, you observe someone who is clearly supporting Mumbai Indians. What is the probability that they were actually born within 25KM of Mumbai? Assume that:
  - the probability that a randomly selected person is born within 25KM of Mumbai is 1/20;
  - the chance that a person born within 25KMs of Mumbai actually supports MI is 7/10 ;
  - the probability that a person not born within 25KM of Mumbai supports MI with probability 1/10.
- 9. What is an exponential family? Why are exponential families useful?
- 10. Let  $Z_1$  and  $Z_2$  be independent random variables each having the standard normal distribution. Define the random variables X and Y by  $X = Z_1 + 3Z_2$  and  $Y = Z_1 + Z_2$ . Argue that the joint distribution of (X, Y) is a bivariate normal distribution. What are the parameters of this distribution?
- 11. Given a continuous random variable x, with cumulative distribution function  $F_x(x)$ , show that the random variable  $y = F_x(x)$  is uniformly distributed.
- <sup>12.</sup> Explain Normal distribution, Binomial distribution and Poisson distribution in the exponential family form.

# **Course Outcome 4(CO4):**

- 1. Find the extrema of f(x, y) = x subject to  $g(x, y) = x^2 + 2y^2 = 3$ .
- 2. Maximize the function f(x, y, z) = xy + yz + xz on the unit sphere  $g(x, y, z) = x^2 + y^2 + z^2 = 1$ .
- 3. Provide necessary and sufficient conditions under which a quadratic optimization problem be written as a linear least squares problem.
- 4. Consider the univariate function  $f(x) = x^3 + 6x^2 3x 5$ . Find its stationary points and indicate whether they are maximum, minimum, or saddle points.
- 5. Consider the update equation for stochastic gradient descent. Write down the update when we use a mini-batch size of one.

6. Consider the function

$$f(x) = (x_1 - x_2)^2 + \frac{1}{1 + x_1^2 + x_2^2}.$$

- i. Is f(x) a convex function? Justify your answer.
- ii. Is (1, -1) a local/global minimum? Justify your answer.
- 7. Is the function  $f(x, y) = 2x^2 + y^2 + 6xy x + 3y 7$  convex, concave, or neither? Justify your answer.
- 8. Consider the following convex optimization problem

$$\begin{array}{c} \text{minimize} \quad \frac{x^2}{2} + x + 4y^2 - 2y \\ \text{TECHNOLOGICAL} \end{array}$$

Subject to the constraint  $x + y \ge 4$ ,  $x, y \ge 1$ .

Derive an explicit form of the Lagrangian dual problem.

9. Solve the following LP problem with the simplex method.

$$max \ 5x_1 + 6x_2 + 9x_3 + 8x_4$$

subject to the constraints

#### Course Outcome 5 (CO5):

- 1. What is a loss function? Give examples.
- 2. What are training/validation/test sets? What is cross-validation? Name one or two examples of cross-validation methods.
- 3. Explain generalization, overfitting, model selection, kernel trick, Bayesian learning

- 4. Distinguish between Maximum Likelihood Estimation (MLE) and Maximum A Posteriori Estimation (MAP)?
- 5. What is the link between structural risk minimization and regularization?
- 6. What is a kernel? What is a dot product? Give examples of kernels that are valid dot products.
- 7. What is ridge regression? How can one train a ridge regression linear model?
- 8. What is Principal Component Analysis (PCA)? Which eigen value indicates the direction of largest variance? In what sense is the representation obtained from a projection onto the eigen directions corresponding the the largest eigen values optimal for data reconstruction?
- 9. Suppose that you have a linear support vector machine (SVM) binary classifier. Consider a point that is currently classified correctly, and is far away from the decision boundary. If you remove the point from the training set, and re-train the classifier, will the decision boundary change or stay the same? Explain your answer in one sentence.
- 10. Suppose you have *n* independent and identically distributed (i.i.d) sample data points  $x_{1}, ..., x_{n}$ . These data points come from a distribution where the probability of a given datapoint *x* is

$$P(x) = \frac{1}{\theta}e^{-\frac{1}{\theta}x}.$$

Prove that the MLE estimate of parameter is the sample mean.

- 11. Suppose the data set  $y_1,...,y_n$  is a drawn from a random sample consisting of i.i.d. discrete uniform distributions with range 1 to N. Find the maximum likelihood estimate of N.
- 12. Ram has two coins: one fair coin and one biased coin which lands heads with probability 3/4. He picks one coin at random (50-50) and flips it repeatedly until he gets a tails. Given that he observes 3 heads before the first tails, find the posterior probability that he picked each coin.
  - i. What are the prior and posterior odds for the fair coin?
  - ii. What are the prior and posterior predictive probabilities of heads on the next flip? Here prior predictive means prior to considering the data of the first four flips.

# **Model Question paper**

QP Code :

Reg No.:\_\_\_\_\_

**Total Pages: 4** 

Name:\_\_\_\_\_

# **APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY** IV SEMESTER B.TECH (HONOURS) DEGREE EXAMINATION, MONTH and YEAR

#### **Course Code: CST 294**

# Course Name: COMPUTATIONAL FUNDAMENTALS FOR MACHINE LEARNING

Max. Marks: 100

Duration: 3 Hours

# APLAB PART A

Answer all questions, each carries 3 marks.

Marks

- 1 Show that with the usual operation of scalar multiplication but with addition on reals given by x # y = 2(x + y) is not a vector space.
- 2 Find the eigenvalues of the following matrix in terms of *k*. Can you find an eigenvector corresponding to each of the eigenvalues?



3	Let $f(x, y, z) = xye^r$ , where $r = x^2+z^2-5$ . Calculate the gradient of $f$ at the
	point (1, 3, -2).
4	Compute the Taylor polynomials $T_n$ , $n = 0$ ,, 5 of $f(x) = sin(x) + cos(x)$
	at $x_0 = 0$ .
5	Let $X$ be a continuous random variable with probability density function
	on $\theta \le x \le 1$ defined by $f(x) = 3x^2$ . Find the pdf of $Y = X^2$ .
6	Show that if two events $A$ and $B$ are independent, then $A$ and $B'$ are
	independent.
7	Explain the principle of the gradient descent algorithm.

8 Briey explain the difference between (batch) gradient descent and stochastic gradient descent. Give an example of when you might prefer one over the other.

9 What is the empirical risk? What is "empirical risk minimization"?

10 Explain the concept of a Kernel function in Support Vector Machines. Why are kernels so useful? What properties a kernel should posses to be used in an SVM?

#### PART B

#### Answer any one Question from each module. Each question carries 14 Marks

11 a) i. Find all solutions  

$$-4x + 5z = -2$$

$$-3x - 3y + 5z = 3$$

$$-3a - x + 2y + 2z = -1$$

$$-x + 2y + 2z = -1$$

ii. Prove that all vectors orthogonal to [2, −3, 1]<sup>T</sup> forms a subspace W of R<sup>3</sup>. What is *dim (W)* and why?

b) Use the Gramm-Schmidt process to find an orthogonal basis for the (8) column space of the following matrix

$$\begin{bmatrix} 2 & 1 & 0 \\ 1 & -1 & 1 \\ 1 & 0 & 3 & 1 \\ 0 & 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$
  
UK

$$\begin{bmatrix} 3 & 2 & 2 \\ 2 & 3 & -2 \end{bmatrix}$$

$$\begin{bmatrix} 3 & 2 \\ 2 & 3 & -2 \end{bmatrix}$$

12 a)

b)

i. Let L be the line thr  $\begin{bmatrix} 2 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 3 & 1 \\ 1 & 1 & 1 \end{bmatrix}$  n  $R^2$  that is parallel to the (6) vector

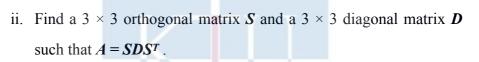
(8)

[3, 4]<sup>T</sup>. Find the standard matrix of the orthogonal projection onto L. Also find the point on L which is closest to the point (7, 1) and find the point on L which is closest to the point (-3, 5).

ii. Find the rank-1 approximation of

$$\begin{bmatrix} 3 & 2 & 2 \\ 2 & 3 & -2 \end{bmatrix}$$

i. Find an orthonormal basis of  $\mathbb{R}^3$  consisting of eigenvectors for the following matrix  $\begin{bmatrix} 1 & 0 & -2 \\ 0 & 5 & 0 \\ -2 & 0 & 4 \end{bmatrix}$ 



- 13 a) A skier is on a mountain with equation  $z = 100 0.4x^2 0.3y^2$ , where z (8) denotes height.
  - i. The skier is located at the point with xy-coordinates (1, 1), and wants to ski downhill along the steepest possible path. In which direction (indicated by a vector (a, b) in the xy-plane) should the skier begin skiing.
  - ii. The skier begins skiing in the direction given by the xy-vector (a, b) you found in part (i), so the skier heads in a direction in space given by the vector (a, b, c). Find the value of c.

b) Find the linear approximation to the function f(x,y) = 2 - sin(-x - (6) 3y) at the point (0,  $\pi$ ), and then use your answer to estimate  $f(0.001, \pi)$ .

$$g(x,y) = \begin{cases} \frac{x^2y}{x^2 + y^2} & \text{if } (x,y) \neq (0,0); \\ 0 & \text{if } (x,y) = (0,0). \end{cases}$$

14 a) Let g be the function given by

$$g(x,y) = \begin{cases} \frac{x^2y}{x^2 + y^2} & \text{if } (x,y) \neq (0,0); \\ 0 & \text{if } (x,y) = (0,0). \end{cases}$$

- i. Calculate the partial derivatives of g at (0, 0).
- ii. Show that g is not differentiable at (0, 0).
- b) Find the second order Taylor series expansion for  $f(x,y) = e^{-(x^2+y^2)} \cos(xy)$  (6) about (0, 0).
- 15 a) There are two bags. The first bag contains four mangos and two apples; (6) the second bag contains four mangos and four apples. We also have a biased coin, which shows "heads" with probability 0.6 and "tails" with probability 0.4. If the coin shows "heads". we pick a fruit at random from bag 1; otherwise we pick a fruit at random from bag 2. Your friend flips the coin (you cannot see the result), picks a fruit at random from the corresponding bag, and presents you a mango. What is the probability that the mango was picked from bag 2?
  - b) Suppose that one has written a computer program that sometimes (8) compiles and sometimes not (code does not change). You decide to model the apparent stochasticity (success vs. no success) x of the compiler using a Bernoulli distribution with parameter μ:

$$p(x \mid \mu) = \mu^{x} (1 - \mu)^{1 - x}, \quad x \in \{0, 1\}$$

Choose a conjugate prior for the Bernoulli likelihood and compute the posterior distribution  $p(\mu | x_1, ..., x_N)$ .

OR

 $0.4\mathcal{N}\left(\begin{bmatrix}10\\2\end{bmatrix},\begin{bmatrix}1&0\\0&1\end{bmatrix}\right)+0.6\mathcal{N}\left(\begin{bmatrix}0\\0\end{bmatrix},\begin{bmatrix}8.4&2.0\\2.0&1.7\end{bmatrix}\right)$ 

$$p(x \mid \mu) = \mu^{x} (1 - \mu)^{1 - x}, \quad x \in \{0, 1\}$$

#### 16 a) Consider a mixture of two Gaussian distributions

$$0.4\mathcal{N}\left(\begin{bmatrix}10\\2\end{bmatrix},\begin{bmatrix}1&0\\0&1\end{bmatrix}\right)+0.6\mathcal{N}\left(\begin{bmatrix}0\\0\end{bmatrix},\begin{bmatrix}8.4&2.0\\2.0&1.7\end{bmatrix}\right)$$
  
i. 
$$0.4\mathcal{N}\left(\begin{bmatrix}10\\2\end{bmatrix},\begin{bmatrix}1&0\\0&1\end{bmatrix}\right)+0.6\mathcal{N}\left(\begin{bmatrix}0\\0\end{bmatrix},\begin{bmatrix}8.4&2.0\\2.0&1.7\end{bmatrix}\right)_{1}^{i} \text{ marginal distribution.}$$

iii. Compute the mean and mode for the two-dimensional distribution.

b) Express the Binomial distribution as an exponential family distribution. (6)
Also express the Beta distribution is an exponential family distribution.
Show that the product of the Beta and the Binomial distribution is also a member of the exponential family.

17 a) Fin (8)  
2. Let 
$$P = \begin{bmatrix} 13 & 12 & -2 \\ 12 & 17 & 6 \\ -2 & 6 & 12 \end{bmatrix}$$
,  $q = \begin{bmatrix} -22.0 \\ -14.5 \\ -2.0 \end{bmatrix}$ , and  $r = 1$ .  
 $P = \begin{bmatrix} 13 & 12 & -2 \\ 12 & 17 & 6 \\ -2 & 6 & 12 \end{bmatrix}$ ,  $q = \begin{bmatrix} -22.0 \\ -14.5 \\ 13.0 \end{bmatrix}$ , and  $r = 1$ .

Show that  $x^* = (1, 1/2, -1)$  is optimal for the optimization problem

min 
$$\frac{1}{2}x^{\mathsf{T}}Px + q^{\mathsf{T}}x + r$$
  
s.t.  $-1 \le x_i \le 1, \ i = 1, 2, 3.$  (6)  
OR

# 18 a) Derive the gradient descent training rule assuming that the target function (8) is represented as $o_d = w_0 + w_1 x_1 + ... + w_n x_n$ . Define explicitly the cost/ error function *E*, assuming that a set of training examples *D* is provided, where each training example $d \in D$ is associated with the target output $t_d$ .

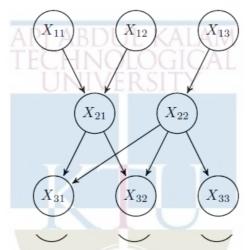
$$P_{\theta}(x) = 2\theta x e^{-\theta x^2}$$

(8)

- b) Find the maximum value of f(x,y,z) = xyz given that g(x,y,z) = x + y + z = (6)3 and  $x,y,z \ge 0$ .
- 19 a) Consider the following  $P_{\theta}(x) = 2\theta x e^{-\theta x^2}$  (7)

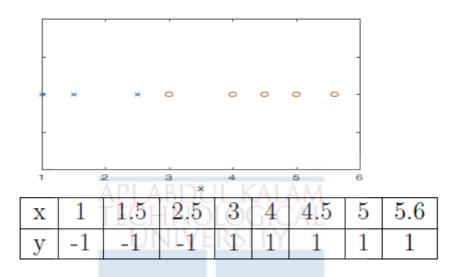
where  $\theta$  is a parameter and x is a positive real number. Suppose you get m i.i.d. samples  $x_i$  drawn from this distribution. Compute the maximum likelihood estimator for  $\theta$  based on these samples.

b) Consider the following Bayesian network with boolean variables. (7)



- *i*. List variable(s) conditionally independent of  $X_{33}$  given  $X_{11}$  and  $X_{12}$
- *ii.* List variable(s) conditionally independent of  $X_{33}$  and  $X_{22}$
- iii. Write the joint probability P(X<sub>11</sub>, X<sub>12</sub>, X<sub>13</sub>, X<sub>21</sub>, X<sub>22</sub>, X<sub>31</sub>, X<sub>32</sub>, X<sub>33</sub>) factored according to the Bayes net. How many parameters are necessary to define the conditional probability distributions for this Bayesian network?
- iv. Write an expression for  $P(X_{13} = 0, X_{22} = 1, X_{33} = 0)$  in terms of the conditional probability distributions given in your answer to part (iii). Justify your answer.

20 a) Consider the following one dimensional training data set, 'x' denotes (6) negative examples and 'o' positive examples. The exact data points and their labels are given in the table below. Suppose a SVM is used to classify this data.



- i. Indicate which are the support vectors and mark the decision boundary.
- ii. Give the value of the cost function and the model parameter after training.



Suppose that we are fitting a Gaussian mixture model for data (8) items consisting of a single real value, x, using K = 2 components. We have N = 5 training cases, in which the values of x are as 5, 15, 25, 30, 40. Using the EM algorithm to find the maximum likely destimates for the model parameters, what are the mixing proportions for the two components,  $\pi_1$  and  $\pi_2$ , and the means for the two components,  $\mu_1$  and  $\mu_2$ . The standard deviations for the two components are fixed at 10.

Suppose that at some point in the EM algorithm, the E step found that the responsibilities of the two components for the five data items were as follows:

TECHNO	$r_{i1}$	$r_{i2}$
	0.2	0.8
	0.2	0.8
	0.8	0.2
	0.9	0.1
	0.9	0.1

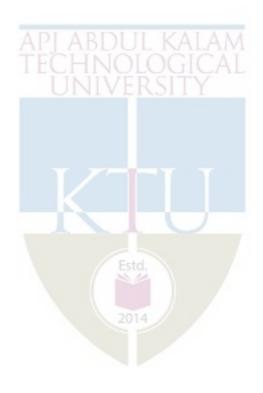
What values for the parameters  $\pi_1$ ,  $\pi_2$ ,  $\mu_1$ , and  $\mu_2$  will be found in the next **M** step of the algorithm?

\*\*\*\*

Teaching Plan					
No	Торіс	No. of Lectures (45)			
1	Module-I (LINEAR ALGEBRA)	8			
1.	Systems of Linear Equations – Matrices, Solving Systems of Linear Equations. Vector Spaces - Linear Independence.	1			
2.	Vector Spaces - Basis and Rank	1			
3.	Linear Mappings	1			
4.	Norms, Inner Products, Lengths and Distances, Angles and Orthogonality, Orthonormal Basis, Orthogonal Complement	1			
5.	Orthogonal Projections, Matrix Decompositions, Determinant and Trace.	1			
6.	Eigenvalues and Eigenvectors	1			
7.	Cholesky Decomposition, Eigen decomposition and Diagonalization	1			
8.	Singular Value Decomposition - Matrix Approximation	1			
	Module-II (VECTOR CALCULUS)	6			
1	Differentiation of Univariate Functions, Partial Differentiation and Gradients	1			
2	Gradients of Vector Valued Functions, Gradients of Matrices	1			
3	Useful Identities for Computing Gradients	1			
4	Backpropagation and Automatic Differentiation	1			
5	Higher Order Derivatives	1			
6	Linearization and Multivariate Taylor Series	1			
3	Module-III (Probability and Distributions)	10			
1	Construction of a Probability Space - Discrete and Continuous Probabilities (Lecture 1)	1			

2	Construction of a Probability Space - Discrete and Continuous Probabilities (Lecture 2)	1
3	Sum Rule, Product Rule	1
4	Bayes' Theorem	1
5	Summary Statistics and Independence	1
6	Important probability Distributions (Lecture 1)	1
7	Important probability Distributions (Lecture 2)	1
8	Conjugacy and the Exponential Family (Lecture 1)	1
9	Conjugacy and the Exponential Family (Lecture 2)	1
10	Change of Variables/Inverse Transform	1
4	Module-IV (Optimization)	7
1	Optimization Using Gradient Descent.	1
2	Gradient Descent With Momentum, Stochastic Gradient Descent	1
3	Constrained Optimization and Lagrange Multipliers (Lecture 1)	1
4	Constrained Optimization and Lagrange Multipliers (Lecture 2)	1
5	Convex Optimization	1
6.	Linear Programming	1
7.	Quadratic Programming	1
5	Module-V (CENTRAL MACHINE LEARNING PROBLEMS)	14
1.	Data and Learning models - Empirical Risk Minimization,	1
2.	Parameter Estimation	1
3.	Directed Graphical Models	1
4.	Linear Regression	1
5.	Bayesian Linear Regression	1
6.	Maximum Likelihood as Orthogonal Projection	1
7.	Dimensionality Reduction with Principal Component Analysis - Maximum Variance Perspective, Projection Perspective.	1
8.	Eigenvector Computation and Low Rank Approximations	1
9.	Density Estimation with Gaussian Mixture Models	1

10.	Parameter Learning via Maximum Likelihood	1
11.	EM Algorithm	1
12.	Classification with Support Vector Machines - Separating Hyperplanes	1
13.	Primal Support Vector Machines, Dual Support Vector Machines	1
14.	Kernels	1
	gnments may include applications of the above theory. With respect amming assignments may be given.	to module V,



CST	88	Category	L	Т	Р	CREDIT	YEAR OF INTRODUCTION
296	Analysis and Verification	HONOURS	3	1	0	4	2019

**Preamble**: This is the foundational course for awarding B. Tech. Honours in Computer Science and Engineering with specialization in *Formal Methods*. Program Analysis and Program Verification are two important areas of study, discussing Methods, Technologies and Tools to ensure reliability and correctness of software systems. The syllabus for this course is prepared with the view of introducing the Foundational Concepts, Methods and Tools in Program Analysis and Program Verification.

Prerequisite: Topics covered in the course Discrete Mathematical Structures (MAT 203).

**Course Outcomes**: After the completion of the course the student will be able to

CO1	Explain the concepts and results about Lattices, Chains, Fixed Points, Galois Connections, Monotone and Distributive Frameworks, Hoare Triples, Weakest Preconditions, Loop Invariants and Verification Conditions to perform Analysis and Verification of programs (Cognitive knowledge level: Understand)
CO2	Illustrate methods for doing intraprocedural/interprocedural Data flow Analysis for a given Program Analysis problem (Cognitive knowledge level: Analyse)
CO3	Formulate an Abstract Interpretation framework for a given Data flow Analysis problem and perform the analysis using the tool WALA (Cognitive knowledge level: Analyse)
CO4	Use Kildall's Algorithm to perform Abstract Interpretation of Programs and compare the results obtained by the Algorithm on Monotone and Distributive Frameworks (Cognitive knowledge level: Apply)
CO5	Explain the concept of Loop Invariants and use them in Hoare Triple based Weakest Precondition analysis to verify the total correctness of a code segment (Cognitive knowledge level: Apply)
CO6	Use the tool VCC to specify and verify the correctness of a C Program with respect to a given set of properties (Cognitive knowledge level: Analyse)

	PO1	PO2	PO3	PO4	PO5	PO6	PO7	PO8	PO9	PO10	PO11	PO12
C01												
CO2												
CO3												
<b>CO4</b>					A		IL K	ALA	M			
CO5					UN		RSI	TY	٦L			
CO6												

# Mapping of course outcomes with program outcomes

Abstract POs defined by National Board of Accreditation					
PO#	Broad PO	PO#	Broad PO		
PO1	Engineering Knowledge	PO7	Environment and Sustainability		
PO2	Problem Analysis 201	PO8	Ethics		
PO3	Design/Development of solutions	PO9	Individual and team work		
PO4	Conduct investigations of complex problems	PO10	Communication		
PO5	Modern tool usage	PO11	Project Management and Finance		
PO6	The Engineer and Society	PO12	Life long learning		

#### **Assessment Pattern:**

	Continuous A	End Semester		
Bloom's Category	Test 1 (Percentage)	End Semester Examination Marks		
Remember	30	30	30	
Understand	30	30	30	
Apply	40	40	40	
Analyze				
Evaluate				
Create	TFCHN	OLOGICAL		



#### **Mark Distribution**

Total Marks	CIE Marks	ESE Marks	ESE Duration
150	50	100	3 hours



Attendance : 10 Marks

Continuous Assessment Tests : 25 Marks

Assignment : 15 Marks

#### **Internal Examination Pattern:**

Each of the two internal examinations has to be conducted out of 50 marks

First series test shall be preferably conducted after completing the first half of the syllabus and the second series test shall be preferably conducted after completing the remaining part of the syllabus.

There will be two parts: Part A and Part B. Part A contains 5 questions (preferably, 2 questions each from the completed modules and 1 question from the partly covered module), having 3 marks for each question adding up to 15 marks for part A. Students should answer all questions from Part A. Part B contains 7 questions (preferably, 3 questions each from the completed modules and 1 question from the partly covered module), each with 7 marks. Out of the 7 questions in Part B, a student should answer any 5.

#### **End Semester Examination Pattern:**

There will be two parts; Part A and Part B. Part A contains 10 questions with 2 questions from each module, having 3 marks for each question. Students should answer all questions from Part A. Part B contains 2 questions from each module of which a student should answer any one, each question carries 14 marks. Each question in part B can have a maximum 2 sub-divisions.



# SYLLABUS

#### Module 1

**Mathematical Foundations** – Partially Ordered Set, Complete Lattice, Construction of Complete Lattices, Chains, Fixed Points, Knaster-Tarski Fixed Point Theorem.

# Module 2

**Introduction to Program Analysis** – The WHILE language, Reaching Definition Analysis, Data Flow Analysis, Abstract Interpretation, Algorithm to find the least solutions for the Data Flow Analysis problem.

# Module 3

**Intraprocedural DataFlow Analysis** – Available Expressions Analysis, Reaching Definitions Analysis, Very Busy Expressions Analysis, Live Variable Analysis, Derived Data Flow Information, Monotone and Distributive Frameworks, Equation Solving - Maximal Fixed Point (MFP) and Meet Over all Paths (MOP) solutions.

**Interprocedural Data Flow Analysis** - Structural Operational Semantics, Intraprocedural versus Interprocedural Analysis, Making Context Explicit, Call Strings as Context, Flow Sensitivity versus Flow Insensitivity, Implementing Interprocedural Data-flow Analysis using the Tool WALA.

#### Module 4

**Abstract Interpretation** - A Mundane Approach to Correctness, Approximations of Fixed Points, Galois Connections, Systematic Design of Galois Connections, Induced Operations, Kildall's Algorithm for Abstract Interpretation.

#### Module 5

**Program Verification** - Why should we Specify and Verify Code, A framework for software verification - A core programming Language, Hoare Triples, Partial and Total Correctness, Program Variables and Logical Variables, Proof Calculus for Partial Correctness, Loop Invariants, Verifying code using the tool VCC (Verifier for Concurrent C).

#### **Text Books**

- 1. Flemming Nielson, Henne Nielson and Chris Kankin, Principles of Program Analysis, Springer (1998).
- 2. Michael Hutch and Mark Ryan, Logic in Computer Science Modeling and Reasoning about Systems, Cambridge University Press, Second Edition.

#### References

- 1. Julian Dolby and Manu Sridharan, Core WALA Tutorial (PLDI 2010), available online at <a href="http://wala.sourceforge.net/files/PLDI\_WALA\_Tutorial.pdf">http://wala.sourceforge.net/files/PLDI\_WALA\_Tutorial.pdf</a>
- 2. Ernie & Hillebrand, Mark & Tobies, Stephan (2012), Verifying C Programs: A VCC Tutorial.

# Sample Course Level Assessment Questions

#### Course Outcome1 (CO1):

- 1. Find a lattice to represent the data states of a given program and propose a sound abstract interpretation framework to do a given analysis on the program.
- 2. When is an abstract interpretation framework said to be sound? Illustrate with an example.
- 3. When is an abstract interpretation framework said to be precise? Illustrate with an example.

#### Course Outcome2 (CO2):

- 1. Illustrate how one can do Intraprocedural Available Expression Analysis on a program.
- 2. Illustrate how one can do Intraprocedural Reaching Definition Analysis on a program.
- 3. Illustrate how one can do Intraprocedural Live Variable Analysis on a program.

#### Course Outcome3 (CO3):

1. Illustrate how one can do Interprocedural Data Flow Analysis using the tool WALA.

#### Course Outcome4 (CO4):

- 1. Illustrate the working of Kildall's algorithm to do Intraprocedural Available Expression Analysis on a program.
- 2. Compare the results obtained by applying Kildall's algorithms for Abstract Interpretation in Monotone and Distributive Frameworks.

# Course Outcome5 (CO5):

- 1. Illustrate the process of obtaining verification conditions (VCs) using weakest precondition analysis.
- 2. Explain the concepts of partials and total correctness of programs.
- 3. Explain the necessity of obtaining loop invariants in verifying the total correctness of a program.

# **Course Outcome6 (CO6):**

1. Using the tool VCC prove that a given code segment satisfies a given property.

#### **Model Question paper**

**QP CODE:** 

PAGES:3

Reg No:\_\_\_\_\_

Name :\_\_\_\_\_

# APJ ABDUL KALAM TECHNOLOGICAL UNIVERSITY

#### 4th SEMESTER B.TECH DEGREE (HONOURS) EXAMINATION, MONTH & YEAR

#### **Course Code: CST 296**

#### **Course Name: Principles of Program Analysis and Verification**

Max.Marks:100

**Duration: 3 Hours** 

# Answer all Questions. Each question carries 3 Marks

- PART A

- 1. What is a complete lattice? Give an example of a complete lattice.
- 2. Show that every chain is a lattice.
- 3. Write a program in *while* language to find the factorial of a number. Explain the statements of your program.
- 4. Consider a program that calculates  $x^y$  through repeated multiplications. Draw the flow graph of the program.
- 5. What is Available Expression (AE) analysis? Give an application for AE analysis.
- 6. What is Live variable (LV) analysis? Give an application for LV analysis.
- 7. Let P be a program analysis problem (like LV, AE etc.) and  $(A, F_A, \gamma_{AC})$  and  $(B, F_B, \gamma_{BC})$  be two abstract interpretations such that B is more abstract than A. Let  $\alpha$  and  $\gamma$  be the abstraction and concretization functions between A and B. Then, what are the conditions required for  $\alpha$  and  $\gamma$  to form a Galois Connection?
- 8. When is Kildall's algorithm for abstract interpretation guaranteed to terminate? Justify your answer.
- 9. Is it possible to verify total correctness of a program using Hoare Logic? If yes, how is it possible?
- 10. Define *loop invariant*. Show a simple loop with a *loop invariant*.

#### PART B

#### Answer any one Question from each module. Each question carries 14 Marks

- 11.
- a. What is an infinite ascending chain in a lattice? Show an example lattice with an infinite ascending chain. Is it possible for a complete lattice to contain an infinite ascending chain? (7 marks)
- b. State and prove Knaster-Tarski fixed point theorem. (7 marks)

# OR

#### 12.

- a. Consider the lattice (N, ≤). Let f : N → N, be a function defined as follows: when x < 100, f(x) = x + 1, when x > 100, f(x) = x 1, otherwise f(x) = x. Then, show the following for f: (i) the set of all fixpoints, (ii) the set of all prefixpoints and (iii) the set of all post-fixpoints. (7 marks)
- b. Let  $(D, \leq)$  be a lattice with a least upper bound for each subset of *D*. Then, prove that every subset of *D* has a greatest lower bound. (7 marks)

13.

- a. With a suitable example, explain the equational approach in Data Flow Analysis. (7 marks)
- b. With a suitable example, explain how you obtain the collecting semantics of a program point. (7 marks)

# OR

14.

- a. With an example, explain the Constrained Based Approach in Data Flow Analysis. (7 marks)
- b. Discuss the properties of an algorithm to solve the problem of computing the least solution to the program analysis problems in Data Flow Analysis. (7 marks)

15.

a. Using Intraprocedural Reaching Definition Analysis, find the assignments killed and generated by each of the blocks in the program

```
[x:=5]<sup>1</sup>;
[y:=1]<sup>2</sup>;
while [x>1]<sup>3</sup> do
([y:=x*y]<sup>4</sup>; [x:=x-1]<sup>5</sup>)
```

(7 marks)

b. Analyse the following program using Intraprocedural Very Busy Expression analysis

# if [a>b]<sup>1</sup> then ([x: =b-a]<sup>2</sup>; [y: =a-b]<sup>3</sup>) else

#### ([y: =b-a]<sup>4</sup>; [x: =a-b]<sup>5</sup>)

(7 marks)

#### OR

16.

a. Find Maximal Fixed Point (MFP) solution for the program [x: =a+b]<sup>1</sup>;

#### [y: =a\*b]<sup>2</sup>; while [y>a+b]<sup>3</sup> do ([a: =a+1]<sup>4</sup>; [x: =a+b]<sup>5</sup>)

(7 marks)

b. With examples, explain the difference between flow sensitive and flow insensitive analysis. (7 marks)

17.

- a. Prove that  $(L, \alpha, \gamma, M)$  is an adjunction if and only if  $(L, \alpha, \gamma, M)$  is a Galois connection. (7 marks)
- b. Prove that if  $\alpha : L \to M$  is completely additive then there exists  $\gamma : M \to L$  such that  $(L, \alpha, \gamma, M)$  is a Galois connection. Similarly, if  $\gamma : M \to L$  is completely multiplicative then there exists  $\alpha : L \to M$  such that  $(L, \alpha, \gamma, M)$  is a Galois connection. (7 marks)

# OR

#### Fete

- 11 .1 ·
- a. Show that if  $(L_i, \alpha_i, \gamma_i, M_i)$  are Galois connections and  $\beta_i : V_i \rightarrow L_i$  are representation functions then

 $((\alpha_1 \circ \beta_1) \twoheadrightarrow (\alpha_2 \circ \beta_2)) (\rightarrow) = \alpha_2 \circ ((\beta_1 \twoheadrightarrow \beta_2) (\rightarrow)) \circ \gamma_1$ 

(7 marks)

# b. Briefly explain Kildall's algorithm for abstract interpretation (7 marks)

19.

20.

18.

- a. Briefly explain the need of specification and verification of code. (7 marks)
- b. Argue that Hoare Logic is sound. When Hoare Logic is complete? Let {A}P{B} be a Hoare triple such that Hoare Logic is complete for the program P. Then, is it always possible to check the validity of the Hoare Triple? If not, what is the difficulty? (7 marks)

#### OR

- a. With suitable examples, show the difference between partial and total correctness. (7 marks)
- b. With a suitable example, show how a basic program segment can be verified using the tool VCC. (7 marks)

Module 1 (Mathematical Foundations)		6 Hours
1.1	Partially Ordered Set	
1.2	2 Complete Lattice, Construction of Complete Lattices	
1.3	3 Chains	
1.4	4 Fixed Points	
1.5	5 Knaster-Tarski Fixed Point Theorem	
1.6	1.6 Proof of Knaster-Tarski Fixed Point Theorem	
Module 2 (Introduction to Program Analysis)		5 Hours
2.1	The WHILE language	1 Hour
2.2	Data Flow Analysis	1 Hour
2.3	Reaching Definition Analysis	1 Hour
2.4	Abstract Interpretation Estd.	1 Hour
2.5	2.5 Algorithm to find the least solutions for the Data Flow Analysis problem	
Module 3 (Data flow Analysis)		15 Hours
3.1	3.1 Available Expressions Analysis, Reaching Definitions Analysis	
3.2	3.2 Very Busy Expressions Analysis	
3.3	3 Live Variable Analysis	
3.4	4 Derived Data Flow Information	
3.5	5 Monotone and Distributive Frameworks	
3.6	Equation Solving - MFP Solution	

**Teaching Plan** 

3.7	Equation Solving - MOP Solution	1 Hour
3.8	Structural Operational Semantics (Lecture 1)	
3.9	Structural Operational Semantics (Lecture 2)	
3.10	0 Intraprocedural versus Interprocedural Analysis	
3.11	1 Making Context Explicit	
3.12	2 Call Strings as Context	
3.13	Flow Sensitivity versus Flow Insensitivity	
3.14	Implementing Interprocedural Dataflow Analysis using the Tool WALA (Lecture 1)	
3.15	Implementing Interprocedural Dataflow Analysis using the Tool WALA (Lecture 2)	1 Hour
Module 4 (Abstract Interpretation)		8 Hours
4.1	A Mundane Approach to Correctness	1 Hour
4.2	Approximations of Fixed Points	1 Hour
4.3	Galois Connections, Estd.	1 Hour
4.4	Systematic Design of Galois Connections (Lecture 1)	
4.5	Systematic Design of Galois Connections (Lecture 2)	
4.6	Induced Operations	
4.7	Kildall's Algorithm for Abstract Interpretation (Lecture 1)	
4.8	Kildall's Algorithm for Abstract Interpretation (Lecture 2)	
	Module 5 (Program Verification)	
5.1	Why should we Specify and Verify Code	
5.2	A framework for software verification - A core programming Language	1 Hour

5.3	Hoare Triples (Lecture 1)	
5.4	Hoare Triples (Lecture 2)	
5.5	Partial and Total Correctness	
5.6	Program Variables and Logical Variables	
5.7	Proof Calculus for Partial Correctness	
5.8	Loop Invariants	1 Hour
5.9	Verifying C programs using the tool VCC (Lecture 1)	
5.10	Verifying C programs using the tool VCC (Lecture 2)	1 Hour
5.11	Verifying C programs using the tool VCC (Lecture 3)	1 Hour

